3183

TRANSCRIPT OF RECORD.

SUPREME COURT OF THE UNITED STATES.

OCTOBER TERM, 1902.

No. 341.

SANFORD JACOBI, PLAINTIFF IN ERROR,

vs.

THE STATE OF ALABAMA.

IN ERROR TO THE SUPREME COURT OF THE STATE OF ALABAMA

FILED MAY 31, 1902.

(18,550.)

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JUDD & DETWEILER, PRINTERS, WASHINGTON, D. C., SEPTEMBER 2, 1902.

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Supreme Court of Alabama.

Filed Dec. 16 1901.

ROB'T F. LIGON, JR., *Clerk*

SANFORD JACOBI
vs.
THE STATE OF ALABAMA. } 3 Div., 289.

Appeal from Montgomery city court.

Dec. 19, 1901.—Passed to Thursday of call of 2nd div.
Feb. 13, 1902.—Argued and submitted.
April 17, 1902.—Affirmed. McClellan, C. J.

1 *Organization of Court, July Term*, 1900.

THE STATE OF ALABAMA, }
Montgomery County. }

Be it remembered that at a term of the city court of Montgomery, begun and holden for said county at the court-house in the city of Montgomery, State and county aforesaid, on the second Monday in July in the year of our Lord one thousand nine hundred, it being the ninth day of said month—present the Honorable A. D. Sayre judge presiding—the following proceedings were had and the following judgments were rendered to wit:

Indictment.

THE STATE OF ALABAMA, }
Montgomery County. }

City Court of Montgomery, July Term, A. D. 1900.

The grand jury of said county charge that before the finding of this indictment Sanford Jacobi did assault Lizzie Parker, a woman, with the intent forcibly to ravish her, against the peace and dignity of the State of Alabama.

TENNENT LOMAX,
Solicitor for the County of Montgomery.

No prosecutor.
A true bill.

J. B. SLAUGHTER,
Foreman of the Grand Jury.

G. J. No. 138.

Presented in open court by the foreman of the grand jury in the presence of (18) eighteen other members of the grand jury this the 13th day of July A. D. 1900

H. H. MATTHEWS,
Clerk of the City Court of Montgomery.

Filed this 23th day of July 1900.

H. H. MATTHEWS,
Clerk of the City Court of Montgomery.

2 *Organization of Court, July Term,* 1901.

THE STATE OF ALABAMA, }
Montgomery County. }

Be it remembered that — a term of the city court of Montgomery begun and holden for said county at the court-house in the city of Montgomery, State and county aforesaid, on the first Monday in July in the year of our Lord one thousand nine hundred and one, it being the first day of said month,—present the Honorable A. D. Sayre judge presiding—the following proceedings were had and the following judgments were rendered to wit:

TUESDAY, *August 6th, A. D.* 1901.

Court met pursuant to adjournment.
Present: The Hon. Wm. H. Thomas, associate judge presiding.

THE STATE *vs.* SANFORD JACOBI. } 702. Indictment for Assault to Ravish.

Jury and Verdict.

This the 6th day of August 1901 came Tennent Lomax, solicitor who prosecutes for the State of Alabama, and came also the defendant *also* in his own proper person and by attorney and the said defendant being duly arraigned upon the indictment for his plea thereto says he is "not guilty;" thereupon came a jury of good and lawful men to wit: A. J. Jones and eleven others, who being duly empaneled and sworn according to law upon their oaths do say: "We the jury find the defendant guilty as charged." It is therefore considered and adjudged by the court that the said defendant Sanford Jacobi is guilty as charged in the indictment, and he was remanded to jail to await the sentence of the law.

3 SATURDAY, *August 17th, A. D.* 1901.

Court met pursuant to adjournment.
Present: Hon. Wm. H. Thomas, associate judge, presiding.

THE STATE
vs.
SANFORD JACOBI. } 702. Indictment for Assault to Ravish.

Sentence.

And now upon this day the 17th day of August 1901, the said defendant being now in open court, and it appearing to the court that the said defendant was duly convicted of said offense on a former day of this term, and the defendant's motion for a new trial coming on to be heard and the same being argued by counsel and understood by the court, it is considered, ordered and adjudged by the court that the said motion be and the same is hereby overruled and the said defendant being asked by the court if he had anything to say why the sentence of the law should not now be pronounced upon him says nothing. It is therefore considered by the court and it is the judgment of the court that the said defendant be imprisoned in the penitentiary of the State of Alabama for a term of twenty (20) years beginning from this day. And before passing sentence the court proceeded to ascertain by examination of such convict and other evidence that such convict has been engaged in grocery business and that the cause of the commission of this crime is unknown. Said convict is of the male sex white race and about 21 years of age, and his physical condition is fairly good.

And questions of law arising in this case for the decision of the supreme court of Alabama, it is considered and ordered by the court that this sentence be suspended pending the said defendant's appeal to the said supreme court of Alabama.

And it is further considered and ordered by the court that the defendant is hereby allowed until the first day of November, 1901, to prepare and present his bill of exceptions.

THE STATE OF ALABAMA,
Montgomery County.

4 Be it remembered that at a term of the city court of Montgomery begun and holden for said county at the court-house in the city of Montgomery, State and county —, on the second Monday in October in the year of our Lord one thousand nine hundred and one, it being the fourteenth day of said month present the Honorable Wm. H. Thomas, associate judge presiding the following proceedings were had and the following judgments were rendered to wit:

THURSDAY, *October* 31*st*, 1901.

Court met pursuant to adjournment
Present: The Hon. Wm. H. Thomas, associate judge presiding.

THE STATE
vs.
SANFORD JACOBI. } 702. Indictment for Assault to Ravish.

It is ordered by the court that the time in which the defendant is required to prepare and present a bill of exceptions in this cause be and the same is hereby extended until the 25th day of November, 1901.

In the City Court of Montgomery, Alabama, July Term, 1901.

STATE OF ALABAMA
vs.
SANFORD JACOBI.

Be it remembered that this cause came on to be heard in the city court of Montgomery, Alabama, on the 5th day of August, 1901, Honorable W. H. Thomas, associate judge of the city court of Montgomery, presiding, and that the following proceedings were had to wit:

W. H. MAYBIN was introduced as a witness for the State, and testified as follows, to wit: That on the night of June 23rd, 1900, he was at the Union passenger depot in the city of Montgomery, between the hours of nine and ten o'clock, having gone there to meet his daughter, whom he expected to arrive that night over the Mobile & Ohio road from Texas; that his daughter did not arrive, and that he was about to leave the station-house by the south door when he saw a young lady, whom he afterwards learned to be Miss Lizzie Parker, go to the window of the ticket agent and ask him a question about the train stopping at Clanton; that he didn't hear the conversation that passed between her and the ticket agent, but shortly afterwards, she passed through the station building, and when near the south doors, where there are double hanging doors, defendant was talking to Miss Parker as witness came up, he heard her ask Mr. Norris, an agent of the Haygood transfer hack line, if he could furnish her a carriage to take her to Highland park; that she had a relative by the name of Gallaspie living at Highland park, and she also mentioned the name of another by the name of Stanfield. Jacobi, the defendant, was standing in one of said south doors, and he spoke to Miss Parker and said that he knew Mr. Stanfield, that he was his next-door neighbor, and was a friend of his. Miss Parker then got into a hack, driven by a negro by the name of Jones, which was standing near the door and under the carriage shed on the south side of the passenger station, to go to Highland park, the negro having agreed to convey her to Highland park for seventy-five cents, witness told Miss Parker while she was sitting in the carriage, that his wife kept a boarding-house

at No. 223 South Court street; that this house was conveniently located with respect to the depot, and that, if she would go with him to this place, he would entertain her for the night for fifty cents, give her a good breakfast in the morning, and see to it that she got to the station in time to take the train to Clanton the next morning. He also told her that it was more than a mile to Highland park, that the weather was inclement, and that it would be better for her to accept his proposition than to go with the negro to Highland park; that the negro said to him, "Mr. Maybin, you ought not to be interfering with my customers. This is not the first time you have done this." Witness replied, "If you don't attend to your business I will whack
6 you over the head with this stick," raising a cane he held in his hand at that time. All this occurred in the hearing of Miss Parker, who was a stranger to witness, he never having seen her before that night. Defendant than said to witness, "Mr. Maybin I would not do that, the hackman will hurt your boarding-house." Miss Parker descended from the carriage and witness took in his hand her hat-box and small satchel, and after they had traveled along Water street as far as the Windsor hotel, and had turned up Commerce street, south, just around the corner, witness and Miss Parker were overtaken by the defendant, Sanford Jacobi, but just before defendant approached witness and Miss Parker, defendant spoke to a hackman as he passed the hack. Defendant then overtaking witness and Miss Parker, said to Miss Parker, "I heard you mention the name of Mr. Stanfield a little while ago. He is a particular friend of mine. He clerks at Worswick's shoe store uptown, and I will go with you to his place of business and he will likely accompany you to his house," witness dissented and advised the young lady not to go with Jacobi, the defendant. Jacobi told her "If Mr. Stanfield is not at Worswick's store I can bring you back to Mr. Maybin's boarding-house." The young lady, thereupon consented to go with the defendant, and they walked off together south, up Commerce street, the defendant carrying the hat-box and satchel for her. They walked together up Commerce street as far as Tallapoosa street, turned thence east in the direction of Jefferson street, witness following closely behind them. They proceeding along Tallapoosa and Jefferson streets to the southeast corner of Jefferson and McDonough streets. Witness was close enough to see the couple all the while, but not near enough to hear what they said. The couple did not look back when they reached the said corner of Jefferson and McDonough streets, they went to the steps of the building, standing in the southeast corner of said streets known as Wadsworth's lumber yard, and there they stopped. The defendant knocked on the door of the office, and witness heard him say, "It is dark on the inside, and nobody seems to be in."
7 Witness was standing in the shade of a tree on the west side of McDonough street during this time. The couple crossed over from the east to the west side of McDonough street, and went thence south to Dexter avenue, witness following close behind, and keeping them in full view all the while. When they reached a poin

on McDonough street near the Second Methodist church, nearly opposite the residence of Judge Gaston, the defendant, Jacobi, called to a carriage that was going east in the direction of the capitol. He and Miss Parker got in the carriage near said church at the intersection of McDonough street and Dexter avenue, and drove off in the direction of the capitol. Witness followed behind for the distance of a block as far as Decatur street and saw the carriage turn north down Bainbridge street, in front of the capitol, when he turned and went to his home. Witness met Jacobi next day at Knabe's corner and said to him, "You didn't bring the young lady around last night," to which Jacobi replied "No she found her people" and then defendant turned and walked off. Witness admitted on cross-examination that he had made some hostile expressions against Jacobi and had said that he would be one to head a mob to lynch him.

Mr. JAMES O. NORRIS, who was introduced as a witness by the State, testified he was one of the agents of the Haygood hack transfer line, and was at the Union passenger depot on the night of June 23rd, 1900; that he saw Miss Lizzie Parker, whom he had never met before, there near the south doors of the station-house at about 9:30 o'clock, on the arrival of the north-bound L. & N. train that night,—that is to say, the train that came from Mobile that day; that she asked for a carriage to take her to No. 650 Highland park to spend the night with the family of a relative named Gallaspie; that witness told her there was no such number as 650 Highland park, that she must have reference to 650 Highland avenue. Jacobi said I know the people very well, they do live on Highland avenue. She said that was what she meant, and that she wanted to go there to spend the night with a relative, by the name of Gallaspie; she also mentioned the fact that she had a relative living in Montgomery somewhere by the name of Stanfield
8 but did not know the street upon which he resided; it had been raining hard that afternoon; the night was dark and the weather was somewhat inclement. Witness called the carriage with the negro Jones as a driver, and directed him to convey Miss Parker to Highland avenue, near Highland park, and not to charge her more than 75 cents therefor. He saw her get in the carriage and he then turned away. I heard Miss Parker mention the name of Stanfield and Jacobi said "Stanfield worked for Wadsworth and Co. and he is a very close friend of mine."

The State introduced ED. IVERSON as a witness, who testified that he was a hack-driver in the city of Montgomery, Alabama; that on the night of June 23rd, 1900, somewhere close to the hour of ten, he was driving down Dexter avenue from the direction of the capitol toward the basin on Court square; that a little boy overtook me in front of Curbow and Clapp marble yard and said a man at the corner wants a hack. Witness turned and drove back to the northwest cor. of Dexter avenue and McDonough St. and after he had gotten on the crossing at said corner near the Banks residence he

drove up along the curbing near the northwest corner of Dexter avenue and McDonough street and stopped hack on the crossing where a lady who was with def't got in the carriage; that a couple was coming across Dexter avenue from the south to the north side at that point, and that the defendant told him to drive up a little ways and that in accordance with this direction or instruction he drove a few steps off the crossing and stopped, when Jacobi got in the carriage; that the carriage he was driving was a single-horse vehicle, and that the curtain between the seat occupied by the driver and the rear seat occupied by the defendant and Miss Parker was so drawn up and across as to entirely obstruct the driver's view from them,—that is to say, he could not see them, nor they see him, but the hack was not shut in on the sides. That when they got to Hull street a car came up Dexter avenue and the lady asked def't if that was not her car and def't answered "No." That he drove the carriage as far as Decatur street without receiving any instructions from Jacobi where
9 to go; that when they reached Decatur street Jacobi said to him from the rear seat which he was occupying in the carriage "Don't you know where Mary Graves lives," witness replied that "Mary Graves had broken up and gone to Mobile." Jacobi then said "Take us to Mat Howard's." Miss Parker, from the rear seat which she was occupying in said carriage, said to Jacobi loud enough to be heard by witness, "Is that a nice boarding-house?" And Jacobi answered "Yes." The lady then said "You all are taking me mighty far to a nice boarding-house, are you sure that it is a nice boarding-house," and def't said "It was." Witness then drove his carriage as far east as Bainbridge street, and thence north to Pollard street, and thence east to Mat Howard's house. This house is situated on the south side of Pollard street, and the veranda, which is about four or five feet wide, was just a few feet from the front gate. Witness drove the carriage to the sidewalk close to the gate. Jacobi told witness to go to the door and ring the bell, witness went to the door and found it locked, and no light in the house, and rang the bell, there being no response he said to Jacobi, "Mat Howard seems to be asleep." Jacobi said, "I will get out and wake her up." Thereupon the defendant descended from the carriage, went to the front door, shook the door knob for a few minutes until Mat Howard, who is a large negro woman, came to the door and opened it, holding a lighted lamp in her hand, and being in her night-gown. Jacobi said something to her, then came back to the carriage, and assisted Miss Parker in alighting therefrom. Jacobi had the hat-box and satchel in his hands and he and Miss Parker went into the front door of the house together. From the porch Jacobi told witness, while Miss Parker was standing on the front porch beside him, to come back the next morning by six o'clock so as to get her to the depot in time to take the train for Clanton, and Miss Parker thanked Jacobi and said to him "If you have got me a nice boarding-house you go back in the hack" and he said "No, I want to sit and talk awhile and I will walk back." The couple then went into the house and witness drove his carriage to a

store on the corner of Union and Pollard streets. While
10 there he was accosted by mounted police officers, Cowling and Rapport, who asked him who the couple was who had just gone into Mat Howard's house. The witness replied, "You are too late, they are country people that have just come from the train and I don't know their names." Witness further testified that there was an electric light burning at the store just referred to and also on the corner of Decatur and Pollard's streets, west of Mat Howard's house, and that Mat Howard's house was about midway the block between Union and Decatur streets, and that there were houses on either side of the house occupied by Mat Howard. Witness further testified that Mat Howard's house was an assignation-house.

The State in laying predicate for the introduction of secondary evidence of the testimony of an absent witness, Miss Lizzie Parker, who had testified in this case on a former trial, introduced in evidence to the court the testimony of the following witnesses: Henry Hughes, C. E. Thomas, and H. H. Matthews & attachments to Butler, Jefferson and Chilton counties and returns thereon, all of which testimony was excluded by the court from the consideration of the jury.

The State introduced as a witness HENRY HUGHES who testified that he was assistant clerk of the city court of Montgomery, and that subpœnas had been issued at the instance of the State to Miss Parker, returnable at and to a former term of the court, commanding the attendance of said Miss Parker as a witness for the State in this case, and as well as to the present term of the court, that said subpœnas were sent to the counties of Chilton and Jefferson and that the same had been duly returned "not found," by the sheriff of each of said counties; that thereupon attachments for her had been issued at the instance of the State at the last term of this court and sent to sheriffs of Butler, Chilton and Jefferson counties, and returned "not found" except as to Butler county, from which there had been no return. The State then introduced in evidence the at-
tachments for Miss Parker, which had been sent to the coun-
11 ties of Chilton and Jefferson, with the endorsements of the sheriffs thereon. The endorsement of the sheriff of Chilton county read; "Not found in this county. She is in Birmingham, Alabama" and was dated — day of ——. So much of the endorsement of the sheriff of Jefferson county as was allowed by the court to be introduced in evidence read as follows: "Not found in this county" dated 5th day of July, 1901. Defendant objected separately and severally to the testimony of the witness Hughes, and the introduction in evidence of each of said writs of attachment, together with the endorsements thereon at the time they were each offered in evidence, upon the grounds:

1st. That each of the same was illegal.

2nd. That each of the same was irrelevant.

3rd. That each of the same was incompetent.

The court overruled each and every of said objections thus made by the defendant, and admitted the above said evidence, as offered

by the State for the consideration of the court and not of the jury, and to this action and ruling of the court the defendant thereupon duly excepted.

H. H. MATTHEWS was examined as a witness for the State to the court and testified he was clerk of the city court of Montgomery, that there was a mistrial in the case of The State *vs.* Sanford Jacobi wherein Miss Parker was sworn as a witness and examined and cross-examined and that a mistrial in said case was entered on the 12th day of November 1900, and that the next term of the city court began on the first Monday in February, 1901. This testimony was also excluded by the court from the consideration of the jury, being offered to the court as a part of the predicate.

The State next examined as a witness one C. E. THOMAS, who testified that he is a half-brother of Miss Lizzie Parker of Clanton, Alabama; that he resides in Birmingham, Alabama, and has been residing and was so residing there on the 23rd day of June, 1900; that he had not resided with his mother and sister for the past five or
12 six years; that on or about the 23rd day of June, 1900, his mother, who was then residing at Clanton, became quite ill, and wired for witness to come to her bedside. She also summonsed his sister, Miss Lizzie Parker, who was on a visit to relatives at Chapman, in Butler county, and that she arrived at Clanton on Sunday the 24th day of June, 1900; that his sister said nothing to him as to what occurred between her and Sanford Jacobi in Montgomery on the previous night, and that he knew nothing of it until he saw and read a publication thereof in the Montgomery Advertiser on Tuesday morning, June 26th 1900; that he then mentioned the matter to his sister and she said she was sorry any publicity had been given to the matter, as it would tend to injure her. Witness then further testified that Miss Lizzie Parker was about twenty-three years old in June 1900 and lived with her mother at Clanton, Alabama, and that she had no other home than with her mother, and that her mother had no other home in June, 1900, that his mother is a widow, that some time in the month of January, 1901, his mother broke up her home in Alabama, and sold her house and lot and sold off all her household goods except a little furniture which had not yet been sold, broke up housekeeping and went to Georgia; that his sister, Lizzie Parker had gone to Georgia before her, about the first of January, 1901, that he had received a letter from his sister, Lizzie, which he knew to be in her handwriting, about two weeks before the date of this trial, from Buena Vista, Georgia, the envelope containing said letter being postmarked Buena Vista, Ga. That his sister is an unmarried woman and has no home other than that of her mother; that witness, at the time she left Alabama, did not say anything about a change of domicile, or about leaving the State permanently, or about acquiring a residence elswhere than in Alabama; that witness did not know when his sister would return to Alabama, if at all; and that he knew nothing whatever about her intentions upon this subject. Witness

further testified that his sister, Miss Lizzie Parker, had testified as a witness for the State at the October term 1900, on the trial of the case of The State vs. Sanford Jacobi, under this same
13 indictment, and that said trial resulted in a mistrial. On cross-examination, in answer to a question propounded by the defendant to witness as follows, "Are you able to state whether or not your sister's stay in Georgia is indefinite?" witness answered he could not. In answer to another question propounded by defendant, witness said he was not able to state whether or not his sister Lizzie Parker was in the State of Alabama at this time or not; nor was he able to say whether or not she had been continuously absent from the State of Alabama from the time she left Clanton in January 1901, up to the time witness received said letter from some point in Georgia, the envelope of which was postmarked "Buena Vista, Ga." as testified to above.

The defendant thereupon objected to the testimony, thus sought to be elicited by the State as to the absence of Miss Parker from the State of Alabama, and moved to exclude all of the same upon the grounds that it was illegal, irrelevant, inadmissible and incompetent, and, lastly, that it was not sufficient to establish a predicate for the introduction of the testimony of the said Lizzie Parker on the former trial of this cause: pending said objection and decision State asked leave of court to recall witness Thomas, by permision of the court he was recalled by the State, and told to take the witness stand. He then further testified, as a witness for the State, in answer to questions propounded by the solicitor, said that shortly after the former trial, which occurred in November, 1900, he had a conversation with his sister, Lizzie Parker, in reference to said trial, in which she stated, "I had rather die than to come back to another trial and go through the same ordeal." The court instructed the jury that this evidence was to the court and not for the consideration of the jury. The defendant objected to this testimony and moved to exclude the same upon the grounds:

1st. That the same was illegal, irrelevant, inadmissible and incompetent.

2nd. That it was merely hearsay.

3rd. That this conversation was not had with Miss Parker at or about the time she left the State of Alabama, or contem-
14 plated leaving the State and that it had no connection therewith.

4th. It formed no part of a proper predicate for the introduction of the evidence of said Miss Lizzie Parker on a former trial of this case, and

5th. That the defendant has the constitutional right to be confronted by Miss Parker.

But the court overruled each and all of defendant's objections and permitted the testimony of said witness, Thomas, to be received in evidence for the consideration of the court alone and not of the jury; and to this action and ruling of the court defendant then and there duly excepted. Defendant thereupon renewed the objection to testimony of witness Thomas hereinabove set forth and the court

overruled the objection and admitted the testimony of said Thomas for the consideration of the court upon the question of the admissibility of the testimony of the absent witness, Miss Parker, and instructed the jury that none of said testimony was for their consideration. Defendant duly and legally excepted. The court several times instructed the jury that none of the testimony of the witnesses, Hughes, Matthews and Thomas was for the consideration of the jury but was addressed to the court and the jury could not consider same.

The State then introduced as a witness, W. H. Micou, who testified that he was a juror on the former trial of the case of The State *vs.* Sanford Jacobi, tried in the month of November, 1900, in the city court of Montgomery, and proposed to prove by said witness the testimony of said Miss Parker as he remembered it. The State asked said witness this question "Do you remember that Miss Lizzie Parker was sworn and examined by the State and cross-examined by the defendant on a former trial of this case in November, 1900, in the city of Montgomery, Alabama?" Witness then answered that he did. He was then asked by the State, "Do you remember the substance of her testimony on direct and cross-examination on said trial?" Witness answered that he did. The State then requested the witness to state in substance the testimony of said Lizzie Parker. Thereupon the defendant objected to the witness Micou testifying as to the testimony of said Lizzie Parker on the former trial of this case, upon the following grounds:

1st. That the defendant, Jacobi, has the constitutional right to be confronted by the witnesses against him.

2nd. That no sufficient predicate had been laid showing that the said Lizzie Parker was outside of the State of Alabama at the time of this trial.

3rd. That no sufficient predicate had been laid to show that the said Lizzie Parker was permanently outside of the State of Alabama.

4th. That no sufficient predicate had been laid to show that the stay of said Lizzie Parker, if absent from the State of Alabama at this time, was indefinite, contingent or uncertain.

5th. That the proposed testimony of the witness Micou, was purely hearsay.

6th. That no sufficient diligence had been shown by the State in its efforts to serve said Lizzie Parker with process in this case.

The court overruled defendant's objections, and each ground thereof, and permitted the witness, Micou, to testify as to what was the evidence of said Lizzie Parker on said former trial on the direct and cross-examinations aforesaid. To this action and ruling of the court the defendant then and there duly and severally excepted.

Witness, Micou, continuing, testified that Miss Parker's testimony on said former trial, on direct and cross-examination, was, in substance, as follows: That she had been on a visit, or had been teaching school near Chapman, in Butler county, that some time during the day of June 23rd, 1900, she had received a telegram

that her mother, who resided at Clanton, was very ill and asking her to come to her bedside; that she boarded the train at Georgiana, buying a ticket as far as Montgomery; that her train reached
16 Montgomery about 9.30 that night; that she was informed by the conductor that that particular north-bound train would not stop at Clanton without a permit; that immediately upon her arrival at Montgomery she went to the ticket office and asked the agent if an arrangement could be made for her by which the train would stop at Clanton that night; that the agent replied that there was not enough time intervening to permit the arrangement she desired to be made; thereupon she passed from the ticket office to the door and she asked the transfer man if he could furnish her with a carriage to take her out to Highland park, and also inquired what he would charge her for conveying her to that point; that she told him that she wanted to go to Highland park to spend the night with the family of a relative by the name of Gallaspie, and she also mentioned to him the fact that she had a relative living in Montgomery somewhere, by the name of Stanfield, that she saw Jacobi standing near the door, and he made some remark to her about knowing Stanfield; that she got in a carriage to go to Highland park and while seated in the carriage, a gentleman, who gave his name as Maybin, told her it was a good long ways to Highland park, the night was dark, and the weather inclement; that his wife had a boarding-house, and that if she would go with him to this boarding-house, his wife would entertain her for the night, give her a good breakfast and have her at the depot in time to catch the next morning train for Clanton, and would charge her therefor only fifty cents; that the negro driver protested against Mr. Maybin's interference with his business; that Maybin raised a stick and threatened to strike the driver; that she got out of the carriage and started with Maybin to his boarding-house, and Maybin took her hat-box and satchel in his hands; that they had walked along the street passed the corner of the Windsor hotel and had just turned up Commerce street near the hotel corner, they were overtaken by the defendant, Jacobi, whom she did not know and had never seen before that night; that she had never seen the said Maybin before
17 that night, and that both Maybin and the defendant were strangers to her; that the defendant Jacobi, when he became up besides us, he said to her; "I heard you mention the name of Mr. Stanfield a little while ago. I know that gentleman quite well, he is a particular friend of mine. He is a book-keeper at Wadsworth Lumber Company. This is Saturday night and he may be at the office now, paying off hands. I can go with you by Wadsworth Lumber Co.'s yard, and ascertain whether or not Mr. Stanfield is there. If he is there, you can go home with him; and if he is not there, it is not much out of the way, and I can bring you back to this gentleman's boarding-house (meaning Mr. Maybin) and Maybin gave him the number of his boarding-house and made Jacobi promise to bring her back to his house if he did not find Stanfield, she then went with the defendant. They went south along Commerce street to Tallapoosa street, thence in an easterly direction along Tal-

lapoosa street to Jefferson street, and thence east along Jefferson street to the southeast corner of Jefferson and McDonough streets, witness said Miss Parker did not use names of streets, but from the direction she gave he witness used the names of streets. Jacobi was attentive and polite, and used not a single indecorous word to her during this journey. He carried her bandbox and satchel in his hands. When they reached the office of Wadsworth Lumber Co., Jacobi said: "It is dark on the inside, and there does not seem to be anybody in the house. If I were you I would not go to that old man's house, it is a second-class place, I can take you a few blocks to Dexter avenue and there you can board a Highland Park car which will take you to Highland park for a nick*le*, or I will take you to a nice boarding-house." She consented to go, and they went south along McDonough street until they reached Dexter avenue. Mr. Jacobi was polite and attentive to her and sought to take no liberties with her whatever or to use any improper language to her. When they reached Dexter avenue, they saw a single-horse carriage coming from the direction of the capitol and going west along Dexter
18 avenue. Mr. Jacobi hailed the hackman who turned his hack around and came up close to the sidewalk. The curtains between the front seat occupied by the driver and the rear seat occupied by Jacobi and herself were up between her and the driver. Jacobi assisted her in the hack and told the driver to drive up a little. Jacobi got in a few feet further from the place where she got in said carriage. He whispered something to the driver which she did not hear or comprehend. After they had gone a block or two in the direction of the capit*al*, Jacobi said to the driver "Take us to Mary Graves'." The driver replied "Mary Graves has broke up and gone to Mobile to live." Jacobi then said "Take us to Mat Howard's." The driver said "All right." She then asked "Is that a nice boarding-house?" Jacobi said "Yes." After awhile they came to a house which was close to the sidewalk. She asked Jacobi again if it was a nice boarding-house and he said it was. Jacobi told the driver to go in and ring the bell, the driver, Iverson, knocked on the door several times, and then said "Mat Howard seems to be asleep, I can't wake her up." Jacobi said "Stay here and look after the horses and I will rouse her." He then went in the door, standing on the portico and by knocking on the door several times succeeded in waking the woman up. A negro woman in her *k*night clothes, holding a lighted lamp in her hand came to the door. Jacobi assisted Miss Parker out of the hack and they went in the house. Just before entering the house Jacobi told the driver, Iverson, while they were standing on the porch, to come back next morning by six o'clock to take her to the train. She asked him "Are you not going back in the hack?" He said "No, I will walk back." When they entered the hall she asked Jacobi where was the lady who owned the house and he said "It is all right, this is just the servant, the lady has stepped out." She was then ushered into a room with two windows in it. Jacobi locked the room door and took off his coat and told Miss Parker that she had to stay with him. The sash of both windows were raised, but

the blinds were closed. There was a bed in the room.
19 She sat down in the chair near one of the windows, Jacobi came up to her and took hold of her hat and pulled it off of her head, he then tried to sit in the chair with her, and she got up and moved to another chair and he came to that chair and he proposed that they should go to bed and have a good time for the night, saying that he had ordered a good breakfast and a hack for her so that she could reach the depot in time for the morning's train. She said to him "If you think I am going to stay all night with you, you are mistaken." He said: "Sweetheart, is this the way you are going to treat me after I have been so nice to you." She told him that she was not that kind of a girl and he said he would like to look into the face of a woman who was not that kind of a girl, and she said "You may look into mine." She told him that she would not go to bed with him. He said "Well, I am going to leave you," and he put on his coat and started to go away, said she was scared and weak and said to him: "Don't leave me, what in the world am I to do. I can't stay here." His reply was, "I don't know and I don't care, after I have been so nice to you as I have, then for you to treat me as you have done." Jacobi also said "Sweetheart, don't treat me this way; just pull off your shoes and corset, and let's have a good time." And she said, "I cannot do anything like that. It is not right, and I have got to protect my health and honor." He then said that it would not hurt her health; that no one need never know it; that they could spend the night together and have a good time; that he had ordered a good breakfast and a hack to have her at the depot in time to catch the train on which she wanted to go to Clanton. That no one need know anything about it except herself; that it would not hurt her health; that she could use a wet towel after she got through, and that no one would ever know anything about it; that everything would be all right; he then stooped down and tried to unfasten her shoe and she jumped up and went to the other side of the room. There was no chair there and she was very much frightened and very weak, and sat down on the side of the bed and Jacobi came
20 over to the bed and put his arms around her shoulders and pushed her over on the bed, and put one of his legs over her body and reached down and attempted to pull up her clothing; that his pants were unbuttoned and his private member was exposed. She struggled from under him and out of the bed, *and out of the bed*, and ran to the middle of the floor toward the door, Jacobi then caught her and she was trying to get loose from him and he was holding her there, when the police whistle blew and that he then let her loose when this alarm was given. When he turned her loose he put on his coat and went to the door and the *the* police officers came into the room. Police Officer Cowling asked if he must arrest Jacobi. She said not to arrest him; that he had done no harm to her except to bring her there under the pretense that he was taking her to a nice boarding-house, and that she did not want the man arrested as she did not want the matter made public and because she must go on home on account of the illness of her mother.

Policeman Cowling sent Rapport to town for a carriage to take her to police headquarters. She went into the chief-of-police office and had a conversation with Capt. Martin. He dismissed everybody from the office and he and she were alone. He asked her name, age and place of residence: she told him her name was Lizzie Parker; that she had always resided at Clanton, Ala., and never had lived in a large city, that she was twenty-three years old; that she had taught a school once at some point several miles out in the country from Georgiana, but was not teaching at that time and was there on a visit. She was on her way home in obedience to a telegram which she had received to the effect that my mother was very ill. Captain Martin said to her, "I am old enough to be your father, I understand you have been taken to an assignation-house by Sanford Jacobi and you can talk plainly with me with regard to the matter, in confidence and with perfect impunity, and with every assurance on my part of affording protection and enshielding you from harm. If this man has misused you, and you will consent to remain over until Monday, I will have him prose-
21 cuted to the fullest extent of the law, if he has committed any offense." She did tell Captain Martin that Jacobi had not done her any harm except to take her to that house under the promise of accompanying her to a nice boarding-house. She did tell him that Jacobi did not put his hands on her or use any improper language to her except to ask her to undress in his presence. She said to Captain Martin that she told Jacobi that she was not that sort of a woman, and that he was mistaken in her if he thought she was — sort of a girl. When the police officers, Cowling and Rapport, came into the office after this conversation with her Captain Martin asked them why *he* did not arrest Jacobi, but let him go? She told him that she had requested that he be not arrested, as she did not want any publicity given to the matter. She told him further that her mother was very ill and that she couldn't remain over until Monday to have the matter investigated. She told him further that agitation would result in harm to her. Capt. Martin told Policeman Cowling to accompany her to the Clifton house, a respectable hotel, and explain to the proprietor that it was important she should be awakened in time the next morning to take the early train for Clanton. The witness Micou further testified that Miss Parker in her former testimony swore that when she and Jacobi entered the house of Mat Howard on Pollard street, she saw nobody but a negro woman and she asked him (Jacobi) where the lady was who owned the house. He said "That's all right. She has stepped out. This is just her servant." She swore further that she did not make any outcry or give any alarm and the reason she did not do so was because she was scared and weak.

Henry Bartlett was next introduced as a witness by the State, and testified that he was a juror on the former trial of this case, in the city court of Montgomery, and heard the testimony of said witness, Lizzie Parker, on said former trial, and that she was sworn

and examined by the State and cross-examined by the defendant. His testimony was substantially the same as that of witness Micou.

The defendant made the same objections to the testimony of witness Bartlett, as are hereinabove set out to the witness Micou,
22 and upon the same grounds as hereinabove set out and disclosed, and moved to exclude the testimony of each of said witnesses on each of said grounds. The court overruled each of the defendant's objections *and* said motion and to the action and ruling of the court the defendant thereupon duly excepted, upon the same grounds as are hereinabove specified as grounds of objection to the testimony of said witness Micou.

The State then examined as a witness H. M. COWLING who testified that he was a mounted night policeman on or about June 23rd, 1900. That he and his partner, Paul Rapport, had passed the house occupied by Mat Howard on Pollard street about 10.30 p. m. Saturday night, June 23rd, 1900, when a hack drove up to Mat Howard's house and — couple got out, a man and a woman—and went into Mat Howard's house. He testified, Rapport and I rode by and stopped on the corner of Decatur and Pollard streets where there was a store and an electric light. A few minutes afterwards we stopped the hack which came up to where we were, and endeavored to investigate the matter by questioning the hackman, Ed. Iverson. He said that he did not know who the people were—that he got them at the depot—that they were just country people and the man wanted a nice house to stay for the night. We dismounted, Rapport and I went back to the house to investigate. I went around to the west window which is about sixteen feet from the front door. The sash of the window was up but the blinds were closed, but were aslant. A young woman was sitting in a chair near the window and Sanford Jacobi tried to sit in her lap. They were so close that if the blinds had been open I could have touched them with my hands. A light was burning in the room and I could see them distinctly and hear every word they said. Sanford Jacobi proposed that they retire and have a good time for the night, saying he had ordered a good breakfast and a hack so the young lady could reach the depot in time for the morning train. She objected and the defendant, Jacobi, said: "Is this the way you are going to treat me after I have been so nice to you, and are you not going to bed with me?" She replied "that she could do nothing of the kind and that she was not going to stay there,
23 and that she was going." He asked her "where she was going," and she replied "she was not going to stay there." He said "Well I am going to leave you" and put on his coat and started to the door. She said "What in the world am I to do, I cannot stay here?" His reply was "I don't know and I don't care; after I have been so nice to you as I have then for you to treat me as you have." When Jacobi tried to sit in the chair with her, the young lady got up from the chair where she was sitting and went over and sat down on the side of the bed. Before she went to the bed Jacobi tried to persuade her to spend the night with him, and she said "If you think I am going to spend the night with you you

are sadly mistaken." (She made no outcry and made no alarm. They did not speak in a loud tone. There was a house on the west side of Mat Howard's house within ten feet of where the couple was seated. There was also a house on the east side within twenty-five feet. There was an electric light on the corner of both Decatur and Union streets, on the west and east sides of Mat Howard's house, Mat Howard's house being about half way the block.) Jacobi, when he sat down on the bed beside Miss Parker, put his arms around her and said "Sweetheart, don't treat me this way, just pull off your shoes and corset and let's have a good time." He reached down and tried to unbuckle her shoe. The lady objected and said "I cannot do anything like that. It is not right and I have got to protect my health and honor." He then said it would not hurt *your* health, that no one need know about it, that they could spend the night together, and have a good time, that he had ordered a good breakfast for her next morning and a hack to take her to the depot in time to catch the mornings train, she wanted to Clanton; that it would not hurt her health, that she could use a wet towel after they got through; that no one would ever know of it; that everything would be all right and that she could catch the train and go on in safety. Witness testified that he did hear Miss Parker say to defendant Jacobi, "I am not that sort of a girl" and he did not hear Jacobi tell her "he would like to look in the face of a woman who was not that sort of a girl" or words to that
24 effect. When the young lady sat on the bed Jacobi went over where she was and sat down by her, put his arm around her shoulder and the other hand on her chest and pushed her back on the bed, threw his legs over her person and had his pants unbuttoned. Witness then left the window and whistled to Rapport and went to front door and went in the house, Jacobi's pants were unbuttoned but witness did not see his private member. He admitted that on his first examination on the former trial while this matter was fresh in his mind he had not testified anything about seeing Jacobi's pants unbuttoned and that in a written statement made to the chief of police shortly after this occurred he had not said anything about Jacobi's pants being unbuttoned; witness further stated when Jacobi pressed Miss Parker back on the bed and put his leg over her person that Miss Parker was trying to get away from him, witness told Rapport to go to the back door while he witness would go to the front door and that he knocked on the front door and opened it and walked in. Standing at the front door, was the young man whom he recognized as Sanford Jacobi fully dressed, who attempted to give an explanation, but witness told him he did not need an explanation and did not want one. Rapport was at the door and witness told him to hold Jacobi until he investigated further. Continuing the witness testified further "I went in and found the young lady much mortified and she said to me that she was so glad we came. I asked her what in the world she was doing here and she said she did not know. She told witness she was from Chapman, Alabama, and had a message from her mother who said she was very ill and that she was returning home, that her name

was Lizzie Parker; that she had relatives living in Montgomery; that she was compelled to remain over in Montgomery until next morning because the north-bound L. & N. train did not stop at Clanton that night; that the young man had brought her to that place under the pretense of bringing her to a nice boarding-house where she would be safe until morning; and that he told her she could get a desirable lodging and a nice breakfast and catch the
25 train in the morning. I next inquired if she wanted the man arrested and she replied she did not; that she did not want the publicity of the matter, which would follow. I told Rapport to get the horses, go to town and get a hack and send it to me. Jacobi offered to go for the hack. I told him I did not want him to go after a hack; that I ought to take my stick and beat him to death and would give him three minutes to leave. I brought the young lady up to police headquarters in the hack and reported the full particulars to Night Sergeant John Thomas, my superior officer, who ordered me to ring Capt. Martin's bell. I started to do so when I met Capt. Martin coming up the steps. I reported to him the full partic-lars of the case, and stated that the young lady was at the foot of the stairs in the hack, and I would like for him to talk to her. I told him that — young woman did not want the man arrested to save the publicity of the matter. Capt. Martin ordered me to bring the young lady to the chief's office. He talked to her in our presence, and then dismissed Rapport and me. Afterwards he called us back and ordered us to take the young lady to the hotel. During our report to Capt. Martin he asked me why we diden't bring the young man to the office and I stated because the young lady had requested us not to arrest him, and that she did not want him arrested, because she did not want the publicity it would cause, and she was compelled to go to her sick mother in the morning. She made the same statement to Capt. Martin in our presence.

Time and venue proved as laid.
Here the State *arrested*.

Defendant then offered as a witness Mr. FRANK LOCKWOOD who testified that he was an architect and that he had carefully prepared a diagram of the house known as Mat Howard's house and gave the dimensions of said house. That said house was a four-room house with a hall running north and south through it. That there was a porch in front about four feet wide, that the house faced the north; that the north side of the porch was about four feet from the
26 front gate; that there were two steps to the stairway and that the bottom step was two feet from the gate; that the west room occupied by defendant and Lizzie Parker on the night in question was 14½ feet by 17½ feet long; that there was a window on the north side and a window on the west side of the room; that there was a house within ten feet of Mat Howard's house on the west side and another house within twenty-five feet on the east side thereof; that there was no curbing to the sidewalk in front of Mat Howard's house, and nothing to prevent a carriage being driven close to the gate. The diagram of the house was then offered in evidence.

The defendant next introduced J. T. Moses as a witness in his behalf who testified that he is an insurance agent in the city of Montgomery and has an office with Emile Jacobi, the father of Sanford Jacobi, the defendant, and that they occupied these offices together on June 23rd, 1900; that Mr. C. G. Pope, special agent of the Greenwich Insurance Company of New York City, of which Emile Jacobi was local agent at Montgomery, was in the city of Montgomery on Saturday June 23rd, 1900; that Sanford Jacobi invited the said Pope to take supper with him at his father's house that night, but that said Pope excused himself upon the ground that he was not very well, and requested defendant to call at the hotel that night and accompany him to the depot, as he was on his way to New Orleans; that his train would leave that night at 9.35; and that Sanford Jacobi had gone to the Union passenger depot that night in company with the said Pope. The witness Moses further testified that he had been acquainted with Sanford Jacobi from his childhood, and that he was twenty years of age at that time, to wit: June 23rd, 1900.

This was all the evidence in the case.

Terry Richardson Esq. who assisted the solicitor as a representative of the State in the prosecution of the defendant, made the opening address to the jury. In the course of his remarks to the jury, he said, "Remember, gentlemen of the jury, that terrible struggle in the middle of the room as the defendant approached his
27 victim with vulturous hands ready to swoop down upon her, remember that awful struggle." The defendant asked the court to arrest the remarks of the said counsel, and to instruct the jury that said statement (hereinabove quoted) was not evidence and that there was no evidence before the jury in this case to support or warrant any such statement or declaration; that there was no evidence before the court and jury to the effect that any struggle had taken place between Miss Parker and the defendant while in that room, or at any time while they were together; and to exclude this statement of the counsel from the jury; but the court overruled defendant's objection, and refused to instruct the jury upon the subject or to exclude said statement of counsel objected to by defendant from the consideration of the jury; and thereupon to this action and ruling of the court, the defendant duly, separately and severally excepted.

The defendant thereupon asked the court to give the following written charge to the jury.

"If the jury believe the evidence in this case, they must find the defendant not guilty of the charge of an assault with the intent to ravish, as charged in the indictment." But the court refused to give this charge, as requested in writing, and to the action and ruling of the court defendant thereupon duly excepted. The defendant asked the court to give the following written charge or instruction to the jury: (1) "In a charge to commit rape, the evidence, to be sufficient to justify conviction, must show such acts and conduct on the part of the defendant, that there is no reasonable doubt of his intention to

gratify his lustful desire, notwithstanding any resisting on the part of the female;" but the court refused to give this charge, as requested in writing; and to the action and ruling of the court the defendant thereupon duly excepted. The defendant then asked the court to give the following written charge or instruction to the jury: (2) "Before the jury can find the defendant — of an assault to ravish in this case the jury must believe from the evidence, 28 beyond all reasonable doubt, that it was the purpose of the defendant to fully accomplish his purpose in such a manner and by such means that, if accomplished, it would be rape; that is, there must be an intent to use force, terror, intimidation and the like, necessary to accomplish the purpose;" but the court refused to give this written charge or instruction to the jury and to the action and ruling of the court, the defendant thereupon duly excepted.

The defendant asked the court to give the following written charge or instruction to the jury: (3) "The court charges the jury that the State is required to show evidence, beyond a reasonable doubt, and to a moral certainty — the existence of every fact necessary to establish the guilt of the defendant before he can be convicted. If from all the evidence to be proved," but the court refused to give this written charge or instruction to the jury, and to the action and ruling of the court, the defendant thereupon duly excepted. The defendant asked the court to give the following written charge or instruction to the jury: (4) "If the jury believe from the evidence that there was anything in the conduct of Miss Parker, which impliedly gave her consent to the defendant to put his arms around her, and take liberties with her, and he did not put his hands upon her in a rude or angry manner, but under the mistaken belief that she consented thereto, then, upon this state of facts without more, the defendant would not be guilty of an assault, or an assault and battery;" but the court refused to give this written charge or instruction to the jury, as requested by the defendant; and to the action and raling of the court, defendant thereupon duly excepted.

The defendant requested in writing the following charges or instructions which with others were given by the court and were read to the jury.

"Rape is defined to be: The unlawful carnal knowledge or a woman by force and against her will. An assault is an intentional and unlawful attempt, by force or violence, to do injury to the person of another; and in an asssault to commit a rape, the offender's 29 intent must be specific to proceed to violence, if necessary, or otherwise to do what would be rape in law." Given, Thomas, ass't judge.

"In order to constitute an assault with intent to ravish, as charged in the indictment, there must have been an intent on the part of the defendant to have unlawful carnal knowledge of Miss Parker by force and against her will, and regardless of whether she consented or refused to do the act." Given, Thomas, ass't judge.

"In order to constitute an assault with intent to ravish, there must be an intent on the part of the defendant to fully accomplish his

purpose in such manner, and by such means, that, if accomplished, it would be rape; that is to say, there must be an intent to use force, terror, intimidation and the like, necessary to accomplish the purpose." Given, Thomas, ass't judge.

"In order to constitute the offense of an assault with intent to ravish, it is necessary that the acts and conduct of the defendant should be such that there can be no reasonable doubt of his criminal intent. If the acts proved are equivocal or equally consistent with the absence of the felonous intent charged in the indictment, then they are not sufficient to warrant a verdict of guiltly." Given, Thomas, ass't judge.

3. "The court charges the jury that force is an essential constituent of the crime of rape, and before — jury can convict the defendant of an assault with intent to ravish Miss Parker, the jury must believe from the evidence that the defendant intended to use force, if necessary, to consummate a carnal connection with her—to have intercourse with her forcibly and against her will." Given, Thomas, ass't judge.

5. That fact if it be fact, that the defendant may have made indecent proposals to Miss Parker and asked her to undress and go to bed with him, and sought by solicitations and inducements to lead her to consent to have sexual intercourse with him would not without more render the defendant guilty of the offense of assault with intent to ravish her." Given, Thomas, ass't judge.

6. "Even if the jury should believe from the evidence that the defendant laid his hands upon the person of Miss Parker with the intent to have improper connection with her, but not with the object in view of forcibly ravishing her, he would not be guilty of the offense charged in the indictment of an assault with intent to ravish Miss Parker." Given, Thomas, ass't judge.

"The court charges the jury that if the evidence in this case does not clearly and unmistakably indicate a purpose on the part of the defendant to have had sexual intercourse with Miss Parker at all events, and regardless of all opposition from her, and to break down her resistance, by force if necessary, then, the evidence is insufficient to justify the jury in finding the defendant guilty of an assault with intent to ravish." Given, Thomas, ass't judge.

"The court charges the jury that before they can convict the defendant of an assault with intent to ravish Miss Parker, they must be satisfied from the evidence, beyond all reasonable doubt, not only that the defendant intended to gratify his passions by having sexual intercourse with her, but that he intended to do so at all events, and notwithstanding any resistance on her part." Given, Thomas, ass't judge.

"If the jury belive from the evidence that the defendant by indecent liberties with the person of Miss Parker sought to obtain her consent to an improper cohabitation, or carnal intercourse, and not to compel her acquiescence by force, then, upon this state of facts without more, the defendant would not be guilty of the crime of an assault with intent to ravish. The force necessary to constitute this offense, must be such as to overcome her resistence and to procure

submission on her part by means of threats, or otherwise to excite fears of bodily harm." Given, Thomas, ass't judge.

"10. The court charges the jury that unless the evidence in this case produces in the minds of the jurors a moral conviction, to the exclusion of every reasonable doubt, the guilt of the accused is not shown by that full measure of proof which the law requires,
31 and you should acquit the defendant." Given, Thomas, ass't judge.

"15. The court charges the jury that if the jury have a reasonable doubt of the truth of any fact which is necessary to convict the defendant, he is entitled to be acquitted (6.)" Given, Thomas, ass't judge.

"14. The court charges the jury that a reasonable doubt is that state of the case which after the entire comparison and consideration of all the evidence leaves the minds of the jurors in that condition that they cannot say that they feel an abiding conviction to a moral certainty of the truth of the charge; that is, to a certainty that convinces and directs the understanding and satisfies the reason and judgment of those who are bound to act conscientiously upon it." Given, Thomas, ass't judge.

The court at the request of the State gave the following written charge or instruction to the jury: "If the jury believes from the evidence beyond a reasonable doubt, that in this county and within three years before the finding of this indictment, the defendant, by a false representation of the character of the house induced Miss Parker to go with him to an assignation-house; that arriving there he locked the door of the room, took off his coat and put his arm around her, and asked her to have sexual intercourse with him; that she refused and moved her seat; that he followed her to the bed when she sat down upon the side thereof, if she did so sit, and again put his arm around her, forced her down upon the bed and threw his leg over her, at the same time having his pants unbuttoned and exposing that part of his person, and that while in said room, said Jacobi told her that she had to stay with him; that afterwards she got away from the bed and started across the floor, he again caught hold of her and was holding her when there was a knock upon the door, and he then released her, and started to putting on his clothing, the jury are authorized to look at these facts, if they be facts, in connection with all the other evidence in the case in determining whether or not the defendant assaulted
32 Miss Lizzie Parker, and if he did so assault her, whether or not at the time of such assault he had the intent to have sexual intercourse with her against her will, and by force, if necessary to accomplish his purpose, and if the jury are satisfied beyond a reasonable doubt, that defendant did assault Miss Lizzie Parker and had at the time such intent, he would be guilty of an assault with the intent to ravish, and the jury should so find." And to this action and ruling of the court in giving said written charge and instruction to the jury at the instance of the State, defendant thereupon duly excepted.

The court gave the following written charge, or instruction to the jury at the instance of the State:

"Any touching by one person of the person of another in rudeness or in anger, is an assault and battery, and every assault and battery includes an assault." And to the action and ruling of the court in giving said written instruction or charge to the jury, at the instance of the State, the defendant thereupon duly excepted.

Now comes the defendant, and tenders this the foregoing, as a true and correct bill of exceptions, which is accordingly signed and sealed by the presiding judge of said city court of Montgomery, as a true and correct bill of exceptions in said cause, in accordance with written orders of this court, duly entered of record in term *term*, allowing the defendant until the 25th day of November, 1901, in which to prepare, present and have signed the bill of exceptions in said case.

Nov. 19 1901.

W. H. THOMAS,
Associate Judge of the City Court of Montgomery.

THE STATE OF ALABAMA, }
Montgomery County. }

I, Henry H. Matthews, clerk of the city court of Montgomery in and for said county and State, do hereby certify that the foregoing pages numbered from one to fifty-six (56) inclusive contain a full, true and complete transcript of the record and proceedings
33 of said court in a cause lately therein pending wherein The State of Alabama was plaintiff and Sanford Jacobi was defendant.

And I further certify that on the 17th day of August, 1901, the said defendant prayed for and was granted an appeal from the judgment of said court in said case to the supreme court of Alabama.

Witness my hand this the 14th day of December A. D. 1901.

H. H. MATTHEWS,
Clerk of the City Court of Montgomery.

34 THE STATE OF ALABAMA *versus* SANFORD JACOBI. } Appeal from City Court of Montgomery.

Comes the said defendant, Sanford Jacobi, appellant, and says that in the record and proceedings of the court below, there is error; and he assigns the following errors:

1st. The court below erred in overruling defendant's objections to the admission in evidence of the declaration of Lizzie Parker deposed to by the witness C. E. Thomas, said declaration being: "I had rather die than to come back to another trial and go through the same ordeal;" and in admitting said evidence. Rec. p. 22.

2nd. The court below erred in overruling defendant's motion to exclude as evidence, the following declaration of Lizzie Parker deposed to by the witness Thomas: "I would rather die than to come back" &c. Rec. p. 22-23.

3rd. The court below erred in overruling the objections of defendant to the evidence of the witness Thomas, as to the absence of Lizzie Parker from the State of Alabama, and in overruling defendant's motion to exclude said evidence. Rec. p. 22.

4th. The court below erred in overruling the objection of the defendant—renewed—to the evidence of the witness C. E. Thomas. Rec. p. 23 (bottom)

5th. The court erred in permitting the witness Micou, against the objection of the defendant, to give in evidence the substance of the testimony given by the witness Lizzie Parker on a former trial of the defendant. Rec. p. 25.

6th. The court erred in overruling the objections of the defendant to the evidence of the witness W. H. Micou. Rec. p. 25.

7th. The court erred in admitting the evidence of the witness W. H. Micou against the objections of the defendant. Rec. p. 25–26.

8th. The court erred in overruling the objections of the defendant to the testimony of the witness Henry Bartlett, and in admitting said testimony against the objections of the defendant. Rec. p. 37.

35 9th. The court erred in overruling defendant's motion to exclude the evidence of the witness Henry Bartlett. Rec. p. 37.

10th. The court erred in refusing the charge requested in writing by defendant beginning with the words: "Before the jury can find the defendant — of an assault" &c. set out on page 48 of the record. Rec. p. 48.

11th. The court erred in refusing the charge requested by defendant, beginning with the words: "In a charge to commit rape" &c. set out on page 47 of the record. Rec. p. 47.

12th. The court erred in refusing the charge requested by defendant beginning with the words: "The court charges the jury that the State is required" as set out on p. 48 of record. Rec. p. 48.

13th. The court erred in refusing the charge requested by defendant, beginning with the words: "If the jury believe from the evidence that there was anything in the conduct" &c. Rec. p. 49.

14th. The court erred in refusing the charge requested by defendant beginning with the words: "Rape is defined to be" &c. Rec. p. 49.

15th. The court erred in admitting the evidence of Lizzie Parker given on a former hearing of the same indictment, as deposed to by the witness W. H. Micou, in that by and as the result of admitting said evidence the defendant was found guilty as charged and sentenced to the term of twenty years in the penitentiary of the State of Alabama and thus deprived of his liberty, without due process of law, in violation of the following provisions of section 1 of article XIV of the Constitution of the United States: "Nor shall any State deprive any person of life, liberty, or property without due process of law."

16th. The court erred in permitting the witness W. H. Micou to testify as to and give in evidence, the testimony given by Lizzie Parker on a former trial of the same indictment, said evidence was

violative of the guaranties of the common law as embodied and declared in that part of section 7 of article 1 of the then constitution of the State of Alabama providing that the accused has a right "to be confronted by the witnesses against him," which said provision of the common law was of force at the date of the adoption of the Constitution of the United States. The admission of said evidence was therefore violative of that part of section 1 of article XIV of the Constitution of the United States which declares: "Nor shall any State deprive any person of life, liberty, or property, without due process of law."

17th. The court erred in permitting the representative of the State, in argument before the jury, to use the words to which defendant objected. Rec. p. 46.

18th. The court erred in refusing the general charge requested by defendant, in respect to the crime of assault with intent to ravish.

19th. The court erred in determining the sufficiency of the evidence introduced to prove the absence of the witness Lizzie Parker from the State of Alabama and in this withdrawing the question of the sufficiency of said evidence from the jury. Rec. p. 23 (bottom).

20th. The court erred in not submitting to the jury the question of the weight and sufficiency of the evidence introduced to prove that Lizzie Parker was absent from the State of Alabama. Rec. p. 23.

21st. And now comes in his own proper person plaintiff in error in the above numbered and entitled cause, and which error complained of is now before this honorable court for review, consideration and decision, said error having been properly sealed by the trial judge, who certifies it to this honorable court for its review and decision. And here giving presently the court to understand and be informed that in addition to the error embodied in the bill sealed as aforesaid there is other error apparent upon the record and of which this appearer complains, the same having operated to his manifest injury and prejudice, inasmuch as it appears by said record that this appearer is a citizen of the United States, having been born therein, and is a citizen of the State of Alabama, within which State
37 he resides, and was a citizen of the United States and of the State of Alabama at the time of and since the acts complained of and which are charged against your appearer as being violative of the laws of said State, as is patent upon said record, your appearer, during the progress of the trial before the city court of Montgomery, county of Montgomery, State of Alabama, and at all times since said trial and now and presently here, has been and is denied the benefits, advantages and protection accorded him by section 7 of article 1 of the constitution of the State of Alabama: and was, during the process of said trial at all times since and is now, by the action of the State of Alabama and its prosecuting officers in this behalf denied the privileges and immunities accorded him by the fourteenth amendment to the Constitution of the United States, and the denial of which privileges and immunities, as a citizen of the State of Alabama and of the United States, he pleads as cause of error patent upon the record, demanding, in accordance with law, its mainte-

nance by this court, and the verdict and judgment pronounced thereon against this appearer.

And for further and additional error patent upon the record, this appearer says that he was, during the progress of the trial now before this court for review, throughout said trial, after verdict and judgment pronounced upon verdict, at all times since, and is now, by the State of Alabama and its prosecuting officer been refused and denied the benefit and protection accorded him, as a citizen of the United States and the State of Alabama, by the fourteenth amendment to the Constitution of the United States, inasmuch as this appearer was denied and refused the protection of said article of the Constitution and was tried and convicted without the benefits, advantages and protections guaranteed to him by the fourteenth amendment to the Constitution of the United States, that he should have at all stages during the trial and in proceedings subsequent to the trial, the benefit and protection of said article, and particularly so much thereof as guarantees to him due process of law; and
38 that this refusal and denial to accord him, in the progress of the trial and at all times since, due process of law is error patent upon the face of the record which demands the reversal of the judgment pronounced upon the verdict herein complained of.

Appearer further assigns as error patent upon the face of the record, that during the progress of the trial hearsay evidence was administered and allowed, by the ruling of the court, after timely objection, to go to the jury for their consideration, which evidence so administered was material in the cause and without which testimony, as appears by the record, and particularly the testimony of W. H. Micou and Henry Bartlett, no conviction could have been secured; and the administration of such evidence was in violation of the common law, in violation of the rights of the appearer, in violation of the constitution of Alabama, and of the fourteenth amendment to the Constitution of the United States and is reversible error.

And this appearer for further error patent on the face of the record, assigns as cause the withdrawal of issues of fact from the jury as to whether a proper predicate had been established by the evidence administered, to admit of second-hand or substituted proof of a fact material to the State's cause; that under the common law as administered and under the protection accorded him of due process of law by the fourteenth amendment to the Constitution of the United States, the withdrawal of the question of fact as to whether a proper predicate had been laid for the administration of subordinate and secondary proof was a question determinable not by the court, but by the jury; and before the administration of secondary proof and particularly the testimony of W. H. Micou and Henry Bartlett; and the action of the court as appears by the record, in determining a question of fact which should have been, under the law and the Constitution of the United States, submitted to the jury, violates appearer's rights under the constitution of the State of Alabama, the laws prevailing in said State, and the fourteenth amend-

ment to the Constitution of the United States, it operating a
39 denial of the benefit and protection accorded this appearer by said fourteenth amendment to the Constitution of the United States.

And for further assignment of error patent upon the face of the record, this appearer says there was not sufficient predicate laid for the administration of any proof as to what any absent witness had said previously or on any former trial; and that your appearer was denied the benefits, advantages, and protection guaranteed to him by said fourteenth amendment, inasmuch as he had not the opportunity of confrontation with the witnesses against him, and the statements made by them were not admissible as evidence against this appearer and should not have been submitted to the trial jury in the absence of a precedent fully established, and which would admit the administration of that character of proof; and that, in consequence of the action of the court, during the trial, upon judgment and at all times since, the State of Alabama through the officer representing in this behalf, has denied to this appearer the benefits, advantages and protection accorded him by the common law, the constitution of the State of Alabama, and the fourteenth amendment to the Constitution of the United States.

Wherefore, for these and divers other good and sufficient reasons appearing on the face of the record, this appearer asks that the errors herein complained of may be considered, and after consideration thereof, the said errors be recognized by this honorable court, as cause good and sufficient justifying by the court's decree a reversal of the judgment pronounced in the cause and the verdict upon which such judgment and sentence were entered; and that such further orders be made and entered as are necessary in the premises to accord to this appearer that measure of protection to which he is entitled under the constitution of the State of Alabama, and the fourteenth amendment to the Constitution of the United States, in such cases made and provided.

A. A. WILEY,
JNO. W. A. SANFORD, JR.,
J. M. CHILTON,
HENRY L. LAZARUS,
Attorneys for Appellant.

40 STATE OF ALABAMA, }
County of Montgomery. }

Sanford Jacobi, duly sworn, deposes and says that he has read the foregoing assignments, in his behalf; that he is advised the same are sound in law; that he invokes the protection accorded him as a citizen of the United States and of the State of Alabama, by the fourteenth amendment to the Constitution of the United States, and that said assignments are, upon the advice of counsel, interposed in good faith, in the honest belief that the errors assigned demand the reversal of the judgment in said cause.

SANFORD JACOBI.

Sworn to and subscribed before me, this 18th day of December, 1901.

J. W. TERRY,
Notary Public.

[NOTARIAL SEAL.]

(The foregoing affidavit is attached to assignments of error after the 19th and is on file.)

41

APR. 17, 1902.

THE STATE OF ALABAMA,
Judicial Department.

The Supreme Court of Alabama, November Term, 1901.

SANFORD JACOBI
v.
THE STATE OF ALABAMA. } 3 Div., 289.

Appeal from Montgomery city court.

MCCLELLAN, *C. J.:*

Upon a full and exhaustive consideration of the question on principle and authority, this court in *Lowe v. State*, ruled that "the testimony of a witness on a former trial or prosecution of the defendant, for the same offense, is admissible as evidence against him on a second trial, if the witness is beyond the jurisdiction of the court, whether he has removed from the State permanently or for an indefinite time;" or, to state the ruling perhaps more accurately, that when the witness has removed from the State permanently or for an indefinite time, his testimony on any former trial of the defendant for the same offense may be given in evidence against the defendant on any subsequent trial—86 Ala. 47. This decision has been often followed and reaffirmed by this court. We are entirely satisfied of its soundness; and we now again follow and reaffirm it.

Whether the predicate for the introduction of secondary
42 evidence reproducing the testimony of Miss Parker on the former trial was sufficiently and properly laid on the last trial, is another important question for adjudication on this appeal. Of course the burden was upon the prosecution to show to the reasonable satisfaction of the trial judge that the witness had left and was out of the State at the time of the trial, and that her absence was of a permanent or indefinite nature. On this matter evidence was adduced before the judge of the city court that process to secure the witness' attendence had been sent to the counties of Chilton, Jefferson and Butler. Chilton was the county of the witness' last known residence in this State. The process was returned from that county "not found." It does not appear in evidence why process was sent to the county of Jefferson. It too was returned "not found." It appeared that the witness had at some indefinite time in the past taught school in Butler county, that after this, she returned there in the summer of 1900 on a visit, and that she was returning from

that visit to her home in Chilton county when the assault was committed on her by the defendant. This writ to Butler county had not been returned. The further evidence adduced by the State tending to prove that the witness was permanently or indefinitely beyond the jurisdiction of the court was the testimony of C. E. Thomas, as follows: "That he is a half-brother of Miss Lizzie Parker (the absent witness) of Clanton Alabama; that he resides in Birmingham, Alabama, and has been residing and was so residing there on the 23rd day of June 1900 (the date of the offense); that he had not resided with his mother and sister for the past five or six years; that on or about the 23rd day of June 1900, his mother, who was then residing in Clanton (Chilton county), became quite ill, and wired for witness to come to her bedside; she also summoned his sister, Miss Lizzie Parker, who was on a visit to relatives at Chapman, Butler county, and that she arrived at Clanton on Sunday the 24th day of June, 1900; that his sister said nothing to him as to what occurred between her and Sanford Jacobi in Montgomery on the previous night, and that he knew nothing of it until he saw and read a publication thereof in the Montgomery Advertiser on Tuesday morning June 26th 1900; that he then mentioned the matter to his sister, and she said she was sorry any publicity had been
43 given to the matter as it would tend to injure her; that Miss Lizzie Parker was about twenty-three years old in June 1900, and lived with her mother at Clanton, Alabama, and that she had no other home than with her mother, and that her mother had no other home in June 1900; that his mother is a widow; that some time in the month of January, 1901, his mother broke up her home in Alabama, and sold her house and lot and sold off all her household goods, except a little furniture which has not yet been sold, broke up housekeeping and went to Georgia; that his sister, Lizzie Parker, had gone to Georgia before her, about the 1st of January 1901; that he had received a letter from his sister, Lizzie, which he knew to be in her handwriting, about two weeks before the date of this trial (Aug. 5, 1901), from Buena Vista, Georgia, the envelope containing said letter being postmarked Buena Vista, Ga., that his sister is an unmarried woman and has no home other than that of her mother; that witness at the time she left Alabama, did not say anything about a change of domicile, or about leaving the State permanently, or about acquiring a residence elsewhere than in Alabama; that witness did not know when his sister would return to Alabama, if at all, and that he knew nothing whatever about her intentions upon this subject; that his sister, Miss Lizzie Parker, had testified as a witness for the State at the October term 1900, on the trial of the case of The State against Sanford Jacobi under this indictment, and that said trial resulted in a mistrial, and that shortly after the former trial, which occurred in November 1900, he had a conversation with his sister, Lizzie Parker, in reference to said trial, in which she stated: "'I had rather die than to come back to another trial and go through the same ordeal.'" On the cross-examination of the witness Thomas, in answer to a question propounded by defendant, as follows: "Are you able to state whether

or not your sister's stay in Georgia is indefinite," the witness said he could not. In answer to another question propounded by defendant, witness said he 'was not able to state whether or not his sister, Lizzie Parker, was in the State of Alabama at this time or not, nor was he able to say whether or not she had been continuously absent from the State of Alabama from the time she left Clanton in January 1901 up to the time witness received said letter from some point in Georgia, the envelope of which was postmarked 'Buena Vista, Ga.' as he had before testified."

The absence of a witness from the State may, for the purpose under discussion, be shown in two ways. It may be made to appear by evidence of a proper and fruitless search for him in every county in which there is any apparent likelihood of his being found, from which an inference may be reasonably drawn that he is beyond the jurisdiction of the court; or, without resorting at all to proof of such vain search, it may, of course, be shown directly that he is in another State under circumstances from which it is fairly inferable that his return is contingent, uncertain and speculative.—*Mitchell v. State*, 114 Ala. 1, 3; *Thompson v. State*, 106 Ala. 67, 74. And evidence of this latter character may, of course, be strengthened in respect of the absence from this State by the fact that officers charged under process with the duty of finding him here have failed to find him in the county of his former residence. We do not understand that it was sought to lay the necessary predicate in this case by evidence of the former class, but that the evidence of the issue and return of subpoenas or attachments was intended to be and was considered by the trial court only along with the other evidence as to this witness being in the State of Georgia. None of the evidence as to the writs was of importance except that as to the process which went to Chilton county the former home of the witness. It did not appear that there was any likelihood of the witness being in the county of Jefferson or in the county of Butler. It was not shown that she had ever been in Jefferson or had any occasion to be there. So the fact that she was not there when the writ was in the hands of the sheriff of that county has no legitimate tendency to show that she was out of the State. As to Butler county it was shown that she had been there temporarily at some unidentified time in the past engaged in teaching a school, but this engagement had ended some considerable time before the offense charged; and that just before the assault upon her she had returned to that county on a visit to relatives, a necessarily temporary occasion which had ended on the day of the assault.

There being no likelihood of her presence in Butler county
45 at the time of the trial or just prior thereto there was no occasion to send process to that county for her, the return of not found on such process would not have shown that she was absent from the State, and the failure of the officer to return the writ at all neither authorizes an inference that she was in that county nor goes to weaken other evidence tending to show that she was beyond the jurisdiction of the court. But the return of the sheriff of Chilton county that she could not be found in the county of her former residence was pertinent and competent, and entitled to con-

sideration in connection with the other evidence going to show her absence from the State.

The inquiry then being whether the witness was beyond the jurisdiction of the court, that is, beyond the boundaries of the State, we have a return of an officer going to show that she could not be found in the county of her former residence, the only residence there is any evidence tending to show she ever had in the State, and with this the testimony of her brother, that her home had been up to January 1901 with her widowed mother in Clanton, Chilton county, that in said month this home had been entirely broken up, that the mother sold her house and lot—her home—in Clanton, and all her household effects except a little furniture "which has not yet been sold,"—the inference being that even this remnant was for sale—"broke up housekeeping," "*broke up her home in Alabama, and went to Georgia,*" that his sister also went to Georgia, that she, the sister, was in Georgia six months after this removal, the *prima facie* presumption being that she had remained there during that time, and was in Georgia two weeks before this trial, the *prima facie* presumption being that she had continued there up to and was there at the time of the trial; and in effect, that if either the mother or sister had ever returned to Alabama he, the son and brother knew nothing of it. In addition to this there is evidence of a *motive* on the part of Miss Parker to remove herself beyond the jurisdiction of the court and to remain out of the State indefinitely. She declared to her brother some time within the period of less than two months intervening between the time of the first trial and her departure to Georgia, that she had rather
46 die than return and go through the ordeal of another trial, her precise language being: "I had rather die than to come back to another trial and go through the same ordeal." Here there is proof of adequate motive for Miss Parker to leave and to remain indefinitely out of the State. Here is proof that the only home she had or ever had in the State was broken up, the house that sheltered her and the household goods sold. Here is proof that the mother, with whom she lived and had always lived, and naturally would live as long as she remained unmarried, having no longer a home in Alabama, nor aught else, so far as the evidence goes, to keep her here or to bring her back, but having thus disposed of all her effects, went to Georgia, and the daughter with her; and *prima facie* that since they went they have there remained, and were there at the time of the trial. Being there under these circumstances there is no warrant for saying their stay is of a temporary nature, but every pertinent consideration points to its indefiniteness, if not indeed to its permanency. So we conclude, in line, we believe, with all of our adjudications, all which have been attentively considered, that the evidence with requisite clearness showed that the witness, Lizzie Parker was permanently or indefinitely absent from the State at the time of the trial below, and that the city court properly admitted evidence of her testimony on the former trial.

We have not been inattentive to the objection and exception reserved in the court below to the admission in evidence of the decla-

ration of Miss Parker that she "had rather die than to come back to another trial and go through the same ordeal," nor to the strong argument of counsel in support of that exception. But we are of opinion that the position is not tenable, and that the declaration belongs to that class of expressions of present mental conditions which are competent as exceptional from the rule against hearsay and wholly apart from the doctrine of *res gestæ*. There being evidence of Miss Parker's having left and being absent from the State, the further inquiry was whether that absence was of a temporary, or of a permanent or indefinite nature, and this was largely a matter of intention on her part deducible from the considerations and purposes which actuated her in leaving and remaining out of the State. Expressions by her of mental conditions having a bear-
47 ing on this inquiry which to all appearances were made naturally and sincerely, are admissible as original evidence. It is of this class of declarations among others that Mr. Greenleaf says: "Wherever the bodily or mental feelings of an individual are material to be proved, the usual expressions of such feelings made at the time in question, are also original evidence. If they were the natural language of the affection, whether of body or mind, they furnish satisfactory evidence and often the only proof of its existence; and whether they were real or feigned is for the jury to determine (the court in this instance). In the words of L. J. Mellish: 'Wherever it is material to prove the state of a person's mind, or what was passing in it, and what were his intentions, there you may prove what he said, because that is the only means by which you can find out what his intentions were.' This use of such statements is often spoken of as admissible under the *res gestæ* notion, or as 'original' evidence, *i. e.* not an exception to the hearsay rule. But this seems clearly unsound. There is one sort of evidence of mental condition which is in truth merely indirect or circumstantial, and therefore not subject to the hearsay rule, *e. g.* where the sharpening of a knife on the morning before a homicide is taken as evidence of a design to kill, or where the repeated infliction of blows indicates malice, or where running away is taken as indicating fear. But where a distant assertion in the form of words predicating a mental state is offered,—as 'I have a pain in my side,' or 'I have the intention of going out of town,' or 'I do this for such and such a reason,'—this language is no less an assertion of the existence of a fact than is an assertion of any other sort of fact; in the neat phrase of L. J. Bowen: 'The state of a man's mind is as much a fact as the state of his digestion;' and therefore such assertions, being taken on the credit of the declarant as testimonial evidence of the fact asserted, are met by the hearsay rule. To admit them then is to make an exception to the hearsay rule. The different kinds of facts that may be the subject of such assertions may be grouped as follows: (1) Assertions of pain or other physical condition; (2) assertions of plan, design, intention; (3) assertions of feeling, emotion,
48 motive, reason; (4) sundry assertions by a testator."

"The existence of a person's design or plan to do a thing is relevant circumstantially to show that he ultimately did it. The

presence of the design or plan may be evidenced circumstantially by conduct; but the person's assertion of a present design or plan when made in a natural way and not under circumstances of suspicion, is admissible under the present exception. The *res gestæ* notion is often put forward, but improperly, as the justification of this; for the reason already explained such statements must be regarded as admissible by virtue of the present exception. They are generally treated as admissible; though a few courts are found to exclude *to* them, usually through a misapplication of the *res gestæ* principle. Statements of intent where the intent becomes material in determining a person's domicile, are sometimes treated as admissible by reason of the *res gestæ* or verbal-act doctrine; but it is perhaps better to regard them as governed by the present exception. Statements of intent accompanying an alleged crime are usually admitted according to the *res gestæ* doctrine."

"Statements of reason, motive, feeling, emotion are equally included under the general principle, and are admissible so far as they appear to be natural and sincere. For example, where the reason or motive for the departure of certain workmen was a part of the plaintiff's case, the statements of the workmen to the superintendent, when leaving, as to their reason for it, were admitted. * * * So also statements describing one's fear, belief, cheerful or melancholy feelings or the like, physical disgust, hostility or affection, and the like." 1 Green. Ev. § 162*a*–162*d*. The supreme court of Minnesota applied the principle just stated to the declaration of an absent witness that he was then domiciled in another State, in connection with evidence that he had left the State of the forum; and held on evidence very like that in the case before us that the testimony of the witness on a former trial was admissible. *King v. McCarthy*, 54 Minn. 190. In a leading case in Massachusetts. the court held: "Declarations of a person accompanying a change of his abiding place have always been held competent to explain the change as a part of the *res gestæ*; but declarations in such cases
49 are often admissible on a broader ground than as part of the act of removing from one place to another. The intention of the person removing is competent to be proved as an independent fact, and anything which tends to show his intention in making the change may be introduced if it is free from objection in other particulars. The intention may be inferred from acts and conduct, and conduct which tends to show the intention is competent for that purpose. Declarations which indicate the state of mind of the declarant naturally have a legitimate tendency to show intention."—*Viles v. Waltham*, 157 Mass. 542.

The declaration of Miss Parker showed a state or condition of her mind bearing directly on the inquiry whether she had left the State and whether her absence was temporary, or indefinite or permanent, and tended naturally to show such motive and intent in leaving as supports the conclusion that her absence is at least of an indefinite nature. It was properly received in evidence and considered by the city court, under the authorities and principles to which we have adverted, and is a part of the evidence for our consideration here in

determining whether the witness is indefinitely absent from the State. The declaration is a perfectly natural one for Miss Parker to have made under the circumstances, and entirely in line with the disposition to avoid putting herself forward and publicity which she has shown throughout this case. There can scarcely be a doubt that the declaration was a sincere statement of the condition of her mind on the subject of attending another trial of this defendant, a condition which would naturally lead her to leave and remain away from the State.

It may be that the evidence before the judge of the city court other than this declaration was sufficient to establish the necessary predicate for proof of Miss Parker's former testimony. We have not considered it except in connection with the declaration, deeming that clearly competent. And if such other evidence was sufficient, the admission and consideration of this declaration by the city court, conceding that action to have been erroneous, would not require or authorize a reversal. This issue upon which the declaration was received was one solely for the determination of the judge. The evidence was addressed to him alone, and not to him and the
50 jury, as, for instance, evidence to lay a predicate for confessions is addressed; and the question here is not whether he received irrelevant or otherwise incompetent evidence, but whether the competent evidence before him proved the preliminary facts involved in the inquiry.—*Burton v. State*, 107 Ala. 68.

The first charge given at the request of the State is not open to the criticisms made by counsel. The charge in its fore part requires the jury to believe the facts hypothesized beyond a reasonable doubt, and then before directing the jury as to any conclusion upon them, the charge declares that the jury are authorized to look at these facts *if they be facts*, in connection with all the other evidence etc. etc. thus authorizing a consideration of facts stated hypothetically, not if believed by the jury merely, and not even merely if believed beyond a reasonable doubt by the jury, but if and only if the facts absolutely exist. The charge in this respect is too favorable to the defendant. If it is faulty in singling out certain facts to the exclusion of others, that fault would not require a reversal for the giving of it.

We do not feel that any argument or suggestion is necessary to sustain the proposition of the second charge: "Any touching by one person of the person of another in rudeness or in anger, is an assault and battery; and every assault and battery includes an assault."

That there was evidence before the jury tending to support every material allegation of the indictment there can be and is no sort of doubt; and for the court below to have given the affirmative charge requested by the defendant would have been a most palpable and flagrant invasion of the right and exclusive province of the jury to pass upon the sufficiency of this evidence.

Charge 1 was properly refused to the defendant for that it assumes that the charge against him was rape; and if this fault were eliminated, its refusal would yet not work a reversal because the sub-

stance of it was given the jury in another instruction requested by the defendant.

Charge 2 refused was properly refused both for the reason that an important word intended to be in it is omitted from it, and
51 for the further reason that with the word supplied the charge is unsound. It is not essential to the crime of assault with intent to ravish that the perpetrator should have intended that his accomplished act should be rape. The expression of the charge is inapt and inaccurate.

Charge 3 refused to defendant is elliptical and incomplete on its face.

Charge 4 refused to the defendant was abstract. There was no evidence of any conduct or anything in the conduct of Miss Parker which impliedly or otherwise gave her consent to the liberties taken by defendant with her person. The charge also specifies some facts and excludes others from the jury's consideration.

We find no error in this record and the judgment of the city court must be

Affirmed.

52 *Transcript of Minute Entries and Judgment of the Supreme Court of Alabama in Cause of Sanford Jacobi v. The State of Alabama.*

Dec. 16, 1901.—Transcript filed. (Minutes, vol. 53, p. 73.)

SUPREME COURT, THURSDAY, *Dec. 19th*, 1901.

The court met pursuant to adjournment.

Present: All the justices.

* * * * * * *

SANFORD JACOBI
v.
THE STATE OF ALABAMA. } 3 Div., 289, Montgomery City Court.

It is ordered that this cause be passed to the Thursday of the call of the 2nd division of this court.

* * * * * * *

And the court adjourned until Friday December 20th 1901 at 10 o'clock a. m. (Minutes, vol. 53, p. 158.)

SUPREME COURT, THURSDAY, *Febr'y* 13, 1902.

The court met pursuant to adjournment.

Present: Hon. T. N. McClellan, chief justice, Hon. Jon. Haralson, associate justice, Hon. H. A. Sharpe, associate justice, Hon. J. R. Dowdell, associate justice.

* * * * * * *

SANFORD JACOBI
v.
THE STATE OF ALABAMA. } 3 Div., 289, Montgomery City Court.

Come the parties by attorneys, and argue and submit this cause for decision.

* * * * * * *

And the court adjourned until Tuesday April 8th 1902 at 10 o'clock a. m.

53 (Minutes, vol. 53, p. 213.)

SUPREME COURT, THURSDAY, *April* 17, 1902.

The court met pursuant to adjournment.

Present: Hon. Thomas N. McClelland, chief justice, Hon. John R. Tyson, associate justice, Hon. Henry A. Sharpe, associate justice, Hon. James R. Dowdell, associate justice.

* * * * * * *

SANFORD JACOBI
v.
THE STATE OF ALABAMA. } 3 Div., 289, Montgomery City Court.

Come the parties by attorneys, and the record and matters therein assigned for errors, being argued and submitted and duly examined and understood by the court, it is considered that in the record and proceedings of the city court there is no error. It is therefore considered that the judgment of the city court be in all things affirmed. It is also considered that the appellant pay the costs of appeal of this court and of the city court.

* * * * * * *

And the court adjourned until Monday April 21st 1902 at 12 o'clock m.

54 Copy.

(Fac-simile of filing.)

Supreme Court of Alabama.

Filed Apr. 21 1902.

ROB'T F. LIGON, JR., *Clerk.*

In the Supreme Court of the State of Alabama, November Term, 1901, Third Division.

STATE OF ALABAMA
vs.
SANFORD JACOBI. } No. 289.

To the honorable the chief justice of the State of Alabama or to the honorable the Chief Justice or any associate justice of the Supreme Court of the United States:

The petition of Sanford Jacobi, a citizen of the United States and of the State of Alabama, wherein he resides, respectfully represents: That in the above entitled and numbered cause, then pending therein on appeal from the city court of Montgomery, county of Montgomery, State of Alabama, and wherein your petitioner was appellant, the supreme court of the State of Alabama did, on the 17th day of April, 1902, rendered a judgment and decree against your petitioner in a certain cause wherein The State of Alabama was plaintiff and prosecutor, and your petitioner was defendant, by which said judgment it was ordered, adjudged, and decreed that the judgment of the court of first instance—to wit—the city court of Montgomery—be and the same was thereby affirmed against your petitioner, the decree and judgment of the court of first instance being based upon the verdict of a jury called to try the said cause of the State of Alabama against your petitioner, and which judgment condemned and sentenced your petitioner to imprisonmennt at hard labor for the period of twenty years, and defendant to pay the costs of the prosecution.

That the affirmance of the judgment of the court of first instance by the honorable the supreme court of the State of Alabama, on the day and date aforesaid, is final; and that the said honorable supreme court of the State of Alabama, pronouncing said decree in affirmance of the judgment of the court of first instance, is the
55 highest court of the State of Alabama in which a decision in the said proceedings could be had, and that said decision, on the day and date aforesaid, became and is final.

Your petitioner avers that he is aggrieved by said judgment and proceedings in said suit and cause; that in said judgment and in the proceedings had prior thereto in said cause, errors were committed to the prejudice of your petitioner in this: that there was, in said suit, drawn in question the validity of a statute or constitution of or an authority exercised under a State, on the ground of their being repugnant to the Constitution or laws of the United States, and the decision in said suit was in favor of their validity; and that rights, titles, privileges, and immunities were in said suit claimed by petitioner under the Constitution and statute of and commissions held and authorities exercised under the United States, and the decision in said suit was against the titles, rights, privileges, and immunities specially set up and claimed therein by petitioner under such Constitution, statutes, commissions, and authority, as will hereinafter more fully appear, and which will more particularly appear by the

assignments of error forming part of this petition, which are annexed hereto and are to be considered as part hereof.

Petitioner further represents that under an indictment lodged by the grand jury in the city court of Montgomery, he was charged with an offense, to which charge, after due proceedings, he plead "not guilty;" that upon the issues formulated the cause was duly assigned for trial; that upon the trial thereof, the State of Alabama tendered as witnesses one W. H. Micou and one Henry Bartlett; that after disclosing to the court the fact that neither had any personal knowledge of the facts to which they were called to testify, and that the tender of their testimony on behalf of the prosecution and to sustain the charge preferred, for which petitioner was then on trial, was simply to repeat from memory what the prosecutrix had previously stated; that, the said Micou and Bartlett in no man-
56 ner avouching the truthfulness of the statements to which they were about to testify, timely objection was made, on behalf of the defendant, to the administration of the proof tendered, the State having failed to lay a proper and sufficient predicate to justify the administration of the character of proof tendered; that the trial court overruled the objections of the defendant, and admitted the statements of said Micou and Bartlett as evidence, the said statements admittedly originating with the prosecutrix, Lizzie Parker, and of which she alone had knowledge and to which she alone could testify.

That the administration of the statements aforesaid as evidence, going to the jury in support of the charge contained in the indictment, denied to your petitioner the fundamental right of confrontation with the witnesses against him in a criminal prosecution, and which right of confrontation in criminal cases is protected and guaranteed by the force and virtue of the fourteenth amendment to the Constitution of the United States, which protects your petitioner in the possession of his life, liberty, and property, and of which he cannot be deprived without due process of law; and that the action and ruling of the trial court in this regard was a denial of the benefits, advantages, immunities, and protection of the Constitution of the United States, and particularly of the fourteenth amendment to the said Constitution of the United States.

Petitioner further represents that, as appears by the bill of exceptions embodied in the record, and upon the record itself in its entirety, embracing therein all the evidence administered in the cause, there was no evidence whatever warranting or justifying the conviction had and the judgment pronounced, without the statements of the said Micou and Bartlett, repeating what they understood to be the testimony of the prosecutrix upon some other occasion, and which, under the action, ruling, and directions of the court, the jury were charged to consider and did consider as evidence in the cause, upon which their verdict was necessarily based.

57 Petitioner further represents that on appeal or writ of error to the honorable the supreme court of the State of Alabama, there was lodged therein, prior to the hearing of the cause or the decision thereof, assignments of error asserting and claiming, on be-

half of your petitioner, the protection guaranteed to him by the Constitution of the United States and particularly the fourteenth amendment thereto, said assignments of error specifically indicating in what respect petitioner was entitled to the privileges and immunities guaranteed by the Constitution of the United States, and indicating particularly the administration of proof through said Micou and Bartlett, who, without personal knowledge thereof, undertook to repeat what the prosecutrix, Lizzie Parker, had said on some other occasion, thus denying to petitioner the substantial and fundamental right of confrontation with the witnesses against him in a criminal prosecution, and which right is protected and guaranteed under and by virtue of the fourteenth amendment to the Constitution of the United States, which prohibits a State from depriving any person of his life, liberty, or property without due process of law; that the honorable the supreme court of the State of Alabama, having considered the cause and decided the same by affirming the judgment of the trial court, denied by its decision and its decree the privileges and immunities asserted by petitioner, and the protection claimed by him under and by virtue of the Constitution of the United States, and more particularly the fourteenth amendment thereto; and that the said honorable supreme court of the State of Alabama, in its opinion and decree, having considered as good and sufficient proof the declaration of Micou and Bartlett, and which declarations were merely hearsay, as heretofore shown, denied to petitioner that protection guaranteed to him by the fundamental law of the land, of confrontation with the witnesses against him, in the prosecution by the State for a crime charged to have been committed by your petitioner.

Petitioner refers to the assignments of errors filed herewith, and prays that the same be considered, for the purposes of this
58 petition, as a part hereof; and further prays that a writ of error may be allowed and issued herein to the said supreme court of the State of Alabama, which now has the record in said cause, for the removal of said cause into the Supreme Court of the United States, to the end that the said errors in said judgment and the proceedings in said cause, may be duly corrected, and full and speedy justice done to the parties aforesaid in this behalf; that a transcript of the record, proceedings and papers in said cause, duly authenticated, may be sent to said Supreme Court of the United States, in accordance with law; that an order be made fixing the amount of security which petitioner shall give and furnish upon said writ of error; that upon giving such security all further proceedings in the honorable supreme court of the State of Alabama be suspended and stayed until the determination of said writ of error by the said Supreme Court of the United States; and your petitioner will ever pray.

J. M. CHILTON,
A. A. WILEY,
H. L. LAZARUS,
Ap. Counsel.

Allowed by
THOS. N. McCLELLAN,
Chief Justice of the Supreme Court of Alabama.

59

Copy.

(Fac-simile of filing.)

Supreme Court of Alabama.

Filed Apr. 21, 1902.

ROBT F. LIGON, JR., *Clerk.*

In the Supreme Court of the State of Alabama, November Term, 1901, Third Division.

STATE OF ALABAMA
vs.
SANFORD JACOBI. } No. 289.

Assignments of Error.

Now comes the plaintiff in error in the above-entitled cause, and on his writ of error from the Supreme Court of the United States says that in the record and proceedings in said cause, there is manifest error to his prejudice, which he assigns as follows, to wit:

I.

That the said supreme court of Alabama erred in deciding against the validity of an authority exercised under the authority of the United States, drawn in question in this case.

II.

The said supreme court of Alabama erred in deciding against the title, right, privilege, and immunity specially set up and claimed by plaintiff in error under the Constitution and statutes and authority exercised under the United States.

III.

The said supreme court of Alabama erred in deciding in favor of the validity of the statutes and authorities exercised under the State of Alabama, drawn in question in this case, on the ground of their being repugnant to the Constitution and laws of the United States.

IV.

That the honorable the supreme court of Alabama erred in denying to the defendant the privileges and immunities accorded him by the fourteenth amendment of the Constitution of the United States, and which privileges and immunities, as a citizen of
60 the State of Alabama and of the United States, he specially plead, demanding, in accordance with law, their maintenance by the court and the reversal of the verdict and judgment pronounced thereon, in the trial court, against the plaintiff in error.

V.

That the honorable the supreme court of Alabama erred in denying to plaintiff in error, as a citizen of the United States, the protec-

tion of the Constitution of the United States and of the amendments thereto; that defendant was tried and convicted without the benefits, advantages, and protection guaranteed to him by the fourteenth amendment to the Constitution of the United States, whereas he should have had, at all stages during his said trial, and in all proceedings subsequent to said trial, the benefit and protection of said amendment, and particularly of so much thereof as guarantee- to him due process of law; and that the refusal and denial of the said supreme court of Alabama to accord him this constitutional right in the course and progress of said trial, and at all times since, is assigned as error herein.

VI.

That the honorable the supreme court of Alabama erred in that, during the progress of the trial, hearsay evidence was admitted, allowed, and considered, under the ruling of the trial court, after timely objection, which evidence so administered was material and controlling in the cause, and without which, as appears by the record, and particularly the testimony of Micou and Bartlett, no conviction could have been secured; that none of the facts testified to by said witnesses, under the court's ruling, were within their knowledge, nor did they avouch the truthfulness of the same; and that the administration of said testimony was in violation of the common law, in violation of the rights of plaintiff in error, and in violation of the fourteenth amendment to the Constitution of the United States, which guarantees to plaintiff in error due process of law.

61

VII.

That the said court erred in allowing the administration of the testimony of said Micou and Bartlett, being the alleged statements of the prosecutrix, Lizzie Parker, on another occasion, depriving plaintiff in error of the benefits, advantages, and protection guaranteed by the fourteenth amendment to the Constitution of the United States, under which is embraced the substantial and fundamental right of confrontation, in criminal cases, with the witnesses produced against the accused; and which said right, with three exceptions fixed by the common law, is absolute, and its denial or limitation is in violation of the fourteenth amendment to the Constitution of the United States, guaranteeing to all citizens of the United States due process of law.

VIII.

That the said court erred in maintaining the right of the trial court to withdraw an issue of fact from the jury—to wit, whether the proper predicate had been established by the evidence administered, to admit of substituted proof of a fact material to the State's case; that under the common law as administered in the State of Alabama, and under the protection of due process of law accorded by the fourteenth amendment to the Constitution of the United States, the question as to whether a proper predicate had been laid for the administration of subordinate and secondary proof, was a

question determinable not by the court but by the jury, and before the administration of secondary proof, and particularly the testimony of the said Micou and the said Bartlett; and that the action of the court, as appears by the record, in determining a question of fact which should have been, under the laws and Constitution of the United States, submitted to the jury, violates petitioner's rights under the common law and as protected by the said fourteenth amendment to the Constitution of the United States, inasmuch as it operates a denial of the benefits and protection accorded to the plaintiff in error by the said fourteenth amendment to the Constitution of the United States.

62 IX.

That the trial court erred in admitting, and the honorable the supreme court of the State of Alabama erred in considering, the secondary proof administered, inasmuch as there was not a sufficient predicate laid for the administration of any proof as to what any absent witness had said previously or upon any former trial; and by its act the court denied to plaintiff in error the benefits, advantages, and protection guaranteed to him by the said fourteenth amendment to the Constitution of the United States, inasmuch as he had not an opportunity of confrontation with the witnesses against him, and the statements made by them were not admissible as evidence against plaintiff in error, and should not have been submitted to the trial court, or considered by the honorable the supreme court of the State of Alabama, in the absence of a predicate fully established to the effect that the witness absent, whose testimony was sought to be reproduced, was absent through the suggestion, means, or procurement of the accused, or was dead or insane; and without the establishment of such predicate the character of proof aforesaid should not have been admitted or considered, and its consideration by the court was in disregard of the protection guaranteed to plaintiff in error by the fourteenth amendment to the Constitution of the United States, prohibiting the States from depriving any person of his life, liberty, or property without due process of law.

X.

The plaintiff in error was denied the benefits, privileges, and advantages, as well as the protection, guaranteed to him by the fourteenth amendment to the Constitution of the United States, by the trial court admitting and the honorable the supreme court of Alabama considering, not the statements of the prosecutrix, Lizzie Parker, on some other occasion, but the recollections and remembera-e of witnesses called upon to testify what those statements were, without any knowledge of the facts, and without vouching for the truthfulness of the facts as to which they testified.

63 Wherefore, plaintiff in error prays that the judgment of said supreme court of the State of Alabama be reversed, and for such further proceedings as law and justice may require.

J. M. CHILTON.
A. A. WILEY.
H. L. LAZARUS.

64

Copy.

(Fac-simile of filing.)

Supreme Court of Alabama.

Filed Apr. 21, 1902. ROB'T F. LIGON, JR., *Clerk.*

UNITED STATES OF AMERICA, *ss:*

The President of the United States to the honorable judge of the supreme court of the State of Alabama, Greeting:

Because in the record and proceedings, as also in the rendition of the judgment of a plea which is in the said supreme court of Alabama before you or some of you, being the highest court of law and equity of the said State in which a decision could be had in the said suit between The State of Alabama, plaintiff, and Sanford Jacobi, defendant, wherein was drawn in question the validity of a statute of, or an authority under, said State on the ground of its being repugnant to the Constitution of the United States, and the decision was in favor of its validity, a manifest error has happened, to the great damage to the said Sanford Jacobi, as by his complaint appears. We being willing that error, if any hath been, shall be duly corrected, and full and speedy justice be done to the parties aforesaid in this behalf, do command you, if judgment be therein given, that then under your seal, distinctly and openly, you send the record and proceedings aforesaid with all things concerning the same, to the Supreme Court of the United States, together with this writ, so that you have the same at Washington on the 2nd Monday of October next, in the said Supreme Court to be then and there held, and the record and proceedings being inspected, the said Supreme Court may cause further to be done therein to correct the error, what of right, and according to the laws and customs of the United States should be done.

Seal of the United States Circuit Court, Middle District of Alabama.

Witness the Honorable Melville W. Fuller, Chief Justice of the Supreme Court of the United States, the 21st day of April in the year of our Lord one thousand nine hundred and two.

J. W. DIMMICK,

[SEAL.] *Clerk of the United States Circuit Court for the Middle District of Alabama.*

Allowed by
THOS. N. McCLELLAN,
Chief Justice of the Supreme Court of Alabama.

65 Copy.

(Fac-simile of filing.)

Supreme Court of Alabama.

Filed Apr. 21, 1902.

ROB'T F. LIGON, JR., *Clerk.*

Supreme Court of the United States.

SANFORD JACOBI, Pl'ff in Error,
versus
THE STATE OF ALABAMA, Def't in Error.

The United States of America to The State of Alabama, Greeting:

You are hereby cited and admonished to be and appear at the Supreme Court of the United States at Washington on the 2d Monday of October next, pursuant to a writ of error filed in the clerk's office of the supreme court of Alabama wherein Sanford Jacobi is plaintiff in error and The State of Alabama is defendant in error, to show cause, if any there be, why the judgment rendered in the said writ of error mentioned should not be corrected, and why speedy justice should not be done to the parties in that behalf.

Witness the Honorable Thos. N. McClellan chief justice of the supreme court of Alabama, this 21st day of April, A. D. 1902.

THOS. N. McCLELLAN,
Chief Justice of the Supreme Court of Alabama.

Office of attorney general, Montgomery, Ala.

APRIL 23RD, A. D. 1902.

As attorney general of the State of Alabama, I hereby accept service of the above citation and of the writ of error allowed in the above-entitled cause, and waive copy of same.

April 23rd, 1902.

CHARLES G. BROWN,
Attorney General of State of Alabama.

66 THE STATE OF ALABAMA,
Judicial Department.

The Supreme Court of Alabama, November Term, 1901.

SANFORD JACOBI, Appellant,
v.
THE STATE OF ALABAMA, Appellee. } 3 Div., 289.

Appeal from Montgomery city court.

THE STATE OF ALABAMA,
City and County of Montgomery.

I, Robert F. Ligon, clerk of the supreme court of Alabama, do hereby certify that the foregoing pages numbered from — to — in-

clusive, contain a full, true and correct copy of the record and proceedings of the supreme court of Alabama in the cause of Sanford Jacobi *vs.* The State of Alabama, lately determined by said supreme court, together with a true and correct copy of the opinion of said supreme court of Alabama and judgment entry in said cause in said court; also a true and correct copy of the petition for writ of error, assignment of error, writ of error, and citation of appeal to the Supreme Court of the United States by the plaintiff in error in said cause.

Witness, Robert F. Ligon, clerk of the supreme court of Alabama, at the capitol, this the 29th day of April, 1902.

[Seal of the Supreme Court of Alabama.]

ROBERT F. LIGON,
Clerk of the Supreme Court of Alabama.

Endorsed on cover: File No. 18550. Alabama supreme court. Term No. 341. Sanford Jacobi, plaintiff in error, *vs.* The State of Alabama. Filed May 31, 1902.

Office Supreme Court U.S.
FILED
MAY 31 1902
JAMES H. McKENNEY,
Clerk.

No. 497.

Motion to advance.

Supreme Court of the United States

OCTOBER TERM, 1901.

Filed May 31, 1902.

No. 497.

SANFORD JACOBI, PLAINTIFF IN ERROR,

vs.

STATE OF ALABAMA.

IN ERROR TO THE SUPREME COURT OF ALABAMA.

MOTION TO ADVANCE.

Comes now the plaintiff in error, Sanford Jacobi, by his counsel, and moves the court to advance this cause for an early hearing.

The plaintiff in error was indicted in the city court of Montgomery, Alabama, for an attempt to commit rape: was tried, convicted, and sentenced to twenty years' imprisonment in the State penitentiary. From this judgment he appealed to the supreme court of the State, and the supreme court affirmed the judgment of the city court. Whereupon plaintiff in error sued out a writ of error in this court, directed to the supreme court of Alabama, to bring the cause

for determination here. Plaintiff in error is now imprisoned under sentence of the city court of Montgomery, as affirmed by the supreme court of Alabama, and, on the facts as they appear in the record, petitions this court to advance the cause for an early hearing, in order that plaintiff in error may not longer be improperly restrained of his liberty.

HENRY L. LAZARUS,
Counsel for Plaintiff in Error.

[Endorsed:] File No., 18,550. Supreme Court U. S., October term, 1901. Term No., 697. Sanford Jacobi, plaintiff in error, *vs.* The State of Alabama. Motion to advance. Filed May 31, 1902.

Supreme Court of the United States

OCTOBER TERM, 1902.

No. 341.

SANFORD JACOBI, PLAINTIFF IN ERROR,

v.

THE STATE OF ALABAMA.

IN ERROR TO THE SUPREME COURT OF THE STATE OF ALABAMA.

STATEMENT OF THE CASE.

Sanford Jacobi, plaintiff in error, was indicted at the July term of the city court of Montgomery county, in the State of Alabama, charged with assaulting Lizzie Parker, "with intent forcibly to ravish her" (*Record, p.* 1). Upon arraignment, his plea of not guilty was entered (*Record, p.* 2).

The first trial of plaintiff in error resulted in a mistrial, but the minute entries showing the impaneling of the jury, the administration of proof and the disagreement of the triers is not incorporated in the record here. There is, however, to be found in the record sufficient proof to make evident the fact that the former trial resulted in the disagreement and discharge of the jury.

See declaration by the State's attorney, laying the predicate for the introduction of secondary proof (*Record, p.* 8); *also transcript of evidence of Henry Hughes, C. E. Thomas, H. H. Matthews, W. H. Micou, and Henry Bartlett* (*Record, pp.* 8, 9, 10, 11, 15).

A second trial upon the charge was had in the city court of Montgomery on the 6th of August, 1901, and a verdict returned by the jury, finding plaintiff in error "guilty as charged" (*Record, p.* 2).

A motion for a new trial was in due course made, argued, and submitted, and by the court overruled; thereupon the presiding judge of said court pronounced its judgment and sentenced plaintiff in error "to be imprisoned in the penitentiary of the State of Alabama for the term of twenty years, beginning from that day;" meanwhile suspending sentence pending defendant's appeal to the supreme court of Alabama (*Record, p.* 3).

On the first trial Lizzie Parker, the prosecutrix, called as a witness on behalf of the State, delivered her testimony in the presence of the court, the jury, and the plaintiff in error. Whether it was stenographically reported, is not indicated in this record.

On the second trial, in consequence of Lizzie Parker's failure to respond to the court's process as a witness on behalf of the State, the State's attorney "proposed to prove by said Micou the testimony of said Miss Parker, *as he remembered it;*" and the same declaration was made by the State's attorney with reference to the former testimony of Bartlett, which was permitted to be given after the same manner. Plaintiff in error, through his counsel, made timely objection to the introduction and administration of the proof tendered, and assigned among others the objections:

1. That the defendant, Jacobi, had the constitutional right to be confronted with the witnesses against him;

2. That no sufficient predicate had been laid, showing that the said Lizzie Parker was outside of the State of Alabama at the time of this trial;

3. That no sufficient predicate had been laid to show that Lizzie Parker was permanently outside of the State of Alabama;

4. It formed no part of a proper predicate for the introduction of the evidence of said Miss Lizzie Parker on a former trial of this case;

5. That the proposed testimony of the witness Micou was purely hearsay;

6. That no sufficient diligence had been shown by the State in its efforts to serve the said Lizzie Parker with process in this case.

The court overruled the defendant's objections, and each ground thereof, and permitted Micou to testify as to what he declared had been the evidence of said Lizzie Parker on said former trial, on direct and cross examination. To which action and ruling of the court, defendant then and there duly excepted (*Record, p.* 11).

The record discloses the fact, as already observed, that there had been a former trial of the same cause. That this former trial must have resulted in a disagreement and discharge of the jury is established by the circumstance that no verdict is endorsed on the bill of indictment nor is any plea of once in jeopardy entered of record. The fact is further confirmed in the recital contained in Micou's evidence, "that he was a juror on the former trial of the case of State *v.* Sanford Jacobi, tried in the month of November, 1900" (*Record, p.* 11). The same comment applies also to the witness Bartlett (*Record, p.* 15). It is fairly inferable,

from the disclosures of the record that both Micou and Bartlett, empaneled as jurors on the first trial, favored a conviction. With their narrative of what they remembered of the prosecutrix's evidence, we shall deal more at length in the argument.

From the judgment and sentence of the city court of Montgomery, plaintiff in error prosecuted his appeal to the supreme court of Alabama, and there, in accordance with the practice of that court, and to the end that his constitutional rights, protected by the fourteenth amendment to the Constitution of the United States, might be preserved from impairment or invasion by the judicial department of the government of the State of Alabama, filed and submitted assignments of error, based upon his bills reserved to the rulings of the trial court and upon errors previously complained of, as well as errors patent upon the record. (*See assignment of error, par.* 15 *et seq., Record, p.* 24.)

The cause was argued, heard, and submitted in the supreme court of Alabama, February 13, 1902 (*Record, pp.* 35, 36). The supreme court, April 17, pronounced its judgment, through his honor the chief justice, finding no error to the prejudice of defendant, and affirming the decree of the trial court in all things (*Record, pp.* 28 *and* 36).

The decree being final, plaintiff in error petitioned the chief justice of the supreme court of Alabama to be allowed his writ of error to the Supreme Court of the United States, accompanying his petition, under the directions and rules of this court, with his assignments of error, complaining of the decree pronounced and affirmed against him (*Record, p.* 37 *et seq.*). The writ was duly allowed by the chief justice of Alabama, and process properly served, as shown by the return (*Record, p.* 43).

The record and the judgment of the supreme court of Alabama are here for review, upon the assignments of error.

The State's attorney, in tendering Micou and Bartlett as witnesses, to repeat so much "as they remembered" of the di-

rect and cross examination of Lizzie Parker, the prosecuting witness, made no suggestion of her death, sickness or insanity, nor was it charged or intimated that she was absent from the trial in consequence of any suggestion of the accused, or of another on his behalf. While these witnesses undertook to narrate the substance of her testimony on the previous trial, the only predicate sought to be established was her failure to respond to the court's process, and an inferential intimation that having some time before been in the State of Georgia, she was presumed still to continue within that jurisdiction. To this was added proof of the circumstance that some ten months prior to the second trial, she had declared to her brother—"I had rather die than come back to another trial and go through the same ordeal" (*Record, p.* 13).

There is not a shred of evidence tending to establish that she continued in the same frame of mind up to the time of the trial, and, paraphrasing the language of Coleridge, J, in Regina *v.* Austen, we may properly observe:

"It is not even shown that the witness might not easily have been gotten. On the contrary, it is quite consistent with the statement in this case that the witness may have been within hail, quite ready to come, if called."

The materiality and importance of the repeated testimony of the prosecutrix, through Micou and Bartlett, is made manifest by an inspection of their declarations, made of record in the terms following:

"The State then introduced as a witness, W. H. Micou, who testified that he was a juror on the former trial of the case of The State *v.* Sanford Jacobi, tried in the month of November, 1900, in the city court of Montgomery, and proposed to prove by said witness the testimony of said Miss Parker as he remembered it. The State asked said witness this question: 'Do you remember that Miss Lizzie

Parker was sworn and examined by the State and cross-examined by the defendant on a former trial of this case in November, 1900, in the city of Montgomery, Alabama?' Witness then answered that he did. He was then asked by the State, 'Do you remember the substance of her testimony on direct and cross examination on said trial?' Witness answered that he did. The State then requested the witness to state in substance the testimony of said Lizzie Parker. Thereupon the defendant objected to the witness Micou testifying as to the testimony of said Lizzie Parker given on the former trial of this case, upon the following grounds:

"1st. That the defendant, Jacobi, has the constitutional right to be confronted by the witnesses against him.

"2nd. That no sufficient predicate had been laid showing that the said Lizzie Parker was outside of the State of Alabama at the time of this trial.

"3rd. That no sufficient predicate had been laid to show that the said Lizzie Parker was permanently outside of the State of Alabama.

"4th. That no sufficient predicate had been laid to show that the stay of said Lizzie Parker, if absent from the State of Alabama at this time, was indefinite, contingent or uncertain.

"5th. That the proposed testimony of the witness Micou, was purely hearsay.

"6th. That no sufficient diligence had been shown by the State in its efforts to serve said Lizzie Parker with process in this case.

"The court overruled defendant's objections, and each ground thereof, and permitted the witness, Micou, to testify as to what was the evidence of said Lizzie Parker on said former trial on the direct and cross examination aforesaid. To this action and ruling of the court the defendant then and there duly and severally excepted.

"Witness, Micou, continuing, testified that Miss Parker's

testimony on said former trial, on direct and cross examination, was, in substance, as follows: That she had been on a visit, or had been teaching school near Chapman, in Butler county, that some time during the day of June 23rd, 1900, she had received a telegram that her mother, who resided at Clanton, was very ill and asking her to come to her bedside; that she boarded the train at Georgiana, buying a ticket as far as Montgomery; that her train reached Montgomery about 9:30 that night; that she was informed by the conductor that that particular north-bound train would not stop at Clanton without a permit; that immediately upon her arrival at Montgomery she went to the ticket office and asked the agent if an arrangement could be made for her by which the train would stop at Clanton that night; that the agent replied that there was not enough time intervening to permit the arrangement she desired to be made; thereupon she passed from the ticket office to the door and she asked the transfer man if he could furnish her with a carriage to take her out to Highland park, and also inquired what he would charge her for conveying her to that point; that she told him that she wanted to go to Highland park to spend the night with the family of a relative by the name of Gallaspie, and she also mentioned to him the fact that she had a relative living in Montgomery somewhere, by the name of Stanfield, that she saw Jacobi standing near the door, and he made some remark to her about knowing Stanfield; that she got in a carriage to go to Highland park and while seated in the carriage, a gentleman, who gave his name as Maybin, told her it was a good long ways to Highland park, the night was dark, and the weather inclement; that his wife had a boarding-house, and that if she would go with him to this boarding-house, his wife would entertain her for the night, give her a good breakfast and have her at the depot in time to catch the next morning train for Clanton, and would charge her therefor only fifty cents; that the negro driver protested against

Mr. Maybin's interference with his business; that Maybin raised a stick and threatened to strike the driver; that she got out of the carriage and started with Maybin to his boarding-house, and Maybin took her hat-box and satchel in his hands; that they had walked along the street past the corner of the Windsor hotel and had just turned up Commerce street near the hotel corner, they were overtaken by the defendant, Jacobi, whom she did not know and had never seen before that night, that she had never seen the said Maybin before that night; that both Maybin and the defendant were strangers to her; that the defendant Jacobi, when he became up besides us, he said to her: 'I heard you mention the name of Mr. Stanfield a little while ago. I know that gentleman quite well, he is a particular friend of mine. He is a book-keeper at Wadsworth Lumber Company. This is Saturday night and he may be at the office now, paying off hands. I can go with you by Wadsworth Lumber Co.'s yard, and ascertain whether or not Mr. Stanfield is there. If he is there, you can go home with him; and if he is not there, it is not much out of the way, and I can bring you back to this gentleman's boarding-house' (meaning Mr. Maybin) and Maybin gave him the number of his boarding-house and made Jacobi promise to bring her back to his house if he did not find Stanfield, she then went with the defendant. They went south along Commerce street to Tallapoosa street, thence in an easterly direction along Tallapoosa street to Jefferson street, and thence east along Jefferson street to the southeast corner of Jefferson and McDonough streets, witness, said Miss Parker did not use names of streets, but from the direction she gave the witness used the names of streets. Jacobi was attentive and polite, and used not a single indecorous word to her during this journey. He carried her hand-box and satchel in his hands. When they reached the office of Wadsworth Lumber Co., Jacobi said, 'It is dark on the inside, and there does not seem to be anybody in the house. If I were

you I would not go to that old man's house, it is a second-class place, I can take you a few blocks to Dexter avenue and there you can board a Highland Park car which will take you to Highland park for a nickel, or I will take you to a nice boarding-house.' She consented to go, and they went south along McDonough street until they reached Dexter avenue. Mr. Jacobi was polite and attentive to her and sought to take no liberties with her whatever or to use any improper language to her. When they reached Dexter avenue, they saw a single-horse carriage coming from the direction of the capitol and going west along Dexter avenue. Mr. Jacobi hailed the hackman who turned his hack around and came up close to the sidewalk. The curtains between the front seat occupied by the driver and the rear seat occupied by Jacobi and herself were up between her and the driver. Jacobi assisted her in the hack and told the driver to drive up a little. Jacobi got in a few feet further from the place where she got in said carriage. He whispered something to the driver which she did not hear or comprehend. After they had gone a block or two in the direction of the capitol, Jacobi said to the driver 'Take us to Mat Graves'.' The driver replied, 'Mary Graves has broke up and gone to Mobile to live.' Jacobi then said, 'Take us to Mat Howard's.' The driver said 'All right.' She then asked, 'Is that a nice boarding-house'? Jacobi said 'Yes.' After a while they came to a house which was close to the sidewalk. She asked Jacobi again if it was a nice boarding-house and he said it was. Jacobi told the driver to go in and ring the bell, the driver, Iverson, knocked on the door several times, and then said 'Mat Howard seems to be asleep, I can't wake her up.' Jacobi said, 'Stay here and look after the horses and I will rouse her.' He then went in the door, standing on the portico and by knocking on the door several times succeeded in waking the woman up. A negro woman in her night clothes, holding a lighted lamp in her hand came to

the door. Jacobi assisted Miss Parker out of the hack and they went in the house. Just before entering the house Jacobi told the driver, Iverson, while they were standing on the porch, to come back next morning by six o'clock to take her to the train. She asked him, 'Are you not going back in the hack'? He said, 'No, I will walk back.' When they entered the hall she asked Jacobi where was the lady who owned the house and he said, 'It is all right, this is just the servant, the lady has stepped out.' She was then ushered into a room with two windows in it. Jacobi locked the room door and took off his coat and told Miss Parker that she had to stay with him. The sash of both windows were raised, but the blinds were closed. There was a bed in the room. She sat down in the chair near one of the windows. Jacobi came up to her and took hold of her hat and pulled it off of her head; he then tried to sit in the chair with her, and she got up and moved to another chair and he came to that chair, and he proposed that they should go to bed and have a good time for the night, saying that he had ordered a good breakfast and a hack for her so that she could reach the depot in time for the morning's train. She said to him, 'If you think I am going to stay all night with you, you are mistaken.' He said: 'Sweetheart, is this the way you are going to treat me after I have been so nice to you'? She told him that she was not that kind of a girl, and he said he would like to look into the face of a woman who was not that kind of a girl, and she said, 'You may look into mine.' She told him that she would not go to bed with him. He said, 'Well, I am going to leave you,' and he put on his coat and started to go away, said she was scared and weak and said to him: 'Don't leave me, what in the world am I to do? I can't stay here.' His reply was, 'I don't know and I don't care, after I have been so nice to you as I have, then for you to treat me as you have done.' Jacobi also said, 'Sweetheart, don't treat me this way; just pull off your shoes and corset, and let's have

a good time.' And she said, 'I cannot do anything like that. It is not right, and I have got to protect my health and honor.' He then said that it would not hurt her health; that no one need never know it; that they could spend the night together and have a good time; that he had ordered a good breakfast and a hack to have her at the depot in time to catch the train on which she wanted to go to Clanton. That no one need know anything about it except herself; that it would not hurt her health; that she could use a wet towel after she got through, and that no one would ever know anything about it; that everything would be all right; he then stooped down and tried to unfasten her shoe and she jumped up and went to the other side of the room. There was no chair there and she was very much frightened and very weak, and sat down on the side of the bed and Jacobi came over to the bed and put his arms around her shoulders and pushed her over on the bed, and put one of his legs over her body and reached down and attempted to pull up her clothing; that his pants were unbuttoned and his private member was exposed. She struggled from under him and out of the bed, *and out of the bed*, and ran to the middle of the floor toward the door; Jacobi then caught her and she was trying to get loose from him and he was holding her there, when the police whistle blew and that he then let her loose when this alarm was given. When he turned her loose he put on his coat and went to the door and the police officers came into the room. Police Officer Cowling asked if he must arrest Jacobi. She said not to arrest him; that he had done no harm to her except to bring her there under the pretense that he was taking her to a nice boarding-house, and that she did not want the man arrested as she did not want the matter made public and because she must go on home on account of the illness of her mother. Policeman Cowling sent Rapport to town for a carriage to take her to police headquarters. She went into the chief of police's office and had a conversation

with Capt. Martin. He dismissed everybody from the office and he and she were alone. He asked her name, age and place of residence; she told him her name was Lizzie Parker; that she had always resided at Clanton, Ala., and never had lived in a large city; that she was twenty-three years old; that she had taught a school once at some point several miles out in the country from Georgiana, but was not teaching at that time and was there on a visit. She was on her way home in obedience to a telegram which she had received to the effect that my mother was very ill. Captain Martin said to her, 'I am old enough to be your father, I understand you have been taken to an assignation-house by Sanford Jacobi and you can talk plainly with me with regard to the matter, in confidence and with perfect impunity, and with every assurance on my part of affording protection and enshielding you from harm. If this man has misused you, and you will consent to remain over until Monday, I will have him prosecuted to the fullest extent of the law, if he has committed any offense.' She did tell Captain Martin that Jacobi had not done her any harm, except to take her to that house under the promise of accompanying her to a nice boarding-house. She did tell him that Jacobi did not put his hands on her or use any improper language to her except to ask her to undress in his presence. She said to Captain Martin that she told Jacobi that she was not that sort of a woman, and that he was mistaken in her if he thought she was — sort of a girl. When the police officers, Cowling and Rapport, came into the office after this conversation with her Captain Martin asked them why *he* did not arrest Jacobi, but let him go? She told him that she had requested that he be not arrested, as she did not want any publicity given to the matter. She told him further that her mother was very ill and that she couldn't remain over until Monday to have the matter investigated. She told him further that agitation would result in harm to her. Captain Martin told Policeman Cowling to accompany

her to the Clifton house, a respectable hotel, and explain to the proprietor that it was important she should be awakened in time the next morning to take the early train for Clanton. The witness Micou further testified that Miss Parker in her former testimony swore that when she and Jacobi entered the house of Mat. Howard on Pollard street, she saw nobody but a negro woman and she asked him (Jacobi) where the lady was who owned the house. He said, 'That's all right. She has stepped out. This is just her servant.' She swore further that she did not make any outcry or give any alarm and the reason she did not do so was because she was scared and weak.

"Henry Bartlett was next introduced as a witness by the State, and testified that he was a juror on the former trial of this case, in the city court of Montgomery, and heard the testimony of said witness, Lizzie Parker, on said former trial, and that she was sworn and examined by the State and cross-examined by the defendant. His testimony was substantially the same as that of witness Micou.

"The defendant made the same objections to the testimony of witness Bartlett, as are hereinabove set out to the witness Micou, and upon the same grounds as hereinabove set out and disclosed, and moved to exclude the testimony of each of said witnesses on each of said grounds. The court overruled each of the defendant's objections and said motion and to the action and ruling of the court the defendant thereupon duly excepted, upon the same grounds as are hereinabove specified as grounds of objection to the testimony of said witness Micou."

The case, stripped of the declarations of Micou and Bartlett, would fail absolutely to justify the verdict of the jury, even under the most strained construction of the law with reference to assault with intent to commit rape. Eliminate from the record this substituted testimony, and the case for the prosecution is at an end. On the other hand, the decla-

rations of the absent prosecutrix, as delivered by Micou and Bartlett, if accepted by the jury as true, were quite sufficient to establish the guilt of the accused.

This recital is made, not with any purpose to have this court review the findings of the trial jury, but solely to satisfy the requirements exacted in the opinion delivered by Mr. Justice Shiras, in the case of Murray *v.* Louisiana, 163 U. S., 101.

The plaintiff in error, by his assignments, presents to this court for consideration and decision, the controlling question whether the protection of the fourteenth amendment to the Constitution, guaranteeing to him, as a citizen of the United States and of the State of Alabama, due process of law, can be claimed under the circumstances related, in a State where the common law is in force, unmodified by statute, and where the constitution of the State accords to the accused in all criminal cases the right to be confronted by the witnesses against him.

ASSIGNMENTS OF ERROR.

Now comes the plaintiff in error in the above-entitled cause, and on his writ of error from the Supreme Court of the United States says that in the record and proceedings in said case, there is manifest error to his prejudice, which he assigns as follows, to wit:

I.

That the said supreme court of Alabama erred in deciding against the validity of an authority exercised under the authority of the United States, drawn in question in this case.

II.

The said supreme court of Alabama erred in deciding against the title, right, privilege, and immunity specially set

up and claimed by plaintiff in error under the Constitution and statutes and authority exercised under the United States.

III.

The said supreme court of Alabama erred in deciding in favor of the validity of the statutes and authorities exercised under the State of Alabama, drawn in question in this case, on the ground of their being repugnant to the Constitution and laws of the United States.

IV.

That the honorable the supreme court of Alabama erred in denying to the defendant the privileges and immunities accorded him by the fourteenth amendment of the Constitution of the United States, and which privileges and immunities, as a citizen of the State of Alabama and of the United States, he specially plead, demanding, in accordance with law, their maintenance by the court and the reversal of the verdict and judgment pronounced thereon, in the trial court, against the plaintiff in error.

V.

That the honorable the supreme court of Alabama erred in denying to plaintiff in error, as a citizen of the United States, the protection of the Constitution of the United States and of the amendments thereto; that defendant was tried and convicted without the benefits, advantages, and protection guaranteed to him by the fourteenth amendment to the Constitution of the United States, whereas he should have had, at all stages during his said trial, and in all proceedings subsequent to said trial, the benefit and protection of said amendment, and particularly of so much thereof as guarantees to him due process of law; and that the refusal and denial of the said supreme court of Alabama to accord

him this constitutional right in the course and progress of said trial, and at all times since, is assigned as error herein.

VI.

That the honorable the supreme court of Alabama erred in that, during the progress of the trial, hearsay evidence was admitted, allowed, and considered, under the ruling of the trial court, after timely objection, which evidence so administered was material and controlling in the cause, and without which, as appears by the record, and particularly the testimony of Micou and Bartlett, no conviction could have been secured; that none of the facts testified to by said witnesses, under the court's ruling, were within their knowledge, nor did they avouch the truthfulness of the same; and that the administration of said testimony was in violation of the common law, in violation of the rights of plaintiff in error, and in violation of the fourteenth amendment to the Constitution of the United States, which guarantees to plaintiff in error due process of law.

VII.

That the said court erred in allowing the administration of the testimony of said Micou and Bartlett, being the alleged statements of the prosecutrix, Lizzie Parker, on another occasion, depriving plaintiff in error of the benefits, advantages, and protection guaranteed by the fourteenth amendment to the Constitution of the United States, under which is embraced the substantial and fundamental right of confrontation, in criminal cases, with the witnesses produced against the accused; and which said right, with three exceptions fixed by the common law, is absolute, and its denial or limitation is in violation of the fourteenth amendment to the Constitution of the United States, guaranteeing to all citizens of the United States due process of law.

VIII.

That the said court erred in maintaining the right of the trial court to withdraw an issue of fact from the jury—to wit, whether the proper predicate had been established by the evidence administered, to admit of substituted proof of a fact material to the State's case; that under the common law as administered in the State of Alabama, and under the protection of due process of law accorded by the fourteenth amendment to the Constitution of the United States the question as to whether a proper predicate had been laid for the administration of subordinate and secondary proof, was a question determinable not by the court but by the jury, and before the administration of secondary proof, and particularly the testimony of the said Micou and the said Bartlett; and that the action of the court, as appears by the record, in determining a question of fact which should have been, under the laws and Constitution of the United States, submitted to the jury, violates petitioner's rights under the common law and as protected by the said fourteenth amendment to the Constitution of the United States, inasmuch as it operates a denial of the benefits and protection accorded to the plaintiff in error by the said fourteenth amendment to the Constitution of the United States.

IX.

That the trial court erred in admitting, and the honorable the supreme court of the State of Alabama erred in considering, the secondary proof administered, inasmuch as there was not a sufficient predicate laid for the administration of any proof as to what any absent witness had said previously or upon any former trial; and by its act the court denied to plaintiff in error the benefits, advantages, and protection guaranteed to him by the said fourteenth amendment to the Constitution of the United States, inasmuch as he had not

an opportunity of confrontation with the witnesses against him, and the statements made by them were not admissible as evidence against plaintiff in error, and should not have been submitted to the trial court, or considered by the honorable the supreme court of the State of Alabama, in the absence of a predicate fully established to the effect that the witness absent, whose testimony was sought to be reproduced, was absent through the suggestion, means, or procurement of the accused, or was dead or insane; and without the establishment of such predicate the character of proof aforesaid should not have been admitted or considered, and its consideration by the court was in disregard of the protection guaranted to plaintiff in error by the fourteenth amendment to the Constitution of the United States, prohibiting the States from depriving any person of his life, liberty, or property without due process of law.

X.

The plaintiff in error was denied the benefits, privileges, and advantages, as well as the protection, guaranteed to him by the fourteenth amendment to the Constitution of the United States, by the trial court admitting and the honorable the supreme court of Alabama considering, not the statements of the prosecutrix, Lizzie Parker, on some other occasion, but the recollections and remembrance of witnesses called upon to testify what those statements were, without any knowledge of the facts, and without vouching for the truthfulness of the facts as to which they testified.

ARGUMENT OF HENRY L. LAZARUS

On Behalf of Sanford Jacobi, Plaintiff in Error.

May it please the court:

The magnitude, the importance and the far-reaching effect of the issue that your honors have here for consideration and decision cannot be overstated. To this tribunal is presented, for the first time in the judicial history of the courts of the United States, the question whether the substantial and fundamental rights of an American citizen, under a State constitution, to be confronted with witnesses produced against him at a trial for felony before a State court, are safeguarded against encroachment by either the legislative, executive, or judicial departments of the State governments, by the force and virtue of the fourteenth amendment to the Constitution of the United States, which, in its prohibitive language and restrictive force, denies to the States the right, through any of their agencies, to deprive a citizen of his life, liberty, or property without due process of law.

The assignment of errors raises pointedly the issue whether the accused has been constitutionally tried and legally convicted of the offense of which he was charged. The only showing made during the progress of the trial that even tended to establish the guilt of the accused (*and without which no conviction could possibly have been had*) is set out in the hearsay declarations of Micou and Bartlett—statements that do not rise to the dignity of evidence; hearsay of the most dangerous character, because apparently sanctioned by the oath of the witness whose testimony they undertake to narrate, and which admittedly do not give her words on the former trial, but assume from memory merely, and, without the aid of notes, to deliver the substance of what it is pretended had been previously testified.

The admission of such statements—under the circumstances disclosed by the record in this case, and without any attempt to offer the slightest proof that the prosecuting witness was removed from the jurisdiction of the court, by procurement or connivance on the part of the accused—denies to him not only the protection accorded by the organic law of the State when it accorded in its bill of rights to every citizen charged before its courts with an offense the right in *all* criminal prosecutions "to be confronted with the witnesses against him," but it violates moreover one of the substantial and fundamental rights of every American citizen, who enjoys, as his birthright and by right of inheritance, the protection accorded him by the fourteenth amendment to the Constitution of the United States, and would have the effect, if countenanced by the judicial department of the Federal Government, of depriving him of his liberty without due process of law.

Due process of law, as used in the constitutions of the State of Alabama and of the United States, is understood to mean "the law of the land;" not every arbitrary enactment of a legislature or erroneous decision of a court, but those only that respect and preserve inviolate the substantial and fundamental rights of the citizen, guaranteed in *Magna Charta* and "wrung from King John at the point of the sword" of which the people of the United States are the natural and adjudged inheritors. Those rights came to us, and constitute with us a fundamental part of our Government, the bulwarks of our liberties, without which a species of despotism would prevail more dangerous to the happiness and safety of the American citizen, than the rule of anarchy itself.

The fourteenth amendment to the Constitution of the United States, operating *proprio rigore* upon the States, lays its prohibition, in terms definite and exact, upon each of the States of the Union. It not only reaches the State, as a community, in its force and effect, but, in its operation, it ex-

tends therein to every department, legislative, executive and judicial. The provisions of the constitutional article were used with reference to the condition and state of the citizen and the state of the law, at the time of the adoption of the Constitution of the United States.

The prohibitory clause in the Federal Constitution, that no State shall deprive any person of life, liberty, or property without due process of law, had a fixed, definite, and ascertained meaning; and in the sense in which it was understood and applied by our ancestors, it was adopted as part of the fundamental law of this country.

Repeated adjudications of the Supreme Court of the United States, construing the fourteenth amendment, and interpreting its effect, all recognize that the expression "due process of law" is to be understood now as it was understood by our ancestors in their interpretation of the *Magna Charta*, and, in consequence of that construction, embraces those substantial and fundamental rights necessary for the protection of the lives, liberties and property of American citizens.

In construing this article of the Constitution, Mr. Justice Miller, speaking for the court in Davidson *v.* New Orleans, 96 U. S. 99, said that it was to be interpreted and construed upon the lines of inclusion and exclusion. In other words, that it was for the judicial department of the Government to say, with due regard to the fundamental rights of the citizen, what was protected by the prohibition and therefore within its terms, and what rights were not affected, and therefore excluded from its operation; and that no exact and precise definition could be announced, but that each case must depend for its determination upon the primary inquiry whether the rights of the citizen sought to be affected were of that substantial and fundamental character which were safeguarded by the canons of the common law as carried into the fourteenth amendment, guaranteeing to the citizens of

the United States protection against the deprivation of life, liberty, or property, without due process of law.

In this same case it was held:

"It must be confessed, however, that the constitutional meaning or value of the phrase 'due process of law,' remains today without that satisfactory precision of definition which judicial decisions have given to nearly all the other guarantees of personal rights found in the constitutions of the several States and of the United States.

"It is easy to see that when the great barons of England wrung from King John, at the point of the sword, the concession that neither their lives nor their property should be disposed of by the Crown, except as provided by the law of the land, they meant by 'law of the land' *the ancient and customary laws of the English people, or laws enacted by the Parliament of which these barons were a controlling element.* It was not in their minds, therefore, to protect themselves against the enactment of laws by the Parliament of England. But when, in the year of grace 1866, there is placed in the Constitution of the United States a declaration that 'no State shall deprive any person of life, liberty, or property without due process of law,' can a State make anything due process of law which, by its own legislation, it chooses to declare such? To affirm this is to hold that the prohibition to the States is of no avail, or has no application where the invasion of private rights is effected under the forms of State legislation."

After reviewing the earlier jurisprudence, construing the fifth amendment to the Constitution, Mr. Justice Miller continued:

"But, apart from the imminent risk of a failure to give any definition which shall be at once perspicuous, comprehensive, and satisfactory, there is wisdom, we think, in the ascertaining of the intent and application of such an in-

portant phrase in the Federal Constitution, by the gradual process of *judicial inclusion and exclusion*, as the cases presented for decision shall require, with the reasoning on which such decision may be founded. This court is, after an experience of nearly a century, still engaged in defining the obligation of contracts, the regulation of commerce, and other powers conferred on the Federal Government, or limitations imposed upon the States."

In the recent case of Holden *v.* Hardy, 169 U. S., there was in issue the construction of a local statute regarding labor and the hours of its employment, in which was involved the question of the police power of the State. An exemption from the effect and operation of the statute, was urged under this provision of the fourteenth amendment; and while it was held that the particular statute in question was within the legitimate domain of State legislation and unaffected by the fourteenth amendment, still the learned justice who delivered the opinion carefully reviewed the jurisprudence of the Supreme Court construing the amendment, and in the course of his opinion announced:

"The fourteenth amendment, which was finally adopted July 28th, 1868, largely expanded the power of the Federal courts and Congress, and for the first time authorized the former to declare invalid all laws and *judicial decisions* of the States abridging the rights of citizens or denying them the benefit of due process of law."

And in the same case, page 387, Mr. Justice Brown proceeded:

"This case does not call for an expression of opinion as to the wisdom of these changes, or their validity under the fourteenth amendment, although the substitution of prosecution by information in lieu of indictment was recognized as valid in *Hurtado v. California*, 110 U. S. 516. They are

mentioned only for the purpose of calling attention to the probability that other changes of no less importance may be made in the future, and that *while the cardinal principles of justice are immutable*, the methods by which justice is administered are subject to constant fluctuation, and that the Constitution of the United States, which is necessarily and to a large extent inflexible and exceedingly difficult of amendment, should not be so construed as to deprive the States of the power to so amend their laws as to make them conform to the wishes of the citizens as they may deem best for the public welfare *without bringing them into conflict with the supreme law of the land.*

* * * * * * *

"We do not wish, however, to be understood as holding that this power is unlimited. While the people of each State may doubtless adopt such systems of laws as best conform to their own traditions and customs, the people of the entire country have laid down in the Constitution of the United States certain fundamental principles to which each member of the Union is bound to accede as a condition of its admission as a State. * * * In addition, the fourteenth amendment contains a *sweeping* provision forbidding the States from abridging the privileges and immunities of citizens of the United States, and denying them the benefit of due process or equal protection of the laws.

"This court has never attempted to define with precision the words 'due process of law,' nor is it necessary to do so in this case. It is sufficient to say that there *are certain immutable principles of justice which inhere in the very idea of free government which no member of the Union may disregard*, as that no man shall be condemned in his person or property without due notice and an opportunity of being heard in his defense. What shall constitute due process of law was perhaps as well stated by Mr. Justice Curtis in Murray's Lessees *v.* Hoboken Land Co., 18 Howard, 272, 276, as any-

where. He said: 'The Constitution contains no description of those processes which it was intended to allow or forbid. It does not even declare what principles are to be applied to ascertain whether it be due process. *It is manifest that it was not left to the legislative power to enact any process which might be devised.* The article is a restraint on the legislative as well as on the executive and judicial powers of the Government, and cannot be so construed as to leave Congress free to make any process "due process of law," by its mere will. To what principles, then, are we to resort to ascertain whether this process, enacted by Congress, is due process? To this the answer must be twofold. We must examine the Constitution itself, to see whether this process be in conflict with any of its provisions. If not found to be so, we must look to those *settled usages and modes of proceeding existing in the common and statute law of England, before the emigration of our ancestors*, and which are shown not to have been unsuited to their civil and political condition by having been acted on by them after the settlement of the country.'"

Having next reviewed the opinion of Mr. Justice Miller in Davidson *v.* New Orleans, the learned justice added:

"Recognizing the difficulty in defining, with exactness, the phrase 'due process of law,' *it is certain that these words imply a conformity with natural and inherent principles of justice*, * * * and that no one shall be condemned in his person or property without an opportunity of being heard in his own defense."

And it was determined by Mr. Justice Gray in the case of Lowe *v.* Kansas, 163 U. S. 85, that—

"Whether the mode of proceeding prescribed by this statute and followed in this case, was due process of law, depends upon the question whether it was in substantial accord *with*

the law and usage in England before the Declaration of Independence, and in this country, since it became a nation, in similar cases."

In the Civil Rights Cases, 109 U. S., page 11, Mr. Justice Bradley, in delivering the opinion of the court, said:

"The first section of the fourteenth amendment, (which is the one relied on), after declaring who shall be citizens of the United States, and of the several States, is prohibitory in its character, *and prohibitory upon the States*. It declares that:

"'No State shall make or enforce any law which shall abridge the privileges or immunities of citizens of the United States; nor shall any State deprive any person of life, liberty, or property without due process of law; nor deny to any person within its jurisdiction the equal protection of the laws.'

"*It is State action of a particular character that is prohibited.* Individual invasion of individual rights is not the subject-matter of the amendment. It has a deeper and broader scope. It nullifies and makes void all State legislation, *and State action of every kind*, which impairs the privileges and immunities of citizens of the United States, or which injures them in life, liberty or property without due process of law, or which denies to any of them the equal protection of the laws. It not only does this, but, in order that the national will, thus declared, may not be a mere *brutum fulmen*, the last section of the amendment invests Congress with power to enforce it by appropriate legislation. To enforce what? To enforce the prohibition. To adopt appropriate legislation for correcting the effects of such prohibited State laws and State acts, and thus to render them effectually null, void, and innocuous. This is the legislative power conferred upon Congress, and this is the whole of it. It does not invest Congress with power to legislate upon subjects which are within the domain of State legislation; *but to provide modes of relief*

against State legislation, or State action, of the kind referred to. It does not authorize Congress to create a code of municipal law for the regulation of private rights; but to provide modes of redress against the operation of State laws, *and the action of State officers, executive or judicial,* when these are subversive of the *fundamental rights* specified in the amendment. Positive rights and privileges are undoubtedly secured by the fourteenth amendment; but they are secured by way of prohibition against State laws and State proceedings affecting those rights and privileges, and by power given to Congress to legislate for the purpose of carrying such prohibition into effect; and such legislation must necessarily be predicated upon such supposed State laws or State proceedings, and be directed to the correction of their operation and effect. A quite full discussion of this aspect of the amendment may be found in *United States v. Cruikshank*, 92 U. S., 542; *Virginia v. Rives*, 100 U. S., 313, and *Ex parte Virginia*, 100 U. S., 339."

On page 23 the learned justice continues:

"Under the fourteenth amendment, it has power to counteract and render nugatory all State laws and proceedings which have the effect to abridge any of the privileges or immunities of citizens of the United States, or to deprive them of life, liberty, or property without due process of law, or to deny to any of them the equal protection of the laws. * * * As we have already shown, it must necessarily be, and can only be, corrective in its character, addressed to counteract and afford relief against State regulations or proceedings."

On page 13 of the same case it is declared:

"And so in the present case, until some State law has been passed, or through its officers or agents some State action has been taken, adverse to the rights of citizens sought to be protected by the fourteenth amendment, no legislation of the United States under said amendment, nor any proceeding

under such legislation, can be called into activity; for the prohibitions of the amendment are *against State laws and acts done under State authority.*"

In United States *v.* Cruikshank *et als.*, 92 U. S., 554, Chief Justice Waite said:

"The fourteenth amendment prohibits a State from depriving any person of life, liberty, or property, without due process of law; but this adds nothing to the rights of one citizen as against another. It simply furnishes an additional guaranty against any encroachment by the States upon the fundamental rights which belong to every citizen as a member of society. As was said by Mr. Justice Johnson, in *Bank of Columbia v. Okely*, 4 Wheat., 244, it secures 'the individual from the arbitrary exercise of the powers of government, unrestrained by the established principles of private rights and distributive justice.'"

Mr. Guthrie, in his lectures upon the fourteenth amendment to the Constitution, discusses with recognized ability the historical origin and effective force of the words "due process of law" as used therein. He says:

"The phrase 'due process of law' antedates the establishment of our institutions, and is endeared to our race by antiquity and the noblest historical associations. It embodies one of the broadest and most far-reaching guaranties of personal and property rights. Indeed, no words in our language signify more in respect of the rights and privileges of the individual than 'due process of law.'

* * * * * * *

"This pledge of individual rights and liberties is in the Constitution of the United States in a twofold sense; in the fifth amendment it is a limitation upon the powers of the National Government; in the fourteenth amendment it is a limitation upon the powers of the States. Without ventur-

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ing to attempt any specific definition, it may be said that the general scope of the provision requiring due process of law is to secure to every person, whether citizen or alien, those fundamental and inalienable rights of life, liberty, and property which are inherent in every man, and to protect all against the arbitrary exercise of governmental powers in violation and disregard of *established principles of distributive justice*. This protection is the object and essence of free government, and without it true liberty cannot exist.

* * * * * * *

"No statement of the general meaning of the phrases 'due process of law' and 'the law of the land' is more often quoted than that given by Mr. Webster in the Dartmouth College case. It defines the term in its relation to procedure as well as to substantive rights. Mr. Webster said: 'By the law of the land is most clearly intended the general law; a law, which hears before it condemns; which proceeds upon inquiry, and renders judgment only after trial. The meaning is that every citizen shall hold his life, liberty, property, and immunities, under the protection of the general rules which govern society. Everything which may pass under the form of an enactment, is not, therefore, to be considered the law of the land.' Judge Story's definition is succinct and accurate: 'Due process of law in each particular case means such an exertion of the powers of government as the *settled maxims of law permit and sanction*, and under such safeguards for the protection of individual rights as those maxims prescribe for the class of cases to which the one being dealt with belongs.'"

These references to the opinions of the court, given in general but expressive terms, are submitted for the purpose of indicating the judicial interpretation placed by the ultimate tribunal upon the phrase "due process of law." It is not our intention to pursue the discussion beyond the necessities of an issue affecting only the person of the subject, for we

have no manner of concern save with the construction that is to govern under the guarantee that no person shall be deprived of life or liberty, without due process of law.

Called upon to deal exclusively with the meaning attached to this expression, when thus restricted to life and liberty, we are relieved from the necessity of discussing the opinions of this court applying to cases in respect of those rights affecting property which are safeguarded by the requirement as to them of "due process of law."

In the qualified sense above stated, there are to be deduced from the cases the following general principles:

1. That the fourteenth amendment is prohibitive and restrictive upon the States, and upon the legislative, executive, and judicial departments of the State governments, and all of their agencies;

2. That the prohibitions and restrictions directed against the State and its agents, operate *proprio vigore;*

3. That against State action, legislative, executive, and judicial, those substantial and fundamental rights of the citizen are protected of which he cannot be deprived, since they are based upon cardinal principles of justice, that are immutable;

4. That under the terms used in the amendment, "due process of law," are embraced those substantial and fundamental principles of right, indestructible and unchangeable, contained in the bill of rights, and safeguarded by the prohibitions of the Constitution;

5. That it is to be ascertained by the judiciary, without declaring in advance but determining each case as it arises, whether the protection claimed under the bill of rights is of such a substantial and fundamental character as to fall within the operation of the prohibitive language of the Con-

stitution; and that this is to be determined upon the lines indicated, of inclusion and exclusion;

6. That to determine whether the right asserted is of the substantial and fundamental character thus safeguarded by the constitution from impairment or destruction, we are directed to the common law of England prevailing at the time of the adoption of the Constitution, and to the glorious and imperishable bill of rights *contained in Magna Charta*.

What those substantial and fundamental rights are, founded upon the immutable principles of justice; the birthright and inheritance of the American citizen, protected at all times by the Constitution of the United States from impairment and destruction, it is not necessary nor useful at this time to enumerate. As Mr. Justice Washington had occasion to say, in Caufield *v.* Coryell, 4 Wash., C. C. Rep., 371:

"What these fundamental principles are, it would be more tedious than difficult to enumerate. They may, however, be all comprehended under the following general heads: protection by the government; the enjoyment of life and liberty, with the right to acquire and possess property of every kind, and to pursue and obtain happiness and safety, subject nevertheless, to such restraints as the government may prescribe for the general good of the whole."

But whether we undertake to enumerate all or only some of the rights thus protected, it is sufficient, for the consideration and decision of this case, to point out in the bill of rights that substantial and fundamental principle which, in criminal prosecutions, accords at all times to the accused the absolute right of being confronted with the witnesses against him, subject only to those well-recognized exceptions established and enforced in the practice of the English courts

at common law at the time of the adoption of the Federal Constitution. That right, founded upon the principles of immutable justice, declared in the Great Charter of the liberties of our ancestors and embodied in the fundamental law of the land, is the protection of the accused against the arbitrary acts of his State and the despotism of those upon whom the responsibility rests in the prosecution and trial of crime. It is enumerated as one of the sacred heritages of every American citizen, in the constitution of every State in the Union, we believe, but three. Under the original amendments to the Constitution, it is a restraint upon the National Government, and in the fourteenth amendment it is carried into the general declaration that no man shall be deprived of his life, liberty, or property without due process of law, which embodies a prohibition operating upon the States in the protection of this substantial and fundamental right.

In the case of Brown *v.* Walker, 161 U. S., 600, Mr. Justice Brown, speaking generally of the substantial and fundamental rights inherent in the citizen and protected by the Constitution of the United States as embodied in the bill of rights embraced within the eight amendments to the Constitution, said:

"As the object of the first eight amendments to the Constitution was to incorporate into the fundamental law of the land *certain principles of natural justice which have become permanently fixed in the jurisprudence of the mother country*, the construction given to those principles by the English courts is cogent evidence of what they were designed to secure, and of the limitations that should be put upon them. This is but another application of the familiar rule that where one State adopts the laws of another, it is also presumed to adopt the known and settled construction of those laws by the courts of the State from which they are taken (Cathcart *v.* Robinson, 5 Pet., 264, 280; McDonald *v.* Hovey, 110 U. S., 619)."

Mr. Justice Story, in his work on the Constitution, volume 2, section 1791, in dealing with the fundamental rights of an accused charged with the commission of a crime, enumerates among other rights substantial and fundamental, and protected by the bill of rights and the Constitution, the right to be confronted with the witnesses produced against him and declares that in this the organic law of the nation does but follow the established course of the common law in all trials for crime. That learned justice said:

"The other article, in declaring that the accused shall enjoy the right to a speedy and public trial by an impartial jury of the State or district wherein the crime shall have been committed (which district shall be previously ascertained by law) and to be informed of the nature and cause of the accusation, *and to be confronted with the witnesses against him, does but follow out the established course of the common law in all trials for crimes.* The trial is always public; the witnesses are sworn, and give in their testimony (at least in capital cases) in the presence of the accused; the nature and cause of the accusations are accurately laid down in the indictment; and the trial is at once speedy, impartial, and in the district of the offense. Without in any measure impugning the propriety of these provisions, it may be suggested that there seems to have been an undue solicitude to introduce into the Constitution some of the *general guards and proceedings of the common law in criminal trials* (truly admirable in themselves) without sufficiently adverting to the consideration that unless the whole system is incorporated, and especially the law of evidence, a corrupt legislature, or a debased and servile people may render the whole little more than a solemn pageantry. If, on the other hand, the people are enlightened, and honest, and zealous in defense of their rights and liberties, it will be impossible to surprise them into a surrender of a single valuable appendage of the trial by jury."

As a prime essential to an intelligent appreciation of the criticism of Mr. Justice Story, a consideration of the law of England in this respect at the time of the adoption of the Constitution of the United States, to which time must be referred the fourteenth amendment, is indispensable, for if at that time the substantial and fundamental right of the accused in criminal proceedings to be confronted with the witnesses against him was part and parcel of the law of England, protected by the great charter of the liberties of Englishmen, it must be carried into that provision of the fourteenth amendment which protects the citizen against the deprivation of his life, his liberty, or his property without due process of law (Story on the Constitution, sec. 1789). If it be a right, substantial and fundamental, based upon the immutable principles of justice, the protecting ægis of the fourteenth amendment operates *proprio vigore* against the impairment of the right.

It is the boast of the English law, and justly so, that it protects its citizens and safeguards their rights whenever prosecuted for the commission of crime.

Lord Chief Justice Hale, in his Pleas of the Crown, page 33, says:

"Yet the law of England hath afforded the best method of trial that is possible, of this and all other matters of fact, namely, by a jury of twelve men all concurring in the same judgment, *by the testimony of witnesses viva voce in the presence of the judge and jury, and by the inspection and direction of the judge.*"

It is said in Bacon's Abridgement, vol. 3, page 512, under the title "Evidence," that—

"The examination of witnesses *viva voce* in open court is justly esteemed one of the greatest excellencies of our law, not only from the awe and reverence which the solemnity of the manner is supposed to produce in the witness, and the

regard which from thence he must have for truth, but also from the benefit of cross-examining; and, further, the air and manner of giving evidence often carry such convictions with them as will induce the court and jury to believe or reject what the witness has sworn. *Hence, it hath always been held as a settled rule, that in cases of life no evidence is to be given against a prisoner but in his presence.*" (See also *ib.*, 630.

In *Magna Charter*, chap. 29, it is solemnly declared:

"No freeman shall be taken or imprisoned, or be dissezed of his freehold or liberties, or free customs, or be outlawed, or exiled, or any otherwise destroyed; nor will we pass upon him, nor condemn him, but by lawful judgment of his peers, or by the law of the land. We will sell to no man, we will not deny or defer to any man, either justice or right."

Of this charter Lord Coke says: "It is but declaratory of the old law. *Per legale judicium parium suorum, vel per legem terræ;*" and then, commenting on the words *per legale judicium*, he adds: "By the word *legale*, amongst others, three things are implied: 1. That this manner of trial was by law before this statute. 2. That their verdict must be legally given, wherein principally it is to be observed: *first*, that the lords *ought to hear no evidence but in the presence and hearing of the prisoner; secondly*, after the lords be gone together to consider of the evidence, they cannot send to the high steward to ask the judges any question of law *but in the hearing of the prisoner*, that he may hear whether the case be rightly put, for *de facto jus oritur;* neither can the lords, when they are gone together, send for one of the judges to know any opinion of law; but the high steward ought to demand it in court *in the hearing of the prisoner*. 3. When all the evidence is given by the King's learned counsel, the high steward cannot collect the evidence against the prisoner, or in any sort

confer with the lords touching their evidence *in the absence of the prisoner*; but he ought to be called to it; and all that is implied in this word *legale*" (Lord Coke's Second Inst., 48, 49).

Thus we see that Lord Coke's notion of the expression, "but by the *lawful* judgment of his peers," was what the old law required before the statute known as *Magna Charta*, 9 Henry III, and that no evidence ought to be heard but *in the presence of the prisoner*. This was Coke's understanding of the law, and of this phrase *per legale judicium*.

The framers of our Constitution well knew this; they were familiar with the English *Magna Charta*. They also well knew how often it had been totally denied to prisoners of the English courts to have the witnesses brought into court to meet them face to face. They knew that the statute of Edward VI had been totally disregarded in trials for treason, and to avoid these acts, and to withdraw our State prosecutions from the influence of such authorities as the English courts might present, and to place the matter beyond doubt, they declared and inserted in the declaration of rights, that "in all criminal prosecutions the accused has the right to be heard by himself and his counsel; to demand the nature and cause of the accusation; to have compulsory process for witnesses in his favor; to meet the witnesses against him face to face; and, in prosecutions on presentment or indictment, to a speedy trial by an impartial jury of the vicinage; that the accused cannot be compelled to give evidence against himself, nor be deprived of life, liberty or property, but by the judgment of his peers or the law of the land."

Now they did not rest satisfied by using the words "*per legale judicium parium suorum*" of the English *Magna Charta* which Lord Coke says requires the evidence in criminal prosecutions to be given *in presence of the prisoner*, but they use the expression, "the accused, in all criminal prosecutions, has the right to meet the witnesses against him face to face, and that he shall not be deprived of life, liberty or

property—*nisi per judicium parium suorum, vel per legem terræ*—but by the judgment of his peers or the law of the land. They did not content themselves with the expression which Lord Coke says requires the evidence to be given in the presence and hearing of the accused, but use the emphatic language, "to meet the witnesses against him face to face;" and also the expression from Magna Charta, "*per legale judicium parium suorum, vel per legem terræ.*"

The double use of this right is not to be considered accidental or unmeaning. There was a prudential and protecting care in the bill of rights they wrote into the constitution. They had seen how the subjects of Great Britain had been denied the benefit of this clause in the administration of the criminal code, notwithstanding Lord Coke's construction of *Magna Charta*, and they would leave no ground for such a practice here. They remembered a long line of enormities, ending with the attainder of high treason and execution of Sir John Fenwick, upon the deposition of an absent witness which was taken out of the presence and hearing of the accused, and that these abuses became so frequent and flagrant in prosecutions for treason as to provoke the observation from Sir Michael Foster, in his work on Crown law, that he could not find, upon looking over the State trials from the first of Queen Mary to the Restoration, "that in Crown prosecutions any great regard was paid to the acts of Edward VI for nearly a century after they were passed, or indeed to the common well-known rules of legal evidence; though the authors who wrote in those days sometimes speak of the acts as then in force."

Therefore it is that our law requires persons to appear and give their testimony *viva voce*, and we see that the testimony appears credible or not by the very countenances and the manner of the declarants. Our forefathers contended that it was but justice in criminal cases, pursuant to the spirit of the British constitution, that the defendant should see his accuser. The framers of our Constitution keenly appreciated

the invaluable advantage in public prosecutions for criminal offenses, which resulted from the right to have the witnesses and the accused confronted in presence of the court and jury. Expression, tone of voice, demeanor, and a thousand indescribable *indices* in the appearance and conduct of the witnesses were all-important, and by these the jury could very correctly estimate the amount of credibility they deserved.

Every one must know there is a difference in the effect of the same words when delivered in open court from the mouth of the witness and when read from a deposition. They may seem worthy of credit in the one case, and positively unworthy of all credit in the other. Who can be unmindful of the influence of the manner and carriage of a witness on the stand? There are many things, aside from the literal import of the words uttered by the witness while testifying, on which the value of his evidence depends. These it is impossible to transfer to paper. Taken in the aggregate, they constitute a vast moral power in eliciting the truth, all of which is lost when the examination is had out of court, and the mere words of the witness are reproduced in the form of a deposition. This moral power which, we repeat, is a vast one in the hands of the prisoner and the triers, is intended to be grasped and wielded by this constitutional requirement of "*face to face.*"

A remarkable instance of its force is to be found in the passage: "And Peter said: 'Man, I know not what thou sayest;' and immediately, while he yet spake, the cock crew. And the Lord turned and *looked* upon Peter, and Peter remembered the words of the Lord, how He had said unto him: 'Before the cock crow thou shalt deny me thrice.' And Peter went out and wept bitterly" (St. Luke's Gospel, ch. xxii, 60, 61, 62). No pen can put on paper words indicative of the power of that *look;* nothing was said; the Lord turned and looked upon Peter, and the heart of the

sturdy, self-confident disciple became liquefied at that look, and he "wept bitterly."

Certain Jews made accusation against St. Paul before the Roman governor, Festus, and demanded judgment against him. Festus said to them: "It is not the manner of the Romans to deliver any man to die, before that he which is accused have the accusers *face to face*, and have license to answer for himself concerning the crime laid against him. Therefore, when they were come hither without delay on the morrow I sat on the judgment seat, and commanded the man to be brought forth. Against whom, when the accusers stood up, they brought none accusation of such things as I supposed" (Acts, chap. xxv, 16, 17, 18). Here the presence of the prisoner in the proud Roman court had the moral force of changing the accusation of his persecutors, who, in his absence, had demanded judgment of death against him; but when compelled to meet and accuse him face to face, "brought none accusation of such things" as they had before induced the governor to suppose they would.

It ought to be frankly admitted that the history of criminal prosecutions in England from 1553, when Mary ascended the throne, down to the abdication of James II, in 1680, furnishes cause enough for the introduction of some stringent rule whereby to test the truth of the statements of prosecuting witnesses. Mr. Hallam says (Constitutional History, p. 138): "I have found it impossible not to anticipate in more places than one some of those gloomy transgressions of natural as well as positive law, that rendered our courts of justice in cases of treason, little better than the caverns of murderers. Whoever was arraigned at their bar was almost certain to meet a virulent prosecutor, a judge hardly distinguishable from the prosecutor except from his ermine, and a passive, pusillanimous jury. Those who are acquainted with our modern, decent and dignified procedure can form little conception of the irregularity of ancient trials; the perpetual interrogation of the prisoner, which gives most of us so much

offense in the tribunals of a neighboring kingdom; and *the want of all evidence except written, perhaps unattested, examinations or confessions.*"

On the trial of Mary, Queen of Scots, the material witnesses were not examined in open court (Hallam, Const. Hist., 90). Of this trial, Dr. Robertson (History of Scotland, p. 266), remarks: "If the testimony of Babington and his associates was so explicit, why did not Elizabeth spare them for a few weeks, and by *confronting* them with Mary overwhelm her with the full conviction of her crimes? Naue and Curle were both alive; wherefore did they not appear at Fotheringay? For what reason were they produced in the Star chamber, where Mary was not present to hear what they deposed?" "It is no easy matter to determine whether the injustice of appointing this trial, or the irregularity of conducting it, was greatest or most flagrant."

After Magna Charta, and up to the time of the adoption of the statutes 1 and 2 P. & M., c. 13 and 2 and 3 P. & M., c. 10, no testimony could be legally received at a trial upon indictment, except from living witnesses speaking in the presence of the accused of facts within their knowledge.

"Before the statutes of Philip & Mary," observes Mr. Chitty, "depositions thus taken before justices of the peace, in the county where a felony was committed, *were not admissible in evidence even when death or some absolute necessity prevented the witness* from attending" (Chitty's Criminal Law, vol. 1, p. 80).

In King *v.* Eriswell, 3 Term, 711, Mr. Justice Grose declared:

"Before the statute of Philip and Mary, a deposition taken before the justice of the county where the murder was committed was not evidence, *even though the party died or was unable to travel.*"

See also, to the same effect, 2 Hawk. P. C., c. 46, s. 12, p. 606; 1 Chitty, Cr. L., 80.

When the statutes of Philip and Mary were in force, by judicial construction depositions and proof of statements made under oath at a former trial in the presence and hearing of the accused, were permitted to be given in evidence wherever it was satisfactorily established that *the deponent was dead, or unable to travel, or was kept away by the means or contrivance of the prisoner*. Subsequently, under the statute of George IV, the rule was extended to include witnesses who had become insane, or who were so ill that there was no probability that they could ever attend court; and finally, under the statute of 11 and 12 Vict., c. 42, sec. 17, the rule was made to include witnesses unable, by reason of sickness, to attend the trial, even where the inability was merely temporary.

By a reference to these statutes, it will be observed that the one of earliest date related to felonies alone, while by the enactments of George IV and Victoria misdemeanors were included. ,

It is needless to remark that neither the statute of George IV, nor that of Victoria, can by any stretch of the imagination be regarded as forming part of the English common law, while, on the other hand, the statutes of 1 and 2 and 2 and 3 Philip and Mary, the last of which was adopted in 1556, are by all accepted authorities treated as constituting part of the common law.

The stamp-act resolution of the General Assembly of Virginia resolved:

"That by the two royal charters granted by King James I, the colonies aforesaid are declared entitled to all privileges of faithful liege and natural born subjects to all intents and purposes as if they had been abiding and born within the realm of England."

Under other resolutions it was declared:

"That our ancestors who first settled these colonies were, at the time of their emigration from the mother country, entitled to all the rights, liberties and immunities of free and natural born subjects within the realm of England."

"That the respective colonies are entitled to the common law of England, and more especially *to the great and inestimable privilege of being tried by the peers of the vicinage according to the course of that law.*"

"That they are entitled to the benefit of such of the *English statutes* as existed at the time of their colonization and which they have by experience, respectively, found to be applicable to their several local and other circumstances."

State *v.* Houser, 26 Mo., 434.

While the statutes of Philip and Mary are recognized by all English writers as forming part of the common law, the enactments of *Magna Charta* and the statutes of Edward VI are referred to as belonging to "the ancient common law." George IV ascended the throne in 1820, and the statutes of Philip and Mary continued in force until that date.

Originally, by virtue of the bill of rights secured in *Magna Charta*, the witnesses were required to depose on oath in the presence and hearing of the accused in open court. There was at that time no exception to the rule excluding all manner of hearsay evidence. At a later period, first the exception was made in the case of dying declarations, in which the evidence as to the issue is the statement of the person injured and in belief of imminent impending dissolution, whose sense of the hopelessness of his condition is regarded as being of equal solemnity with the obligation of an oath. The theory in these cases is that the person speaking as to the declaration of the deceased is a witness as to the fact of the declaration, and testifies in the presence of the accused.

It is needless to add that this exception is restricted to prosecutions for homicide.

After the passage of the statute of Philip and Mary, the courts extended the line of exceptions first to the case of the *death* of the witness who upon oath had previously testified in the presence of the accused; afterwards to case of *death* and of *inability to attend the trial*, from *sickness* considered *permanent in character*, and subsequently, to cases where the absence of the witness was through the *procurement or connivance of the defendant*, and to cases where the witness after testifying *had become insane*. That was the condition of the law at the time of the adoption of the Constitution of the United States.

Thus it will be found that the only exceptions to the general rule requiring the *viva voce* testification of witnesses to the fact in the presence of the accused are those noted by Sir Matthew Hale at page 305 of the first volume of his work:

"By the statute of 1 & 2 P. & M., cap. 11, justices of peace ought to examine the party and take informations touching offenses brought against them, and certify them at the next gaol delivery.

"Though justices of peace cannot hear and determine treason by virtue of their commission of the peace, nor take an indictment of it, yet they may take examinations and informations touching such offense of the party brought before them, and certify them according to that statute; and those informations taken upon oath, as they ought to be, and sworn to, by the justice or his clerk, that took them, to be truly taken, may be read in evidence against the prisoner, if the informant be *dead*, or *not able to travel*, and sworn so to be; yea by some opinion, if he were bound over and appear not, they may be read, which seems to be questionable."

So, too, at page 52 of the second volume of his Pleas of the

Crown, this eminent jurist, speaking of justices of peace, declares:

"By the statute of 1 & 2 Ph. & Mar., cap. 13, they ought to take the examinations of felons (without oath) and the informations of accusers as witnesses (upon oath), and return them to the justices of gaol delivery.

"And these examinations may be read as evidence against the prisoner, and so the informations of witnesses taken upon oath, if they are *dead* or *not able to travel*, for they are judges of record, and the statute enables and requires them to take these examinations; but then oath is to be made in court by the justice or his clerk, that these examinations and informations were truly taken."

Again, at page 284, of the second volume of his Pleas of the Crown, Sir Matthew Hale repeats his previous declaration in these words:

"By the statute of 1 & 2 P. & M., cap. 13, and 2 & 3 P. & M., cap. 10, justices of peace and coroners have power to take examinations of the party accused, and informations of the accusers and witnesses, (the examinations to be without oath, the informations to be taken upon oath), and are to put the same in writing, and are to certify the same to the next gaol delivery.

"These examinations and informations thus taken and returned may be read in evidence against the prisoner, if the informer be dead, or *so sick that he is not able to travel*, and oath thereof made; *otherwise not*."

It may be noted that the last statute passed prior to that of 1 Ph. & M., was during the preceding reign. With reference to this previous enactment, Sir Michael Foster, in his work on Crown Law, page 337, recites:

"Upon the foot of the 5 & 6 Edw. VI, depositions of witnesses taken by the coroner, or informations taken before

justices of the peace, and certified to the gaol delivery pursuant to the statute, are *not* evidence whereon to ground a conviction for petit treason, *if the party be living*, though *unable to travel* or *kept out of the way by the prisoner or by his procurement*."

As a matter of fact, the rule that the testimony of an absent witness detained by the means or procurement of the prisoner, may be read in evidence against him at the trial, was for the first time resolved by the judges of England in 1666, in the case of Lord Morley prosecuted for murder before his peers (Kelyng's Rep., 55).

In Hawkins' Pleas of the Crown (vol. 1, chapter 46, secs. 6 and 7, p. 605) it is said:

"It seems settled, that the examination of an informer taken upon oath, and subscribed by him either before a coroner upon an inquisition of death in pursuance of 1 and 2 Ph. and Mary, c. 13, or before justices of peace in pursuance of 1 and 2 Ph. & Mary, c. 13, and 2 and 3 Ph. & Mary, c. 10, upon a bailment or commitment for any felony, may be given in evidence at the trial of such inquisition, or of an indictment for the same felony, if it be made out by oath to the satisfaction of the court that such informer is *dead* or *unable to travel*, or *kept away by means or procurement of the prisoner*, and that the examination offered in evidence is the *very same* that was sworn before the coroner or justice, *without any alteration whatsoever*.

"But it hath been adjudged, that it is not sufficient to authorize the reading of such an examination, to make oath that the prosecutors *have used all their endeavors to find the witness, but cannot find him*."

Speaking of Sir John Fenwick's case, which was tried while 7 Will. III (1696) was in force, Serjeant Hawkins says:

"SECTION 12. And in the same case it was agreed, that the evidence given by a witness at one trial, could not in the ordinary course of justice be made use of against a defendant *on the death of such witness*, at another trial" (*ibid.*, 606).

Mr. Starkie, in his work on evidence, 4th London edition, page 409, extends the rules to cases "where the witness is dead for all purposes of evidence, as where diligent search has been made for him and he cannot be found, or where he resides in a place beyond the jurisdiction of the court," but makes no pretence to lay down this rule as, in any sense, applicable to *criminal cases;* three decisions by which the text is supported—an anonymous case from Godbolt, 326; Benson *v.* Olive, 2 Strange, 920, and Lord Altham *v.* Earl of Anglesey, Gilb. Eq. Cas., 16, 18—are essentially civil cases.

If the language of Mr. Starkie's text can reasonably be construed as applicable indifferently to both civil and criminal cases, then it is opposed to the opinion of all other English writers on the law of evidence.

But as a matter of fact Mr. Starkie has not been unmindful of the radical difference which marks the distinction between the rule and the practice in the two classes of cases. At page 482 of the second volume of his Treatise of the Law of Evidence the perspicuity of this eminent commentator forbids all misconception. There he makes the following announcement as to the more extended construction:

"The admissibility and effect of depositions in civil cases have already been considered; it remains to notice those which are made according to the statutes in criminal proceedings."

We have had occasion to note that the only difference between the statutes of Philip and Mary and the enactment of George IV, c. 65, was that while the former applied to cases of felony only, the latter extended the rule so as to include misdemeanors.

Under the operation of the statute of 7 Geo. 4, Mr. Starkie declared the state of the law in criminal prosecutions to be as follows:

"To warrant such evidence, it is essential to prove by the justice, coroner, or his clerk, etc., that the depositions contain the substance of the information on oath. It is not necessary to prove that the depositions were signed by the witnesses.

"It must also be previously proved that the witness is *dead;* or that he *has been kept away by the practices of the prisoner;* or, as *has been said*, that he is *unable to travel.* It seems, however, to be very doubtful whether the mere casual and temporary inability of the witness to attend in a criminal case, be sufficient ground for admitting his deposition, which affords evidence of a nature much less satisfactory than the testimony of the witness examined *viva voce* in court, and which might be procured at another time if the trial were to be postponed. It is true the prisoner has had the power to cross-examine the witness, but this was at a time and under circumstances very disadvantageous to the prisoner. There are indeed many old cases in which great abuse has been practiced in the reading of depositions against prisoners, although the deponents might have been produced; but these instances occurred in bad times, when little regard was paid to the rules of evidence, or indeed to any other laws. In Lord Morley's case it was held that *it was not sufficient* to show that endeavors had been used to *find the witness*, and that *he could not be found.*"

2 Starkie Ev., 282, 283.

Practically the same observation applies and with equal force to a passage to be found in section 163 of the first volume of Mr. Greenleaf on Evidence.

It is there stated:

"But where the testimony was given under oath, in a judicial proceeding, in which *the adverse litigant* was a party,

and where he had the power to cross-examine, and was legally called upon so to do, the great and ordinary test of truth being no longer wanting, the testimony so given is admitted, after the decease of the witness, in any subsequent *suit* between the same parties. It is also received, if the witness, though not dead, is out of the jurisdiction, or cannot be found after diligent search, or is insane, or sick, and unable to testify, or has been summoned, but appears to have been kept away by the adverse party."

The decisions cited in support of the text are all adjudications in civil actions, and when applied to these the rule is correctly expounded. Besides Godb., 326, and Rex *v.* Eriswell, 3 T. R., 707, 721, per Lord Kenyon, [Long *v.* Davis, 18 Ala., 801; Covanhovan *v.* Hart, 21 Penn., (9 Harris) 495], the other references are to Buller, N. P., 239 and 242, and 1st Stark., Ev., 264).

On turning to Sir Francis Buller's Nisi Prius, it becomes manifest, from a mere inspection of the passage, that all which appears on pages 239 and 240 of the work is intended to be restricted to an exposition of the course of the common law in *civil actions*. This fact is manifest from the declaration at page 242, set out in these terms:

"And by 1 and 2 P. & M., c. 13, and 2 and 3 P. & M., c. 10, justices of the peace shall examine of persons brought before them for felony, and of those who brought them, and certify such examination to the next gaol delivery; but the examination of the prisoner shall be without oath, and the others upon oath, and these examinations shall be read against the offender upon an indictment, if the witnesses be *dead*."

In U. S. *v.* Angell, 11 Fed. R., 41, 42, it was expressly held that Mr. Greenleaf's observations are meant to apply only to civil cases.

To the comments already made upon the pretended authority of 1st Starkie's Evidence, 264, we have nothing to add.

Joseph Chitty, Esq., whose invaluable work on Criminal Law was published in 1816, at a time when the statutes of Philip and Mary were yet in force, thus announces the rule of evidence invoked in this case:

"Before the statutes of Philip & Mary, depositions thus taken before justices of the peace, in the county where a felony was committed, *were not admissible in evidence even when death or some absolute necessity prevented the witness from attending.* And, even now, they cannot be received on the trial, without first proving on oath to the satisfaction of the court, that the deponent is *dead*, or *not able to travel*, or that he is *kept away by the means and contrivance of the prisoner.* It must also be shown that the documents produced in evidence were the same, without alteration, as those which were sworn before the magistrate" (Chitty's Criminal Law, vol. 1, pp. 80, 81).

Mr. Peake, in his work on Evidence, pages 63, 64, originally published in 1801, holds that, in criminal cases, depositions taken by virtue of the statutes 1 and 2 Ph. and M., c. 13, and 2 and 3 Ph. and M., c. 10, may be read in evidence at the trial *only* in the event that the deponent *died* before the trial. He draws a distinction between these depositions and depositions taken before a *coroner*, in which latter case the exceptions are extended to "*death* or *absence beyond sea*, of the witnesses, and where there are reasons to believe that *the prisoner sent them away.*"

Mr. Phillips, in his treatise on the Law of Evidence, vol. 1, 6 Am. ed., Cowen & Hill's notes, page 337, in respect of evidence given on a former trial, recognizes but two exceptions to the general rule as to hearsay: 1, the testimony of

a witness, since *deceased*, who has been examined upon oath on the trial of a former action between the same parties, and where the point in issue was the same as in the second action; and, 2, where a person who had been sworn on a former trial between the same parties on the same issue, and subpœnaed to appear as a witness on the second trial, did not appear in obedience to the writ, *having been kept away by the contrivance of the adverse party.*

The only exceptions recognized in England prior to the passage of the Victorian statute are thus enumerated by Mr. Archbold:

"The statutes relating to the examination of witnesses against a prisoner before a justice of the peace, previously in force, contained no such enactment as the above (11–12 Vict., c. 42), and yet it was determined in many cases, and well recognized as a rule of the common law, that in all cases of examinations of witnesses in cases of felony under those statutes, in the presence of the accused, and where he had the opportunity to cross-examine them, the deposition of a witness might be read against the accused upon his trial, if the witness were then *dead* or *bed-ridden*, and *not likely to be ever able to attend at the assizes*, or *unable to travel*, or had become *insane*, or *was kept out of the way by or on behalf of the prisoner*. And it is probable that, although the cases of death and inability to travel from illness alone are expressly stated in the statute as those in which the deposition of a witness may be read against a prisoner on his trial, it may be holden that such depositions may also be read in evidence if the witness be bed-ridden, though otherwise not in ill-health, or if he have become insane, or if he be kept out of the way by the prisoner, or by some person on his behalf, at the time of the trial."

Archbold's Criminal Prac. and Plead., vol. 1, Waterman's notes, page 480, marginal page 148.

Mr. Edmund Powell, in his treatise on the Practice of the Law of Evidence, at pages 256–167, under the heading of "Depositions in criminal cases," says: "At common law, depositions taken in the presence of a prisoner before a magistrate, and signed by the latter, were generally evidence against the prisoner on his trial if it appeared that he had had an opportunity of cross-examining the witness, and that the witness at the time of trial was either *dead*, or *permanently unable to travel, owing to illness*, or that *he had been kept out of the way by the prisoner, or by some one on the prisoner's behalf*, in order to prevent him from giving evidence against him."

After noting that the admissibility of these depositions is now subject to the 11 and 12 Vict., c. 12, s. 17, he sums up that statute as requiring:

"1. That it (the deposition) was taken in the presence of the prisoner, and that he either cross examined, or had an opportunity of cross-examining, the deponent.

"2. That it has been signed by the witness and also by the magistrate.

"3. That it was made on oath by the witness, or on affirmation, in such cases only in which an affirmation is allowed.

"4. That the deponent is either dead, or so ill as not to be able to travel."

Having next recited the predicates which are to be distinctly proved, and the manner of their proof, he proceeds:

"It is to be observed on the last condition, (4) that it does not contain all the circumstances in which a deposition is generally admissible. Thus, *before* the statute, the deposition was received at common law, not merely on proof that the deponent was either *dead* or *so ill as to be unable to travel*, but if he were proved to have become *permanently insane*, or to be *actually insane* at the time of trial with a possibility of

recovery. So it neither was nor is necessary to show that the illness under which a deponent is suffering, is of a permanent, or of more than a temporary nature. This latter position is doubted by Mr. Phillips, but apparently on insufficient grounds. It is manifestly the intention of the statute that the deposition should be received if the deponent be suffering under an actual and present physical inability to travel, however temporary it may appear to be; and it is the daily practice of the court to admit depositions on proof of this fact. But where the illness of the witness is proved to be of a very transitory nature, the judge may and will, in his discretion, postpone the trial until he recover; and this is the proper course whenever such postponement does not clearly clash with public convenience.

"But the illness must be real and serious, and there must either be a physical incapability of locomotion, or a probability that it might dangerously affect the witness's health. It is desirable, when it is possible, to prove this fact by a medical attendant, but it may be proved by any one who has seen and examined the deponent recently. The court will inquire scrupulously and even suspiciously into all these circumstances, before receiving the deposition; and will reject it when the alleged illness appears to be not dangerous or serious enough to excuse the absence of the deponent. Thus, at the Salisbury spring assizes, 1853, Crompton, J., held that, the fact of a woman being within a *month* of her confinement was insufficient, in her absence, to render her deposition admissible. But immediately after, at Exeter, the same learned judge considered that it might be received when it appeared, in such a case, that the woman was expecting *every hour* to be confined.

"It is also decided that, as before the statute, a deposition will be received if the deponent be proved *to have been kept out of the way and prevented from appearing at the trial, by the act of the prisoner, or by collusion with him or his friends.* This was expressly decided in R. *v.* Scaife, when the depo-

nent was kept out of the way by the prisoner's agents; but it is necessary to create by evidence a reasonable presumption that the prisoner's agents have been authorized or sanctioned by him to procure the absence of the witness. But in such a case the deposition is evidence only against the prisoner who procured the absence of the deponent; and not against other prisoners in the same indictment who are not implicated in the collusion.

"Unless the absence of the witness be accounted for *in some one of these ways*, his deposition cannot be received, because it will retain all its original and unsatisfactory incidents as *hearsay evidence*."

In this connection, Mr. Roscoe, in his work on Criminal Evidence, volume 1, page 104, lays down the rule to be:

"Depositions are admissible as substantive evidence at common law, should the witness be either *dead*, Hale P. C., 305; R. *v.* Westbeer, Lea. C. C., 12; R. *v.* Bromwich, 1 Lev., 180; Salk., 281; B. N. P., 242; or be in such a state as *never to be likely to be able to attend the assizes;* R. *v.* Hogg, 6 C. & P., 176, 25 E. C. L.; R. *v.* Wilshaw, Carr. & M., 145, 41 E. C. L.; or if the witness *be kept away by the practices of the prisoner* (R. *v.* Guttridge, 9 C. & P., 471, 38 E. C. L.").

After reciting the substantial provisions of 11 and 12 Vict., c. 42, s. 17, which now govern in England the admissibility of depositions, this author proceeds:

"None of the previous statutes (1 & 2 P. & M., c. 13; 2 & 3 P. & M., c. 10; 7 Geo. 4, c. 64) contained any directions as to *when* the depositions should be considered admissible. It will be observed that only two cases are mentioned in the above statute, 'where the witness is dead, or so ill as not to be able to travel.' It is not said in the statute that the deposition would be admissible if the witness were kept out of the way by the procurement of the prisoner, a case well es-

tablished at common law. (See Tayl. on Ev., p. 465, 6th ed.) However, in R. *v.* Scaife, 2 Den. C. C., 281; 17 Q. B., 208, where the prisoner was indicted together with Thomas Rooke and John Smith for larceny, evidence was given that by the procurement of Smith one of the witnesses for the prosecution had been kept out of the way, and her deposition was tendered; the evidence was admitted to be receivable as against Smith, but it was said that it was no evidence against Scaife and Rooke. The case came before the Court of Queen's Bench, and it was held that the learned judge ought to have told the jury that the evidence applied to the case of Smith only, and not to that of either of the other prisoners. Incidentally, therefore, the admissibility of the depositions as against a prisoner who has himself procured the absence of a witness, is recognized by this case.

"There does not appear to be any *criminal case* in which the depositions have been admitted on the ground of the witness being *insane* either 'before or since' the statute. In *civil inquiries* this has been considered a good ground of admission (R. *v.* Eriswell, 3 Term Rep., 720); and it is said in R. *v.* Marshall, Carr. & M., 147, that Coleman, J., thought it a good ground in *criminal cases* also. It is not a sufficient ground of admission that the witness cannot be produced *on account of his absence in a foreign country.* R. *v.* Austen, 25 L. J., M. C., 48" (*ibid.*, 107).

To the same effect is the case of Thatcher and Waller, prosecuted for murder, in which the deposition of a witness taken before the *coroner* who, at the time of the trial, had gone *beyond the seas* was permitted to be read in evidence, but in which the judges with the exception of the chief justice were all of opinion that if the deposition had been taken *before a magistrate* it could not have been read (Powell's ed. or Sir Thos. Jones' cases, London ed., 1695). This case is cited as authority in Buller's N. P. and by Starkie at page 385 of the second volume of his work on Evidence.

That eminent text-writer, Sir William Oldnall Russell, in his accepted work on Crimes, vol. 3, page 464, refers to the subject-matter of this discussion as follows:

"As examinations and depositions before magistrates originate from the same acts of Parliament, and are in some respects guided by the same decisions, it may be proper to consider the latter immediately after the former. From what has already been mentioned respecting the examinations before magistrates, it has appeared that by the 1 & 2 P. & M., c. 13, and 2 & 3 P. & M., c. 10, justices of the peace were enabled and directed to take the depositions of witnesses in cases of felony; and that by the 7 Geo. 4, c. 64, these statutes were repealed and re-enacted with an extension to misdemeanors, and we have seen that the 7 Geo. 4, c. 64, is repealed so far as relates to the taking of examinations and informations against persons charged with felonies and misdemeanors, by the 11 & 12 Vict., c. 42, s. 34."

After setting out in terms the seventeenth section of 11 and 12 Vict., c. 42, which prescribes the manner of taking such testimony and the circumstances under which it is admissible in evidence, Sir William Russell continues:

"Although there was nothing in the former statutes providing that the depositions taken under them should in any case be evidence, yet from the construction of *the two former* by the highest authorities, and upon general principles of evidence, it was considered as *a settled rule*, that if it were proved satisfactorily to the court that the witness was *dead*, or that he *had been kept away by the practices of the prisoner*, his depositions might be given in evidence on the trial of an indictment, provided the depositions were duly taken upon oath in the presence of the prisoner, when charged before a magistrate. And *it has been said* that if a witness was prevented from attending by *sickness*, or *was unable to travel*, his deposition might be given in evidence. But it was after-

wards decided that if a witness were too ill to attend the trial, but there was a probability that he might recover, his deposition was not admissible. The prosecutrix was so near her confinement as to be unable to attend at the assizes, and it was proposed to prove her deposition before the magistrate, and 1 Hale, 586, Kel., 55, were relied upon. Patteson, J.: 'That has been doubted by *Mr.* Starkie, and I think the evidence is not admissible.' The new statute has, however, made the deposition admissible in all cases where the witness is 'so ill as not to be able to travel.'"

3 Russ. on Cr., 466.

Mr. Herbert Broom, M. A., author of a selection of "Legal Maxims," and, when living, reader in common law to the inns of the court, in his Commentaries on the Common Law, pages 1001, 1002 (81 Law Library, p. 647), defines the rule in these words:

"Passing over the above-mentioned and some other rules as to the admissibility of evidence, which are peculiarly applicable in criminal inquiries, I may observe, in the words of Eyre, C. B., that, although 'the *most common* and ordinary species of legal evidence consists in the depositions of witnesses taken on oath before the jury, in the face of the court, in the presence of the prisoner, and received under all the advantages which examination and cross-examination can give,' besides this kind of proof and certain species of hearsay evidence (some whereof have been alluded to), which are, for various reasons, admitted by law;—the examination of a prisoner and the depositions of the witnesses who may be produced against him, taken officially before a justice of the peace by virtue of the statute law, may, under certain circumstances, be substituted for *viva voce* testimony. As to the admissibility, accordingly, and use of the depositions and statements of the accused as evidence at his trial, a few remarks may here, by reason of the practical importance of

the subject, conveniently be offered. The 11 & 12 Vict., c. 42, s. 17, provides that the deposition, duly taken in conformity to the act, of any witness, who, at the time of the trial, may be dead, or 'so ill as not to be able to travel,' may be read as evidence in such prosecution, if proved to have been taken in the presence of the accused, and if it be shown that he or his counsel or attorney had a full opportunity of cross-examining the said witness; and further, if the deposition purport to be signed by the justice—by or before whom the same purports to have been taken—no further proof of the deposition being requisite,' unless it shall be proved that such deposition was not in fact signed by the justice purporting to sign the same.'

"Besides being receivable in the event of the death or illness of a witness, the case of Reg. *v.* Scaife, shows also, that, where the prisoner or one of the prisoners (if there be several in the indictment) has resorted to a contrivance to keep a witness out of the way, his deposition will be admissible as against that party only who procured his absence. But no case has been decided that a deposition receivable *merely because the witness could not be found*, and on principle this would appear not to be so. And even in the case of illness of a witness, his deposition will be admissible in evidence against the accused only where the charge preferred at his trial is the same as that made before the committing magistrate, or, if not technically the same, where the prisoner has had full opportunity of cross-examining the witnesses called against him."

Mr. MacNally in his work, Rules of Evidence on Pleas of the Crown, under the title of "In what cases the examinations or the declarations of prisoners, and the information of witnesses, taken out of court, whether before the coroner or justice of the peace, may be read in evidence against a prisoner on his trial, under the rules of the common law, or

pursuant to statutes," lays down the practice as to depositions before a coroner after this manner:

"RULE THE THIRD.

"That in case any of the witnesses which were examined before the coroner, were *dead, or unable to travel*, and oath made thereof, that then the examination of such witness, so dead, or unable to travel, might be read, the coroner first making oath that such examinations are the same which he took upon oath, without any addition or alteration whatsoever (7 *St. Tr.*, 421; *Kelyng*, 55 *S. C., ante ca.*, 6, p. 37).

"RULE THE FOURTH.

"That in case oath should be made that any witness, who had been examined by the *coroner*, and was then *absent*, was detained by the means or procurement of the prisoner, if the court be satisfied by the evidence they have heard, that the witness was detained by the means or procurement of the prisoner, then the examination might be read, but whether he was detained by the means or procurement of the prisoner was matter of fact (*ibid.*).

"RULE THE FIFTH.

"That if a witness, who is examined by the *coroner*, be *absent*, and oath is made that the prosecutors have used all their endeavors to find him, that is not sufficient to authorize the reading of such examination (*ibid.*).

"These rules are cited, and fully adopted by *Hawkins*, and other authorities (2 *Hawk. P. C. ca.*, 46; 2 *Hale P. Cr.*, 284; *Sum.*, 262, 263; *Kelyng*, 55; 1 *Lev.*, 190; 2 *Keb.*, 19; *Dalt.*, 111, 112, 113).

"On the trial of Lord Morley, the special point on which the *judges* had formerly resolved arose.

"Mr. Solicitor General *French* desired the depositions of

some witnesses, taken before the coroner, who was since dead, to be read, which the prisoner opposed, desiring that no evidence might be given against him, but face to face.

"The lord high steward (Lord *Clarendon*, chancellor) demanded the opinion of the *judges*, who by *Kelyng*, C. J., answered, that upon proof made that the witnesses were dead, and oath by the coroner that the depositions were unaltered, they ought to be read. Which was done (7 *St. Tr.*, 424.

"Maynard, serjeant, desired that the depositions of a material witness, taken at the coroner's inquest, *who had now absented himself, so that they could not find him*, might be read.

"*Lord Morley*, the prisoner, opposed it, and the opinion of the *judges* being required, the *lord chief justice* answered, that if the court upon any evidence were satisfied, that the witness was withdrawn *by the procurement of the prisoner*, the deposition ought to be read, *otherwise not*—whereupon,

"*Thomas Hardy* deposed, that *Thomas Snell*, his apprentice, was lately run away from him, and that his fellows said, he told them before he went away, that Lord *Morley's* trial was to be shortly, but he would not be there.

"The court not thinking this evidence sufficient, the depositions *were not read* (*ibid*)."

As to depositions *before magistrates*, Mr. MacNally (on page 292 of his work), makes this declaration:

"Rule the Ninth.

"Though justices of peace cannot hear and determine treason, by virtue of their commission of the peace, nor take an indictment of that offense, yet they may receive examinations and informations on charges of treason of the parties brought before them, and certify them as directed by the statute of *Phil. and Mary*, 1 *Hale P. C.*, 305.

"RULE THE TENTH."

"And such informations and depositions of a witness, being taken in writing upon oath, administered by a justice of the peace as they ought to be, and being certified to the gaol delivery, may be read in evidence against the defendant, not only if the informant be *dead*, but if he be *unable to travel*, and sworn so to be (1 *Hale P. C.*, 305; 2 *Hale P. C.*, 284; *vide similar rule in respect to informations before the coroner; ante*, 285)."

Judge Taylor, whose treatise on evidence is approved by the English and accepted by the American courts, in discussing the question under consideration, at section 474 says:

"In *criminal proceedings* a similar latitude is not allowable at common law, and the deposition of a witness, whether taken before a magistrate or coroner, will not be rendered admissible on mere proof that the witness himself *cannot be found after diligent search*. Neither will it be received, though satisfactory proof be given that the witness was not absent from any intention to defeat justice, but that, being a foreigner, he had, since the prisoner was committed for trial, returned to his own country, and was at the time of the trial *resident abroad*. This kind of evidence has also been rejected in America, both where the witness could not be found within the jurisdiction, but was reported to have gone to an adjoining State, and where he was proved to have left the State, after being summoned to attend at the trial."

In section 478 of his work, the learned author further says:

"The proposition that, if a witness be *kept out of the way* by the adversary, his former statements on oath will be admissible, rests partly on the authority of several decisions, both in the civil and criminal courts; partly on the analogies furnished by one or two statutes; but chiefly on the

broad principle of justice, which will not permit a party to take advantage of his own wrong. In a case where three prisoners were indicted for felony, and a witness for the prosecution was proved to be absent through the procurement of one of them, the court held that his deposition might be read in evidence as against the man who had kept him out of the way, but that it could not be received against the other two men."

Sir James Fitzjames Stephen's Digest of the Law of Evidence, is described in the first edition of the American and English Encyclopedia of Law to be "universally accepted as containing the most clear and concise statements of the law of evidence extant." This learned judge lays down the rule in this language:

"Evidence given by a witness in a previous action is relevant for the purpose of proving the matter stated in a subsequent proceeding, or in a later stage of the same proceeding, when the witness is *dead* or is *mad*, or so ill that he will probably *never be able to travel*, or is *kept out of the way by the adverse party*, or in *civil*, but *not*, it seems, in *criminal cases*, is *out of the jurisdiction of the court*, or, perhaps, in civil, but *not* in criminal, cases, when he *cannot be found*" (Stephen's Digest of the Law of Evidence, pp. 76–'7).

And now we turn to those American writers on the law of evidence who from their study of the subject, coupled with their long experience in the profession which they honor by their works, are entitled to speak with the voice of authority. These announce the principle in language as broad and comprehensive as that for which we contend; and we find the rule laid down in all the text books, that the right of confrontation with the witnesses in criminal cases is subject only to the qualifications already enumerated.

The authoritative announcement of the fundamental right of confrontation by Mr. Cooley, repeatedly approved by this high tribunal, is in these terms:

"The testimony for the people in *criminal cases* can only, as a general rule, be given by witnesses who are present in court. The defendant is entitled to be confronted with the witnesses against him; and if any of them be absent from the Commonwealth, so that their *attendance cannot be compelled*, or if they be *dead*, or have become *incapacitated to give evidence*, there is *no mode* by which their statements against the prisoner can be used for his conviction. The exceptions to this rule are of cases which are excluded from its reasons by their peculiar circumstances; but they are far from numerous. If the witness was sworn before the examining magistrate or before a coroner, and the accused had an opportunity then to cross-examine him, or if there were a former trial on which he was sworn, it seems allowable to make use of his deposition, or of the minutes of his examination, if the witness has since *deceased*, or is *insane*, or *sick and unable to testify*, or has been summoned but appears to have been *kept away by the opposite party*."

Cooley's Const. Lim., page 387.

Dr. Wharton, in his work on Criminal Evidence, section 229, thus announces the rule:

"Proof of mere disappearance of the original witness is not by itself enough to admit such testimony if by due diligence the witness's attendance could have been secured; nor in *criminal trials* is such testimony made admissible by the fact that the witness, since the examination, has *left the jurisdiction*, and is not amenable to process. But in such cases the testimony of a former witness, corruptly or otherwise unlawfully *kept from the court* by the party against whom he is called, it has been held, may be in like manner repro-

duced, the defendant in the former trial having had the opportunity of cross-examining the witness. * * *"

See also Wharton Plead. and Prac., sec. 546.

Mr. Underhill, in his work on Criminal Evidence, section 262, page 320, declares:

"It was formerly doubted, even in civil cases, whether the testimony of a living witness who was absent merely, would be received in trial. Though the authorities sustain the rule by which in civil suits the testimony of an absent witness is received not only in case of death, but where he is incompetent by insanity or illness, or mere absence, the criminal courts always hesitate, in the absence of a permissive or mandatory statute, to admit such evidence unless the death or insanity of the witness is shown.

"The mere fact that the witness is *sick* or *out of the jurisdiction*, or that his *whereabouts are unknown* so that he cannot be reached by a subpœna, is *not enough*. The authorities are not wholly harmonious, though usually now, by statute, such evidence is admissible."

Mr. Rapalje, in his work on Criminal Procedure, section 278, construes the exception in this manner:

"Again, the evidence of a witness, since *deceased*, on a former trial of the same case, may be proven on a subsequent trial. His deposition then taken may be introduced either by the State or the accused. Such proof does not violate defendant's constitutional right to 'meet the witness face to face.' And his testimony then given may be proved, if necessary, by the testimony of one who was present and heard it, who may state its substance, if unable to repeat its words. But such testimony must be placed before the jury as nearly as possible as the deceased witness would have placed it, if living and present. The fact that a witness on such previous trial, who is still living, is *absent from the State*, or *beyond the territorial jurisdiction of the court*, is *not generally ground* for

the admission of his previous testimony, unless his absence is occasioned by the defendant."

The meaning of the expression "is not generally ground for the admission of his previous testimony," here employed, is made clear by the expressions which follow:

"But proof of what a living witness swore to on a former trial has been admitted, in order to identify the two charges of crime, in aid of a plea of former jeopardy. And such proof of the former testimony may be admitted where the accused *waives* his right to be confronted by the witnesses against him."

In Mr. McKelvey's book on Evidence, under the subhead "Disabilities which are recognized," on page 234, it is held:

"As to criminal cases.

"In criminal cases the disability is confined within narrower limits. The constitutional provisions for the protection of persons charged with crime, doubtless, are responsible for this. The provision is common which provides 'that in all criminal prosecutions the accused has the right to meet the witness against him face to face,' and while it is held that, where evidence has once been given in court by the witness personally in the presence of the accused, it is not a violation of this provision to allow it to be repeated in case of the *death* of the witness, still the influence of the provision is felt in the disinclination to extend the rule to many other disabilities which are recognized in civil cases. Absence from the jurisdiction is held in some of the States to be sufficient, in criminal as well as in civil cases."

Treating of the testimony of the accused in any previous judicial or official investigation, and especially of the testimony of an absent witness on a former trial, Mr. Abbott, in his Trial Brief in Criminal Causes, asserts:

"The fact that a witness who testified on a former trial of the accused on the same charge, is *sick* or *beyond the jurisdiction*, or his *residence or whereabouts is unknown*, is *not ground* for allowing his former testimony to be proved as evidence either for or against the accused.

"The fact that he is *dead*, or incapacitated by *insanity*, is *sufficient ground*.

"The fact that he is *absent by procurement* of either party is sufficient ground for allowing it as against such party."

Neither the commentators on the law of evidence, nor the courts called upon to expound it, have shown any inclination to lend a willing ear to the plea of *convenience*. Their attitude in this connection is clearly and convincingly illustrated in the language of Mr. Justice Grose, reported in King *v.* Eriswell, 3 Term, 711, to this effect:

"But it may be said that it is in this case wise and discreet to depart from the general rule of evidence, and in this instance to admit hearsay evidence of a fact, or evidence on oath administered in the absence of the adverse party. I dread that rules of evidence shall ever depend upon the discretion of judges; I wish to find the rule laid down, and to abide by it. In this case I find the general rule; I find no decided authority that forms an exception to it, and nothing but a clear uncontrovertible decision upon the point, and not the concession of counsel or the *obiter dictum* of a judge, ought to form an exception to a general rule of law framed in wisdom by our ancestors, and adopted in every case except where the exception is as ancient as the rule."

The substantial and fundamental right of confrontation is subject to but four exceptions: first, where the witness is dead; second, where the witness is so ill that it is unlikely that he will ever be able to appear in court; third, where the witness has become insane; fourth, where, through the

procurement or connivance of the accused, the witness has been prevented from attending. In each of these instances, the absent witness must previously have testified under oath in the presence of the accused, and a predicate for the introduction of secondary evidence must be established to the satisfaction of the court.

These are the only exceptions, known to the common law, which limit the otherwise absolute right of confrontation. The first three exceptions result from the necessities of the case; the fourth, from the act of the accused himself, and if a substantial right is denied him, it is because he may not profit by his own evil-doing. So that, if he be deprived of the protection which is ordinarily secured to him by the law, it proceeds either from the act of God or his own wrong-doing. In three of the instances, the exception is implied in the grant of the right; in the fourth the privilege of the accused is surrendered by his own misconduct.

Turning next to the adjudicated cases, we find in the leading case on the subject in the English books, that the question of the absolute right of the accused, within the limitations above recited, to be confronted with the witnesses against him, was at issue before the House of Lords in the year 1666, 18 Charles II. The trial was that of Lord Morley, and all the judges of England were summoned to consider of such things as might in point of law fall out in the trial. There were John Kelyng, lord chief justice of the King's Bench; Sir Orlando Bridgman, lord chief justice of the common pleas; Sir Matthew Hale, chief baron of the exchequer; Atkins, Twisden, Tyrell, Turner, Browne, Windham, Archer, Raynsford and Morton, and there:

"2. It was resolved, that in the case the peers who are triers after the evidence given and the prisoner withdrawn and they gone to consult of their verdict, should desire to speak with any of the judges to have their opinion upon any

point of law, that if the lord steward spoke to us to go, we should go to them; but when the lords asked us any question, we should not deliver any opinion, but let them know we were not to deliver any private opinion without conference with the rest of the judges, and that to be openly done in court, and this, notwithstanding the precedent in the case of the Earl of Castlehaven, was thought prudent in regard of ourselves, as well as for avoiding suspicion, which might grow by private opinions, all resolutions of judges being always done in public."

Lord Morley had been indicted for murder, and a plea made in King's Bench of "not guilty," and he put himself upon his peers. After the admission of partial proof before the House of Lords, Serjeant Maynard desired that the deposition of a material witness taken at the coroner's inquest (who had now absented himself so they could not find him) might be read.

The prisoner opposed it, and the opinion of the judges being required, the lord chief justice delivered the opinion: that if the court upon any evidence were satisfied, the witness was withdrawn by the procurement of the prisoner the deposition ought to be read, *otherwise not.* Whereupon, Thomas Harding sworn, deposed, that Thomas Snell, his apprentice, was lately run away from him, and that his fellows said, he told them, before he went away, that the Lord Morley's trial was to be shortly, but he would not be there. The court not thinking this evidence sufficient, the deposition was *not read* (Howell's Stat. Trials, vol. 6, pp. 776–'7).

The opinion reached by all the judges in England was announced through the lord chief justice in the statement of legal principles which were to guide the course of the triers of the fact. The question being submitted by the lord high steward as to whether the deposition of Snell, the absent witness, could be read, the court, speaking through the lord chief justice, said:

"5. That in case oath should be made that any witness who had been examined by the coroner, and was then absent, was detained by the means of procurement of the prisoner, and the opinion of the judges asked whether such examination might be read, we should answer, that if their lordships were satisfied by the evidence they had heard that the witness was detained by the means of procurement of the prisoner, then the examination might be read, but whether he was detained by the means of procurement of the prisoner was matter of fact of which we were not judges, but their lordships.

"6. Agreed, that if a witness who was examined by the coroner be *absent*, and oath is made that they *have used all their endeavors to find him*, and cannot find him, that is *not* sufficient to authorize the reading of such examination."

A memorandum of the case and the ruling of the judges on the question submitted is reported in Kelyng's Reports, page 55.

Up to the time of this trial, a fictitious distinction had been established between depositions taken before justices of the peace, and depositions taken before a coroner. The rule as to the first had been that with a proper predicate laid, the deposition might be read in evidence only in the event that the deponent *died* before the trial; but depositions taken before a coroner might be read in the event of the *death* or *absence beyond sea* of the witness, and where there was reason to believe that *the prisoner sent him away.* (See Peak on Evidence, pp. 63, 64.)

There is no limitation at common law or under the Constitution of the United States, or in the bill of rights of Alabama, as to the number of times when the accused should be confronted with the witnesses against him in criminal proceedings. The grant of right is that in *all* criminal prose-

cutions the accused shall be confronted with the witnesses against him. The frequency with which he is charged, or the frequency with which he is tried on the same charge, can in no manner imperil, diminish or destroy the right. It is suspended, as we have observed, but in two instances: one by the act of God and one by the act of the accused himself.

But it is immaterial, for the purposes of this argument, whether the rule of law be satisfied, or not, by a single confrontation of the witness and the accused, at some time during the proceedings, the question propounded being, under what circumstances the testimony of the absent witness can be taken out of the category of hearsay evidence.

The principle announced in Lord Morley's case was recognized at the trial of Henry Harrison at the Old Bailey for the murder of Andrew Clinch (4 Wm. & Mary, 1692 (Howell's State Trials, vol. 12, page 851), the judges presiding at the trial being Lord Chief Justice Holt, Lord Chief Baron Atkins, and Mr. Justice Neville. In that case, however, the evidence disclosed the fact to the satisfaction of the court that the witness whose deposition was sought to be introduced had been spirited away by the accused, and therefore he was not entitled to the protection accorded him by the English charter of rights—the confrontation of the witnesses against him.

The doctrine of Lord Morley's case for nearly four hundred years remained unchallenged. The rule was universally accepted and acted upon, and not until during the last century was the question revived for judicial consideration, when the same principle was again announced in language equally clear and decisive.

In the case of Queen *v.* Scaife, Smith and Rook, which was on an indictment for robbery with violence, removed by certiorari from the Hullborough session and tried before Cresswell at the York assizes, the prisoner, Smith, was defended by one counsel and Scaife and Rook by another. On the

part of the Crown, the deposition of one Ann Garnett was tendered in the presence of the prisoners. The witness did not appear at the assizes, and it was proved that due search had been made for her on the part of the prosecution, but that she could not be found. There was evidence also that she was kept away by the procurement of Smith, but this evidence did not implicate the other prisoners.

The reading of the deposition was objected to by counsel for Smith, but the learned judge admitted it, subject to the objection, being of opinion that the procurement by Smith was proved. In summing up, he left Garnett's statement, among other evidence, to the jury, not telling them that the deposition could affect Smith only. The jury found a verdict against Scaife and Rook, and acquitted Smith. A rule *nisi* was obtained at the ensuing term for a new trial, on the ground of improper reception of evidence and misdirection.

The case was argued at considerable length with *reference by analogy to the admissibility of depositions in civil cases.* The colloquium between court and counsel, during the argument, makes it perfectly manifest what the decision would be upon final determination.

"Lord CAMPBELL, C. J.: This rule must be made absolute. The prisoner Smith had resorted to a contrivance to keep the witness out of the way; and therefore the deposition was admissible evidence against him; but it was not so against the other two prisoners; and the learned judge, in his summing up, did not make the distinction in favor of these two. Then, a question has been made, whether depositions of a witness who is not dead, or kept away by the prisoner's contrivance, may be received when the witness is not to be found. No case goes so far; and I should be afraid to lay down such a precedent. Receiving evidence of this kind is subjecting the prisoner to prejudice from the statement of a witness whom he has not the advantage of cross-examining *at the trial.*"

"PATTESON, J.: If there was sufficient evidence that a prisoner had secured the witness' absence, that might let in the deposition as evidence to be heard. But no distinction appears to have been made between the prisoner against whom the deposition was admissible and those who could not be affected by it."

"COLERIDGE, J.: I always understood, before the late statute, that if a witness was dead or insane, or kept away by the procurement of the prisoner, his deposition was admissible, if properly taken; but that other absences were all under one category, and were not grounds for admitting the evidence. The late act (11 and 12 Vict.) takes one case out of the category, namely that of disability by sickness. But, if a mere unavoidable absence of the witness had been sufficient excuse, that provision would have been superfluous; sickness was an innocent cause of absence, and the absence was necessary. Therefore, this enactment is, negatively, a strong declaration against the admissibility of depositions on the ground here taken."

"ERLE, J.: There was no evidence of procurement by any prisoner but Smith; and the mere fact that the witness could not be found was not sufficient to make her deposition admissible."

The court, after conferring with the master of the Crown office made the rule absolute (17 Queen's Bench Rep., 238, 14 and 15 Victoria).

It may be proper to note, in this connection, that the privy council, in reviewing this decision, held:

* * * "the court decided, and decided rightly, the only question directly for consideration, namely, that of the reception of evidence and misdirection, and for that alone the decision is properly an authority."

The privy council determined, however, that the granting of a new trial was an important innovation in the prac-

tice of the common law, and that "the decision has taken no root in our law, and borne no fruit in our practice" (4 Moore's Privy Council, N. S., Regina *v.* Bertrand, 477; *ibid.*, 1st Privy Council, Law Reports, 520).

In Regina *v.* Guttridge, 9 Carrington & Payne, 473, the doctrine contended for was assumed by Baron Park as an indisputable proposition of law, elementary and fundamental, for, in summing up to the jury, he said:

"If it had been proved on the part of the prosecution that Ellen Connelly was kept out of the way by the prisoners, I should have allowed her deposition to have been given in evidence against them. But that is not proved."

As stated by Mr. Roscoe, commenting upon the 11 and 12 Vict., c. 42, s. 17:

"None of the previous statutes (1 and 2 P. & M., c. 13; 2 and 3 P. & M., c. 10; 7 Geo. 4, c. 64), contained any directions as to *when* the depositions should be considered admissible."

The Victorian statute mentions but two instances: "where the witness is dead, or so ill as not to be able to travel."

Roscoe on Criminal Law, vol. 1, p. 104.

Ann Savage was tried for felony in 1831, at the Stafford assizes, before Mr. Justice Patteson. 7 Geo. 4, c. 64, was the statute then in force. Mrs. Downing, a witness for the prosecution, was so near her confinement as not to be able to attend at the assizes or before the committing magistrate; and it was proved that the prisoner was taken to Mrs. Downing by the magistrate; and a witness stated, that what Mrs. Downing said in the presence and hearing of the prisoner was taken down in writing.

Greaves, for the prosecution, proposed to call the magistrate to prove what was stated by Mrs. Downing in the hear-

ing of the prisoner; and contended, upon the authority of 1 Hale, 586, Kel., 55, that if the witness be unable to travel through illness, the depositions are admissible. Mr. Justice Patteson held:

"That has been doubted by Mr. Starkie; and I think the evidence is not admissible" (5 Carrington & Payne, 143–'4).

On October 31, 1837, William Hagan was put upon his trial before Mr. Baron Bolland and Mr. Justice Coltman, upon the charge of having feloniously cut and wounded, with intent to murder, one Charles Randyll. The offense having been committed before the 1st of October, the indictment was framed under the old act, 7 Geo. 4, c. 31, and not under the new statute, 7 Will. 4, and 1 Vict. 6, 85.

PAYNE, for the prosecution, then stated, that a witness named Dallas, who was examined before the magistrate, had since gone to sea, and could not be produced by him.

C. PHILLIPS, for the prisoner, stated, that he rested his defense wholly on the evidence of Dallas, who was bound over as a witness for the prosecution; and that if he had known that he was not here he should have applied to the court to postpone the trial. Under these circumstances, he asked his lordship to allow the deposition of Dallas to be read.

COLTMAN, J., was of opinion that it was not admissible in evidence.

PAYNE upon this said, that as he was prosecuting for a public body he would, with his lordship's permission, consent that the deposition should be read.

COLTMAN, J., was of opinion that with consent it might be done (8 Carrington & Payne, 167–169).

The note to the declaration of Mr. Justice Coltman that in his opinion the deposition would not be admissible in evidence, is as follows:

"The only circumstances under which the depositions of a witness can be read in evidence are these—either that the witness is dead, or is insane, or that he has been kept away by the practices of the prisoner, or that he is permanently disabled from attending at the trial. A temporary disability is only a ground for postponing the trial. (See Rex *v.* Savage, *ante*, vol. 5, p. 143, and Rex *v.* Hogg, *ante*, vol. 6, p. 176, and see 2 Russ., C. & M., p. 660, and Starkie's Evidence, vol. 2, p. 487)."

See, also, Regina *v.* Farrell, 2 Crown Cases Reserved, 118, and Regina *v.* Marshall *et als.*, Carrington & Marshall, 147.

The case of Regina *v.* Austen and another came up first for argument on the 24th November, 1855, before Jervis, C. J., Parke, B., White, J., Creswell, J., and Willes, J.; but the argument was not then concluded, and the case was re-argued on January 19, 1856, before Pollock, C. B., Alderson, B., Coleridge, Williams, and Willes, JJ. The case is cited in full in the *appendix* to this argument, and is so pertinent as to impel us to specially direct to it the attention of the court.

The substance of the decision is that, upon a trial for felony, it is *no ground for receiving* in evidence a deposition taken before the committing magistrate, under the statute 11 and 12 Vict., c. 42, s. 17, that the witness is *a foreigner and absent in a foreign country* (7 Cox's Criminal Cases, p. 55).

It must be perfectly manifest to one familiar with the history of the bill of rights embodied in the Magna Charta and the adjudicated cases in the English courts, that whatever other right may be subject to legislative control and modification, the right of confrontation of the accused with the witnesses against him is one of those substantial fundamental rights which cannot be disturbed or imperiled, so

long as the prohibition against the deprivation of one's life, liberty, or property except by due process of law, finds lodgment, force and efficacy in Magna Charta.

The right of the accused in all criminal proceedings, subject only to the common-law exceptions, to be confronted with the witnesses against him is crystallized in the English decisions, imperishably embodied in the bill of rights of Magna Charta, transmitted to and inherited by the American citizen and preserved inviolate under the protective provisions of the fourteenth amendment to the Constitution of the United States, which forbids its denial. The doctrine has been generally, although not uniformly, recognized in this country. That there exists legislation in some of the States which, outside and beyond the exceptions which we have discussed, has undertaken to authorize the admission of secondary proof because of the absence of the witnesses previously examined from the jurisdiction or for other causes stated in the statutes, and this in some jurisprudence even independent of statute—is a fact which, however reluctantly the admission ought to be made, we concede to be true. But, whether it be legislation or judicial decision, either or both are open to the charge that they operate as an infraction of the substantial and fundamental right guaranteed to all freemen, and—under the test of judicial analysis, when measured by Magna Charta and the Constitution of the United States and of all, or nearly all, of the States—must fall under the ban of unconstitutional legislation and be declared obnoxious to the fundamental principles of free government and republican institutions.

With the jurisprudence of the State of Alabama we shall deal later. Its earlier decisions were fastened and rock-bedded in the principles of the common law, with a full recognition of those substantial and fundamental rights guaranteed to American freemen; but we regret to say that the ship of jurisprudence has shifted her moorings, has lost

her anchorage and her bearings, and is adrift upon a wild sea where neither common law nor constitutional restriction seems to control her course.

The sixth amendment to the Constitution of the United States embodies the declaration of right that "in all criminal prosecutions the accused shall enjoy the right * * * to be confronted with the witnesses against him." It is true, however, that under the repeated adjudications of this court, fundamental as the proposition is, the operation and effect of this declaration of right contained in the original amendment is limited to the National Government and does not operate upon the States.

This observation is submitted as introductory to the decisions of the Supreme Court of the United States, to which we are about to refer. These decisions, founded upon the substantial and fundamental right of the accused to be confronted with the witnesses against him in criminal prosecutions, recognize the limitations to which we have adverted, and which are in consonance with the principles of the common law. No other exception to the recognition and maintenance of the right of confrontation is made, and where any has been suggested, as we shall show, it has been promptly and effectually repudiated.

In Mattox *v.* United States, 156 U. S., 240, one of the errors assigned to the action of the trial court was to the admission of the reporter's notes of the testimony of two witnesses at a former trial, who had since died. Plaintiff in error contended that the constitutional provision that the accused shall be confronted with the witnesses against him was infringed by permitting the testimony of witnesses sworn upon a former trial to be read against him.

Mr. Justice Brown said:

"No question is made that this may not be done in a civil case, but it is insisted that the reasons of convenience and

necessity which excuse a departure from the ordinary course of procedure in civil cases cannot override the constitutional provision in question.

"The idea that this cannot be done seems to have arisen from a misinterpretation of a ruling in the case of Sir John Fenwick, 13 Howell's State Trials, 537, 579, *et seq.*, which was a proceeding in Parliament in 1696 by bill of attainder upon a charge of high treason. It appeared that Lady Fenwick had spirited away a material witness, who had sworn against one Cook on his trial for the same treason. His testimony having been ruled out, obviously because it was not the case of a deceased witness, nor one where there had been an opportunity for cross-examination on a former trial, between the same parties, the case is nevertheless cited by Peake, in his work on Evidence (page 90) as authority for the proposition that the testimony of a deceased witness cannot be used in a criminal prosecution. The rule in England, however, is clearly the other way. (Authorities.) As to the practice in this country, we know of none of the States in which such testimony is now held to be inadmissible."

In reviewing the question as to the right of the prosecution to introduce the evidence of witnesses since deceased, taken on a former trial, Mr. Justice Brown, after a citation of the leading authorities, held:

"There is doubtless reason for saying that the accused should never lose the benefit of any of these safeguards, even by the death of the witness; and that, if notes of his testimony are permitted to be read, he is deprived of the advantage of that personal presence of the witness before the jury which the law has designed for his protection. But general rules of law of this kind, however beneficent in their operation and valuable to the accused, must occasionally give way to considerations of public policy and the necessities of the case. To say that a criminal, after having once been convicted by the testimony of a certain witness, should

go scot free simply because death had closed the mouth of that witness, would be carrying his constitutional protection to an unwarrantable extent. The law in its wisdom declares that the rights of the public shall not be wholly sacrificed in order that an incidental benefit may be preserved to the accused.

"We are bound to interpret the constitution in the light of the law as it existed at the time it was adopted, not as reaching out for new guarantees of the rights of the citizen, but as securing to every individual such as he already possessed as a British subject—such as his ancestors had inherited and defended since the days of Magna Charta. Many of its provisions in the nature of a bill of rights are subject to exceptions, recognized long before the adoption of the constitution, and not interfering at all with its spirit. Such exceptions were obviously intended to be respected."

* * *

Having reviewed the law and the principle controlling the admission of dying declarations, and the necessity for admitting them in evidence, applying the principle by analogy to the evidence of witnesses since deceased, the court held that their evidence, upon which error was assigned, was admissible. But even in admitting the evidence, the court safeguarded the constitutional right of the accused, for, in his opinion, Mr. Justice Brown said:

"The substance of the constitutional protection is reserved to the prisoner in the advantage that he has once had of seeing the witness face to face, and of subjecting him to the ordeal of cross-examination. This, the law says, he shall under no circumstances be deprived of, and many of the very cases which hold testimony such as this to be admissible, also hold that not the substance of his testimony only, but the very words of the witness, shall be proven. We do not wish to be understood as expressing an opinion upon this point, but all the authorities hold that a copy of the

stenographic report of his entire former testimony, supported by the oath of the stenographer that it is a correct transcript of his notes and of the testimony of the deceased witness, such as was produced in this case, is competent evidence of what he said."

Negativing impliedly the right upon the part of the prosecution, even with reference to a deceased witness, to offer in lieu of the words of the witness, only the substance of his testimony, filtered through the mind of a bystander, or even a juror, with all the shades of meaning and their force and effect lost, consequent upon the statement of the substance of the testimony, and not of the words of the witness. (See Dissenting Opinions of Justices Shiras, Gray, and White, page 259; also, Com. *v.* Richards, 18 Pick., 434; U. S. *v.* Word, 3 Wash. C. C. Rep., 440; State *v.* Restell, 3 Hill; Wilbur *v.* Selden, 6 Com., 162; Lightner *v.* Wike, 4 Serg. & Rawle, 203.)

In the case of Kirby *v.* United States, 174 U. S., 60, Kirby was indicted, tried and convicted under the statute of 1875, which was an act to punish certain larcenies and the receivers of stolen goods. The evidence administered, as shown by the opinion, was the record and judgment rendered against Wallace and Baxter, resting upon their respective pleas of guilty, and the judgment against King after trial and a verdict of guilty. This was the only evidence offered to establish the fact that Kirby was in possession of property stolen from the Government. With the record of these convictions out of the case, there was no evidence whatever to show that the property alleged to have been received by Kirby was stolen from the United States. Mr. Justice Harlan, who delivered the opinion of the court, said:

"One of the fundamental guarantees of life and liberty is found in the sixth amendment of the Constitution of the

United States, which provides that 'in all criminal prosecutions the accused shall * * * be confronted with the witnesses against him.' Instead of confronting Kirby with witnesses to establish the vital fact that the property alleged to have been received by him had been stolen from the United States, he was confronted only with the record of another criminal prosecution, with which he had no connection, *and the evidence in which was not given in his presence.* The record showing the result of the trial of the principal felons was undoubtedly evidence as against *them*, in respect of every fact essential to show *their* guilt. But a fact which can be primarily established only by witnesses cannot be proved against an accused charged with a different offense for which he may be convicted without reference to the principal offender—*except by witnesses who can confront him at the trial, and upon whom he can look while being tried,* whom he is entitled to cross-examine, and whose testimony he may impeach in every mode authorized by the established rules governing the trial or conduct of criminal cases. The presumption of the innocence of an accused attends him throughout the trial, and has relation to every fact that must be established in order to prove his guilt beyond reasonable doubt. 'This presumption,' this court has said, 'is an instrument of proof created by the law in favor of one accused, whereby his innocence is established until sufficient evidence is introduced to overcome the proof which the law has created' (Coffin *v.* United States, 156 U. S., 432, 459). But that presumption in Kirby's case was in effect held in the court below to be of no consequence; for, as to a vital fact which the Government was bound to establish affirmatively, he was put upon the defensive almost from the outset of the trial by reason alone of what appeared to have been said in another criminal prosecution with which he was not connected, and at which he was not entitled to be represented. In other words, the United States, having secured the conviction of Wallace, Baxter and King

as principal felons, the defendant charged by a separate indictment with a different crime—that of receiving the property in question, with knowledge that it was stolen, and with intent to convert it to his own use or gain—was held to be presumptively or *prima facie* guilty so far as the vital fact of the property having been stolen was concerned, as soon as the Government produced the record of such convictions, and without its making any proof whatever by witnesses confronting the deceased of the existence of such vital fact. We cannot assent to this view. *We could not do so without conceding the power of the legislature, when prescribing the effect as evidence of the records and proceedings of courts, to impair the very substance of a right long deemed so essential for the due protection of life and liberty that it is guarded against legislative and judicial action by provisions in the Constitution of the United States and in the constitutions of most if not all of the States composing the Union.*"

After reviewing the English jurisprudence and applying the principles announced in the cases to which the learned justice referred, he concludes by announcing:

"It is scarcely necessary to say that to the rule that an accused is entitled to be confronted with witnesses against him, the admission of dying declarations is an exception which arises from the necessity of the case. This exception was well established before the adoption of the Constitution, and was not intended to be abrogated. The ground upon which such exception rests is that from the circumstances under which dying declarations are made, they are equivalent to the evidence of a living witness upon oath—'the condition of the party who made them being such that every motive to falsehood must be supposed to have been silenced and the mind to have been impelled by the most powerful considerations to tell the truth' (Clyde Mattox *v.* United States, 146 U. S., 140, 151; Cooley's Constitutional Limitations, 318; Phillips' Evidence, chapter 7, sec. 6).

"For the reasons stated, it must be held that so much of the above act of March 3rd, 1875, as declares that the judgment of conviction against the principal felon shall be evidence in a prosecution against the receiver that the property of the United States alleged to have been embezzled, stolen or purloined had been embezzled, stolen or purloined, is in violation of the clause of the Constitution of the United States declaring that in all criminal prosecutions the accused shall be confronted with the witnesses against him. Upon this ground the judgment must be reversed and a new trial had in accordance with law."

In the case of Murray *v.* Louisiana, 163 U. S., p. 101, a number of errors were assigned to the action of the trial court and to the decision of the supreme court of Louisiana; and in passing upon one of the assigned errors, Mr. Justice Shiras, delivering the opinion of the court, said:

"A more serious question is presented by an exception to the action of the trial court in permitting to be read the evidence of one King Jones, which had been taken in the presence of the accused in open court at a preliminary hearing, and read to and signed by the witness. The reason given by the district attorney for the use of the deposition was that after due diligence he was unable to procure the attendance of the witness, who was not within the jurisdiction of the court. The record, however, disclosed that the bill of exceptions to the allowance of this evidence was not presented for signature to the judge until March 14th, 1895, two weeks after sentence was rendered, and after a new trial had been refused and an appeal allowed. No error was assigned in the supreme court of Louisiana, to the admission of this evidence, nor is it made the subject of assignment in this court. Neither does the record disclose the nature or effect of the testimony so admitted. In the absence of a bill of exceptions, disclosing at least the substance of the evidence, and

of an assignment of error, we are permitted to suppose that the evidence was trivial, and that it did no injury to the defendant. We certainly have nothing in this record which would authorize us to convict the supreme court of Louisiana of any error in that behalf."

Between the lines of this opinion, it is perfectly manifest that if a bill of exceptions had been presented in due time and if there had been a proper assignment of error in the supreme court of Louisiana, or even in the Supreme Court of the United States, upon the admission of King Jones' deposition, and its materiality had been disclosed in the assignment, a reversal would inevitably have followed. While it is true that there exists a statute of Louisiana, restricted in its application to the parish of Orleans, authorizing the admission of testimony taken on a previous trial, where the witness is without the jurisdiction, that statute is open to the objection that it is not only repugnant to the provision of the State constitution prohibiting the enactment by the General Assembly of special or local laws establishing rules of evidence, but is also violative of the fourteenth amendment to the Constitution of the United States and destructive of the fundamental right of the accused in all criminal cases, to be confronted with the witnesses produced against him.

In Reynolds *v.* United States, 98 U. S., 145, one of the errors assigned and insisted upon as a ground for the reversal of the judgment of the supreme court of the Territory of Utah, was that the testimony of Amelia Jane Scofield, given at a former trial for the same offense but under another indictment, was improperly admitted in evidence: the contention of plaintiff in error being that under the Constitution he was entitled to be confronted with the witnesses against him, and that this right was not subject to limitation or qualification. The question was fully argued and well considered by the learned Chief Justice, who delivered the opinion of the court.

Recognizing the substantial and fundamental right of the accused to confront his accusers and the witnesses against him, the court held that that right was subject to limitation where the accused had been instrumental in placing the witness beyond the court's process, and that, in consequence of his own wrong, he had no right to complain of the invasion of his constitutional privilege. But that was the sole limitation to be placed upon the constitutional right of the accused. Before reaching this conclusion, a careful review was made of the early English authorities. In delivering the opinion of the court, Mr. Chief Justice Waite said:

"The Constitution gives the accused the right to a trial at which he should be confronted with the witnesses against him, but if a witness is absent by his own wrongful procurement, he cannot complain if competent evidence is admitted to supply the place of that which he has kept away. The Constitution does not guarantee an accused person against the legitimate consequences of his own wrongful acts. It grants him the privilege of being confronted with the witnesses against him; but if he voluntarily keeps the witnesses away, he cannot insist on his privilege. If, therefore, when absent by his procurement, their evidence is supplied in some lawful way, he is in no condition to assert that his constitutional rights have been violated.

"In Lord Morley's case (6 State Trials, 770), as long ago as the year 1666, it was resolved in the House of Lords 'that in case oath should be made that any witness, who had been examined by the coroner and was then absent, was detained by the means or procurement of the prisoner, and the opinion of the judges asked whether such examination might be read, we should answer, that if their lordships were satisfied by the evidence they had heard that the witness was detained by means or procurement of the prisoner, then the examination might be read, but whether he was detained by means or procurement of the prisoner was matter

of fact, of which we were not the judges, but their lordships.' This resolution was followed in Harrison's case (12 *id.*, 851), and seems to have been recognized as the law in England ever since. In Regina *v.* Scaife (17 Ad. and El. N. S., 242) all the judges agreed that if the prisoner had resorted to a contrivance to keep a witness out of the way, the deposition of the witness, taken before a magistrate and in the presence of the prisoner, might be read. Other cases to the same effect are to be found, and in this country the ruling has been in the same way (Drayton *v.* Wells, 1 Nott & M. (S. C.), 409; Williams *v.* The State, 19 Ga., 403). So that now, in the leading text books, it is laid down that if a witness is kept away by the adverse party, his testimony, taken on a former trial between the same parties upon the same issues, may be given in evidence (1 Greenl. Evid., sect. 163; 1 Taylor, Evid., sect 446). Mr. Wharton (1 Whart. Evid., sect. 178) seemingly limits the rule somewhat, and confines it to cases where the witness has been corruptly kept away by the party against whom he is to be called, but in reality his statement is the same as that of the others, for in all it is implied that the witness must have been wrongfully kept away. The rule has its foundation in the maxim that no one shall be permitted to take advantage of his own wrong; and, consequently, if there has not been, in legal contemplation, a wrong committed, the way has not been opened for the introduction of the testimony. We are content with this long-established usage, which, so far as we have been able to discover, has rarely been departed from. It is the outgrowth of a maxim based on the principles of common honesty, and, if properly administered, can harm no one.

"Such being the rule, the question becomes practically one of fact, to be settled as a preliminary to the admission of secondary evidence. In this respect it is like the preliminary question of the proof of loss of a written instrument, before secondary evidence of the contents of the instrument can be admitted. In Lord Morley's case (*supra*), it would

seem to have been considered a question for the trial court alone, and not subject to review on error or appeal; but without deeming it necessary in this case to go so far as that, we have no hesitation in saying that the finding of the court below is, at least, to have the effect of a verdict of a jury upon a question of fact, and should not be disturbed unless the error is manifest." * * *

Here, again, the substantial and fundamental right of the accused to confrontation with the witness was recognized, subject to the limitations found in all the books.

In Motes *v.* United States, 178 U. S., 459, under a charge of conspiracy of which plaintiffs in error were convicted and sentenced, among the errors assigned for reversal was that the written statement of William Robert Taylor, taken on a preliminary examination, was admitted and read in evidence, over the objection of the accused. The statement was lengthy, and showed a cross-examination, or an opportunity for the cross-examination of Taylor by plaintiffs in error. It was quite sufficient, if accepted by the jury as true, to establish the guilt of some, if not all, of the accused.

The proposition presented to the court for determination, stated in the language of the learned justice who delivered the opinion, was:

"The present case does involve the construction and application of the Constitution of the United States. It is necessary to determine whether the admission of certain testimony was not an infringement of rights secured to the accused by the sixth amendment of the Constitution, declaring that in all criminal prosecutions the accused shall enjoy the right to be confronted with the witnesses against him."

After reviewing the facts with reference to the predicate laid for the admission of Taylor's deposition taken at the preliminary hearing, Mr. Justice Harlan said:

"We are of the opinion that the admission in evidence of Taylor's statement or deposition, taken at the examining trial, was in violation of the constitutional right of the defendants to be confronted with the witnesses against them. It did not appear that *Taylor was absent from the trial by the suggestion, procurement, or act of the accused.* On the contrary, his absence was manifestly due to the negligence of the officers of the Government. Taylor was a witness for the prosecution * * * and on the very day when Taylor was called as a witness, within an hour of being called, he was in the corridor of the court-house. When called to testify he did not appear."

The court, after reviewing approvingly the decision in Reynolds *v.* United States, continued:

"In his Treatise on Constitutional Limitations, Cooley, after observing that the testimony for the people in criminal cases can only, as a general rule, be given by witnesses in court, at the trial, says: 'If the witness was sworn before the examining magistrate, or before a coroner, and the accused had an opportunity then to cross-examine him, or if there were a former trial on which he was sworn, it seems allowable to make use of his deposition, or of the minutes of his examination, if the witness has since deceased, or is insane, or sick and unable to testify, *or has been summoned but appears to have been kept away by the opposite party*' (Cooley's Const. Lim., 2 ed., 318).

"In Regina *v.* Scaife, 2 Den. Cr. C., 281, 285, 286; S. C., 17; Q. B., 235; 5 Cox Cr. C., 243, which was an indictment against three persons for a felony, it appeared that the witness had been kept out of the way by the procurement of one of the accused, and the question was whether the prosecution could use the deposition of the absent witness taken before magistrates in the mode directed by 11 and 12 Vict., c. 42, s. 7. It was held by all the judges that the deposition

was not admissible against a defendant who had not caused the absence of the witness. Lord Campbell, C. J., said: 'I am of opinion that the rule for a new trial must be made absolute. Evidence having been given that the defendant Smith had resorted to a contrivance to keep the witness out of the way, the deposition was admissible against him; but it was not admissible against the other defendants, there being no evidence to connect them with the contrivance. The learned Judge, Cresswell, J., in summing up to the jury, seems to have made no distinction as to the duty of the jury to consider the deposition of the absent witness as evidence against the defendant Smith alone, and not as against the others. The question then is, whether such a deposition is admissible against a prisoner without proof that the deponent has been kept away by his contrivance or without proof of the death of the witness. No case has yet gone so far; and I should be afraid to lay down a rule which would deprive a prisoner of the advantage of having a witness for the prosecution against him examined and cross-examined before a jury, upon every matter that may be material to his defense. I, therefore, think that the deposition was improperly admitted against Scaife and Rooke, and that there should be a new trial.' Patterson, J.: 'The deposition of the absent witness, Sarah Ann Garnett, was admissible as against the defendant Smith, by whose contrivance she was kept out of the way, but it ought not to have been applied to the case against him only, and not to the case against the other prisoners. No such distinction appears to have been made at the trial, but the evidence was allowed to go to the jury generally against all the prisoners, it being assumed, without any evidence whatever to support the assumption, that they all were connected with the contrivance to keep the witness out of the way.' Coleridge, J.: 'Before the enactment of 11 and 12 Viet., c. 42, I always understood the law was, that if a witness were absent, either by reason of the death of the witness, or by the procurement of the prisoner, the depo-

sition was receivable in evidence against him. But I believe these were the only two cases where the absence of a witness let in his depositions. Absences from every other cause were within the same category, and did not render them admissible. The seventeenth section of the recent statute took another case—where a witness was proved to be so ill as to be unable to travel—out of one category and put it into another.'

"In the present case there was not the slightest ground in the evidence to suppose that Taylor had absented himself from trial at the instance, by the procurement or with the assent of either of the accused. Nor (if that were material) did his disappearance occur so long prior to his being called as a witness as to justify the conclusion that he had gone out of the State and was permanently beyond the jurisdiction of the court. His absence, as already said, was plainly to be attributed to the negligence of the prosecution. The case is not within any of the recognized exceptions to the general rule prescribed in the Constitution.

"It is suggested that the action of the circuit court was in harmony with the decisions of the supreme court of Alabama (Lowe *v.* State, 86 Ala., 47; Pruitt *v.* State, 92 Ala., 41). We have examined the cases in that court to which attention has been called, and do not think they sustain the ruling of the court below under the circumstances disclosed by this record. But the question cannot be made to depend upon the rules of criminal evidence prevailing in the courts of the State in which the crime was committed. It must be determined with reference to the rights of the secured by the Constitution of the United States. That instrument must control the action of the courts of the United States in all criminal prosecutions before them.

"*We are unwilling to hold it to be consistent with the constitutional requirements that an accused shall be confronted with the witnesses against him, to permit the deposition or statement of an absent witness (taken at an examining trial) to be read at*

the final trial when it does not appear that the witness was absent by the suggestion, connivance or procurement of the accused, but it does appear that his absence was due to the negligence of the prosecution. We need not decide more in the present case.

"For the error referred to the judgment of the circuit court must be reversed as to all the plaintiffs in error and a new trial awarded, except as to Columbus W. Motes."

In the case of United States *v.* Angell (11 Fed. Rep., 34), the fact was conceded that the witness called on behalf of the accused was beyond the jurisdiction of the court and not amenable to its process. Judge Clark reviewed with care the question submitted, and concluded his opinion on this branch of the case in the following language:

"I have found no case where the testimony of a witness, absent but living, given at former trial, has been allowed to be proved at a subsequent trial. There are cases where the testimony of the witness in the preliminary examination has been allowed to be proved, when the witness had died, but none where he had gone from the jurisdiction. And I think the law must be held to be that when the witness is living he must be produced, or his testimony cannot be received in criminal cases, even if he be beyond the jurisdiction of the court or of all the United States. The Constitution of the United States provides (amendments, art. 6) that in all criminal prosecutions the accused shall enjoy the right to be confronted with the witnesses against him; and this without exception. Not if they can be produced, nor if they be within the jurisdiction, but absolutely and on all occasions. * * * Nor can it fairly be maintained that, if the witness has once been confronted with the accused, before the committing magistrate, that the requirements or guarantees of the Constitution are answered.

"It is little better than an evasion of the matter to say that if the witness has been present at the preliminary exam-

ination when the real question is whether the accused shall be held for the action of the grand jury, that, therefore, when he is indicted, and life, liberty or property are at stake, that right no longer exists. As well might it be said that if, in the complaint before the magistrate, the accused was informed of the nature and cause of the accusation, the subsequent indictment need not state that accusation again. The fair meaning of the Constitution is that wherever and whenever he is put on his final trial he shall be confronted with the witnesses against him, if they be alive. The ruling of the court in excluding the testimony of Belloir, upon a pretty thorough examination and mature consideration, is affirmed."

The substantial and fundamental right of the accused, safeguarded by the Constitution, is no less imperative than the demands of public justice. Those rights are protected from invasion by the States, by the effective force of the prohibition contained in fourteenth amendment.

The abuses and perversions of sound principles which creep into the law by yielding to the argument of convenience—to what is supposed to be necessary for the public good—cannot be better stated than it was in the course of the opinion of Mr. Justice Bradley in Boyd v. United States, 116 U. S., 616 and 635:

"Illegitimate and unconstitutional practices get their first footing in that way, namely, by silent approaches and slight deviations from legal modes of procedure. This can only be obviated by adhering to the rule that constitutional provisions for the security of persons and property should be liberally construed. A close and literal construction deprives them of half their efficacy, and leads to a gradual depreciation of the right, as if it consisted more in sound than in substance. It is the duty of the courts to be watchful for the constitutional rights of the citizen against any

stealthy encroachment thereof. Their motto should be *obsta principiis.*"

Let the premise be conceded (as it must be, under the settled jurisprudence of England and the Supreme Court of the United States) that in all criminal prosecutions the right of confrontation by the accused with the witness against him is a substantial and fundamental right protected by *Magna Charta* under the broad declaration that no man shall be deprived of his life, liberty or property without due process of law, and, at once, subject to the recognized exceptions, you have the prohibition contained in the fourteenth amendment, standing as a shield to the accused and as a barrier against the infraction of that right by the State through any of its agencies or in any of its departments.

Mr. Justice Story, in his work on the Constitution, paragraph 1789, said:

"The other part of the clause is but an enlargement of the language of *Magna Charta*, '*nec super eum ibimus, nec super eum mittimus, nisi er legale judicium parium suorum, vel per legem terrae*' (neither will we pass upon him, or condemn him, but by the lawful judgment of his peers, or by the law of the land). Lord Coke says that these latter words, *per legem terrae* (by the law of the land), mean by due process of law, that is, without due presentment or indictment, and being brought in to answer thereto by due process of the common law. So that this clause in effect affirms the right of trial according to the process and proceedings of the common law."

In the bill of rights of the constitution of Alabama, under article 1, paragraph 7, there is a declaration of the substantial and fundamental principle that "in all criminal prosecutions the accused has the right * * * to be confronted by the witnesses against him." And, be it said to the credit of the

great State of Alabama, her legislature has at no time sought to diminish, impair or destroy the full force and effect of this substantial and fundamental right of her citizens. That declaration of right in the State's fundamental law, unaffected and unabridged by legislation, which at best could only throw a doubt upon its force, its efficacy, and its protection, is the declaration of a right to which every citizen of the State may lay claim as his birthright and inheritance from his ancestors.

With the right thus accorded by the fundamental law of the State, there are the implied limitations which we have discussed, and which are as effective as if written into the body of the instrument itself. With the declaration of this right ran these limitations, and none other; and he who would seek to add one more exemption from the beneficent influences and protection of the article, would desecrate this great fundamental right, and would be guilty of sacrilege. The exceptions cannot be increased by judicial decisions, as long as the citizen has as his shield the protection of the Constitution of the United States, which lays its prohibitive force *upon all the departments of government of the State* that seek to impair substantial and fundamental rights that embody the immutable principles of justice.

So guarded has been the legislation of Alabama in preserving from impairment this right of the accused to confrontation with the witnesses against him, that while, as we shall show, it authorized the accused, upon proper application, to take the deposition of a non-resident witness material in the case *on his own behalf*, it required, when the State sought to take depositions for similar causes, that "the defendant file his *written consent* thereto" (Code of Alabama, section 4933 *et seq.*).

What we have contended for, we assume will not be seriously questioned; and that is, that under the common law in criminal prosecutions, the right of the accused to confrontation with the witnesses against him, is substantial and

fundamental. In the absence of legislation attempting to qualify or limit that right, the courts of the State of Alabama are absolutely bound to administer and apply the principles of the common law in the conduct of prosecutions for crime, in so far as these are applicable to republican institutions and the condition of our people.

In the leading case of State *v.* Cawood *et als.* (2 Stuart, Ala., 361), Mr. Justice Collyer, speaking for the court, said:

"By Art. 2 of the ordinance of 1787, 'for the government of the territory of the United States northwest of the Ohio,' which was afterwards made the fundamental law of the Mississippi territory, it is provided that 'the inhabitants of the said territory shall *always* be entitled to the writ of *habeas corpus* and to the trial by jury; to a proportionate representation of the people in the legislature, and to *judicial proceedings according to the course of the common law.*' This provision was doubtless made with reference to the common law of England, and hence that law need not to have been declared to be in force here by express enactment; but if express legislation were necessary, the part of the ordinance referred to may be considered as having that effect. We cannot yield our acquiescence to the proposition that the common law of England was abrogated by our secession from that country, although aware that this doctrine is sustained by some respectable names. We are willing to admit that, as the common law of England, it no longer obtains, yet as the law of the different members of the Union, in which it once obtained, it still maintains validity without the aid of legislative enactment, as far as compatible with the genius of our institutions.

"I take it, then, as most obvious that Congress designed to make the common law of England, as far as applicable, the rule of action both in civil and criminal proceedings in the Mississippi territory. This idea, in regard to crime, is

strengthened by paragraph 45 of the 'act for the punishment of crimes and misdemeanors originally passed in June, 1802, but re-enacted with amendments in 1807' (Laws Ala., 214). After the enumeration of many offenses, among which conspiracy is not included, the section referred to declares 'that every other felony, misdemeanor or offense whatsoever, not provided for by this or some other act of the General Assemby, shall be punished as heretofore by the common law.' This act was enacted upon the hypothesis that the common law was in force here, or it would have specifically mentioned the offenses which were understood to be punishable.

This being all the written law upon the subject existing anterior to the adoption of our constitution, the fifth section of the schedule of that instrument declares, that 'all laws and parts of laws now in force in the Alabama territory, which are not repugnant to the provisions of this constitution, shall continue and remain in force as the laws of this State until they expire by their own limitation, or shall be altered or repealed by the legislature thereof.' By this section it is clear that all laws, whether unwritten or statute, if consistent with the constitution, are continued in force."

In Thompson *v.* State, decided by the supreme court of Alabama, April 11, 1895, reported in the 17 So. Rep., page 512, Chief Justice Brickell said:

"But the doctrine has long been established, in civil and criminal cases, that the common law, so far as adapted to our condition, consistent with our institutions, and unaffected by legislations, prevails here (1 Brick. Dig., p. 349, pars. 1–12). In Pierson *v.* State (12 Ala., 149), it was held that the common law of this State on the subject of homicide is derived from, and the same as, the common law of England. * * * It is apparent from our legislation, and its history, that the legislative intent is to preserve, not to impair or abrogate,

the common law, so far as it may relate to civil rights, or to crimes, is adapted to our condition, and not inconsistent with our institutions, except in so far as it is superseded by express or repugnant legislation."

It is therefore perfectly manifest, that under the constitution of this State, with the right of confrontation protected, and with the force and effect of the common law operating within the State's borders, that law being unaffected by State legislation, it was violative of the fundamental law of the State to have administered second-hand the testimony of Miss Parker through the statements of Micou and Bartlett, without which it was not possible to have secured a conviction. Any conviction in violation of the established principles of the common law, especially in the absence of constitutional or statutory provisions in derogation thereof, is under the constitution of Alabama and the Constitution of the United States, a conviction without due process of law.

Article 2 of the "Ordinance for the government of the territory of the United States northwest of the River Ohio," provides in part: "The inhabitants of said territory shall *always* be entitled to the benefits * * * of judicial proceedings according to the course of the common law."

The schedule of the first constitution of the State, that of 1819, ordained that, "all laws and parts of laws, now in force in the Alabama territory which are not repugnant to this constitution shall continue and remain in force as laws of this State, until they expire by their own limitation, or shall be altered or repealed, by the legislature thereof."

Among the explanatory provisions of the constitution of 1861, was, paragraph 5: "All laws and parts of laws, now in force in the Alabama territory, which are not repugnant to the provisions of this constitution, shall continue and remain in force as the laws of this State, until they expire by their

own limitation, or shall be altered, or repealed, by the legislature thereof."

The schedule of the constitution of 1875 provides, in paragraph 1: "That all laws in force at the ratification of this constitution, and not inconsistent therewith, shall remain in full force, until altered or repealed by the General Assembly; and all rights, actions, prosecutions, claims, and contracts of the State, counties, individuals or bodies corporate, not inconsistent with this constitution, shall continue to be as valid as if this constitution had not been ratified."

When, by the ordinance for the government of the territory there was secured to its inhabitants the benefits of judicial proceedings, according to the course of the common law the rules of evidence with regard to the depositions of witnesses, taken before committing magistrates and coroners and in respect to the testimony of witnesses who had been heard on oath at a former trial, as recognized in the practice of the English courts, at the time of the establishment of the colonies in America, were virtually incorporated into the ordinance as though in terms written therein. The statutes of 1st and 2d Philip and Mary, and 2d and 3d Philip and Mary antedated the settlement of Virginia, and in respect to all the colonies, was common law. Under these statutes, as has been established by an unbroken current of decisions, the only exceptions to the rule excluding hearsay testimony ever tolerated by the English judges, were:

1st. Where the witness was dead.

2d. Where by reason of his illness it was unlikely he would ever be able to attend court.

3d. Where he was insane.

4th. Where the absence of the witness was through the procurement or connivance of the accused or his agents.

But the universal rule applied to all of these exceptions was that before any deposition could be offered in evidence it must have been proven to the satisfaction of the court, that it was taken and heard in a judicial proceeding in the

presence and hearing of an accused who was accorded the right to cross-examine.

This right of confrontation was not only thus incorporated into the laws of Alabama by ordinance, but was made fundamental and inprescriptible by constitutional provisions, guaranteeing to the accused, "in *all* criminal prosecutions, the right to be confronted with the witnesses against him.'

In conformity with the adjudication of almost all of the American decisions, and to the uniform current of authority in this court, the grant to the accused of the right to meet the witnesses against him face to face, carries with it the implied exceptions recognized at common law; therefore, to all intents and purposes, unless there be some provision to the contrary in the organic law of the State, or some valid legislative enactment extending the rule, the law of evidence in this regard, in Alabama, is the law of evidence as applied by the English court, previous to the adoption of the statutes 11th and 12th Victoria. There can hardly be any necessity for repeating that there is no constitutional provision in Alabama placing any limitation upon the right of confrontation; in fact, as will be shown in the course of this argument, the only statute enacted upon the subject, was intended to operate to the advantage, and not to the disadvantage of the accused.

In each of the constitutions of this particular State there is incorporated the special provision, "that no power of suspending laws shall be exercised, except by the General Assembly or its authority."

Therefore, it seems that not satisfied with declaring in the bill of rights, the inalienable privileges of the citizen, the people of Alabama ordained in the organic law that these, as declared, should remain unsuspended, except by the General Assembly acting within the scope of its constitutional powers. The inhibition against judicial disregard of proceedings according to the course of the common law fixed by the ordinance of 1787 and continued in force by the various constitutions is emphatic and absolute.

With reference to depositions in criminal cases, the only enactment adopted was, it is true, in derogation of the common law, but solely in the interests of the accused. It now constitutes section 4932 of the Code of Alabama adopted in 1876.

This provides that, "the defendant may take the deposition of any witness, who from age, infirmity, or sickness, is unable to attend court, or who resides out of the State, or more than 100 miles from the place of trial, computing by the route usually traveled, or who is absent from the State, or where the defense or a material part thereof, depends exclusively upon the testimony of the witness."

Section 4933 provides for the affidavit and interrogatories, and the time and manner of taking and certifying the depositions.

Section 4934 enacts: "The deposition of any witness on the part of the State, may be taken in like manner, and for similar causes, where the *defendant files his written consent thereto.*"

It is clear, that in so far as concerns testimony that may be offered in evidence *against* the accused, he has the absolute right to interpose his objection to the deposition of the witness, which is only admissible "where *the defendant files his written consent thereto*" (Anderson *v.* State, 7 So. Rep., 429).

It follows, accordingly, that except in the respect that the defendant is entitled to the advantage of testimony in his behalf which would not be admissible under the rules of the common law, in every other regard his common-law rights stand as if no special enactment had been adopted.

In the instant case, the only reasons assigned by the supreme court of Alabama for the overruling of the objection interposed at the trial to the admissibility of the testimony of the absent witness, Miss Parker, are these:

"Upon a full and exhaustive consideration of the question on principle and authority, this court in *Lowe v. State* ruled that, 'the testimony of a witness on a former trial or prosecution of the defendant, for the same offense, is admissible as evidence against him on a second trial, if the witness *was beyond the jurisdiction of the court*, whether he has removed from the State permanently or for an indefinite time;' or, to state the ruling perhaps more accurately, that when the witness has removed from the State permanently, or for an indefinite time, his testimony on any former trial of the defendant for the same offense, may be given in evidence against the defendant on any subsequent trial (86 Ala., 47). This decision has often been followed and reaffirmed in this court. We are entirely satisfied of its soundness; and we now again follow and reaffirm it."

At the time of the decision in Lowe *v.* State, the judges of the supreme court were: Stone, C. J., Somerville and Copton, JJ. The attorney general then was T. N. McClellan, who, when the judgment in the present cause was rendered against the plaintiff in error, was the chief justice and organ of the court.

It is stated by the learned chief justice of the ultimate court of Alabama, in his opinion, that *State v. Lowe* was determined "upon a full and exhaustive consideration of the question on principle and authority," and that the subsequent decisions have followed and reaffirmed it.

It results necessarily that unless the principles asserted in that decision are sound and sustainable, the subsequent adjudications, including that in State *v.* Jacobi, are entitled to no manner of respect.

We will assuredly be permitted here to interject the sentiment so happily expressed by Lord Kenyon in King *v.* Eriswell. Speaking of Mr. Chief Justice Foster's indignation at an

appeal to the law of usage, his lordship happily remarked: "I perfectly well recollect that learned judge saying, *communis error facit jus*, but he hoped that he should never have that rule insisted upon to set up a misconception of the law, in destruction of the law. * * * The mistakes of judges, provided they become universal, would, according to that doctrine, become rules of law. An usage, commencing at soonest since, 13 and 14 Car., 2, contrary to law, and working injustice every day it was persisted in, would supersede the law. * * * I regard the question as of the last importance, and as putting in danger the law of evidence, in which every man in the kingdom is deeply concerned."

Prior to *State v. Lowe*, the rule as to the admissibility of the deposition of a witness, who at the time of the trial was beyond the jurisdiction of the court had been twice authoritatively settled in Alabama. In Dupree *v.* State, 33 Ala., 380, it was adjudged, that in a criminal case, the absence of a witness from the State would not authorize the reading in evidence of his written testimony given on the coroner's inquest. In Tharp *v.* State, 15 Ala., 753, the court said, that it has been decided that it is not allowable in a criminal proceeding to prove what a witness, who has only removed out of the jurisdiction of the court testified to on a previous trial in the same case; but the evidence must be received if the witness be dead. Mr. Justice Somerville, in *Lowe v. State*, is unaccountably silent respecting these two well-considered cases decided by the court of last resort of his own State.

Since the correctness of the present doctrine which obtains in Alabama, is to be sustained or must fall, according to the soundness of the cases upon which the opinion in *State v. Lowe* is made to rest when tested by the rules of evidence as recognized and enforced at common law, we make bold to state, that apprised by the very authorities cited therein, it is without the slightest value as a precedent.

By way of precaution, we repeat at the outset, the ob-

servation already repeatedly made, that—under the ordinance of 1787 for the government of the territory, which wrote into the organic law of the State, the common-law rules which are continued in effect by the schedules of succeeding constitutions—only those limitations and exceptions growing out of the statutes of Philip and Mary are implied, which were recognized by the English courts and the writers on the law of evidence.

In other words, the bill of rights secured by *Magna Charta* to the inhabitants of England, is in the language of Mr. Justice Story, "the birthright and inheritance of the American people," and the constitutional provision in the bill of rights of the State of Alabama is but the announcement and the consecration of the right of confrontation in criminal trials, which was accorded at common law. It is no new grant, but merely the confirmance of rights as old as the colonies themselves. With a view to marking a well-defined distinction, we also take occasion to remark that in so far as *civil* actions are concerned, no guarantee is written into either the National or State constitutions; on the contrary, with us the rights and privileges of suitors are derived solely from the rules which obtain at common law, except where these have been modified or extended by statute.

Considering the authorities relied upon in the Lowe case we find then:

That reference is made to but three of the writers upon the law of evidence: Starkie, Greenleaf and Wharton. The reference to the English commentator is in this language: "In England the practice on this point in criminal cases, does not seem to have been uniform; the general rule, however, being not to admit the deposition or secondary evidence of any witness, while any reasonable hope remains that the witness would be able to attend on some future occasion (1st Starkie Ev. (Sharswood ed.), 411, note Y)."

Inspecting the 9th American and the 4th London editions

of Mr. Starkie's Treatise on the Law of Evidence, with notes and references to the American cases by George Sharswood, while we find note "Y," nevertheless we are unable to discover the citation incorporated into the opinion. Be that, however, as it may, we submit that the doctrine laid down by Mr. Starkie at the marginal pages 409, 410, announces the rule in force in *civil actions only*. At page 382 of the second volume of his work, after declaring that the admissibility and effect of depositions in civil cases, have already been considered, and that there remains to notice those according to the statutes in criminal proceedings, and citing the statute 7 George IV, which re-enacted the statutes of Philip and Mary, merely extending them to cases of misdemeanor, Mr. Starkie proceeds, speaking now of *criminal causes:*

"It must always be previously proven that the witness is dead; or, that he has been kept away by the practices of the prisoner; or, *as has been said*, that he is *unable to travel*" (2 Starkie Ev., 382, 383).

So too the rule laid down in 1 Greenleaf, section 163, from its very context, is meant to apply to *civil actions* only. This was held in the 11th Federal Rep., in U. S. *v.* Angell, 42. Mr. Taylor in his well-approved work, which in the preface is admitted to be founded upon that of Dr. Greenleaf, declares that the exceptions to the rule which rejects secondary evidence of oral testimony so long as the witness himself can be called, is said to be qualified at common law in the sense that a witness is regarded as being incapable of being called—1st, when he is dead; 2d, when he is out of the jurisdiction of the court, or possibly, when he cannot be found after diligent inquiry. 3d. When he is either insane or seriously sick. 4th. When he is kept out of the way by the contrivance of the opposite party (1st Taylor's Ev., sec. 472). But almost immediately he adds: "Section 474. In *criminal proceedings* a similar latitude is not allowable at

common law, and the deposition of a witness, whether taken before a magistrate or a coroner, will not be rendered admissible on mere proof that the witness cannot be found after diligent search. Neither will it be received, though satisfactory proof be given that the witness is not absent with any intention to defeat justice, but that, being a foreigner, he had, since the prisoner was committed for trial, returned to his own country, and was at the time of trial resident abroad."

This is all predicated upon the requirement that the prosecution on trial, should be, for substantially, but not necessarily identically, the same offense.

The learned judge also cites Harris *v.* State, 73 Ala., 495; Wharton Crim. Law (8 ed.), sec. 227.

He omits, however, entirely to make any mention of section 229 of Mr. Wharton's work on Criminal Evidence, in which it is declared:

"Proof of mere disappearance of the original witness is not sufficient by itself to admit such testimony, if by due diligence the witness' attendance can be secured; nor in *criminal trials* is such testimony made admissible by the fact that the witness, since the examination, has left the jurisdiction, and is not amenable to process. But, in such cases, the testimony of a former witness corruptly or unlawfully kept from court by the party by whom he is called, it has been held, maybe in like manner reproduced, if the defendant in the former trial had the opportunity to cross-examine the witness; and the former testimony of the witness who has intermediately become incompetent may, at least in civil cases, be proved on a second trial."

Upon the authority of Horton *v.* State, 53 Ala., 488, and Davis *v.* State, 17 Ala., 354, Mr. Justice Somerville holds, that testimony taken before a committing magistrate can be

admitted on the trial of the same cause, the witness being satisfactorily proved to be *dead*.

This is the uniformly admitted rule both in criminal and civil cases.

So, too, Marler's case, reported in 57 Ala., 55, and the case of Summons *v.* State (4 Ohio, 324), are quoted in support of the doctrine that there is no constitutional objection to the introduction of secondary evidence where a witness who had previously testified or deposed in the presence of the accused, who had an opportunity to cross-examine, has since become *insane*. This rule *is* settled in Marler's case, but in the Ohio case it happens that the witness was *dead*.

Insanity at common law, was, and is still, recognized by the English courts to constitute an exception to the rule excluding hearsay testimony.

The first of the authorities declared by Mr. Justice Somerville to be directly to the point upon the question in *criminal cases*, is Sullivan *v.* State, 6 Texas Appeal, 319.

The issue in this cause and the only matter determined was that a sufficient predicate had not been offered and established to show that the witness was beyond the jurisdiction of the court; everything else on the question of the admissibility of the testimony of a witness absent from the State, is pure *obiter*, and is supported by none of the authorities, outside of the State of Texas, mentioned in the opinion. The references are to Cooley, Greenleaf and Wharton.

To what has been already said as to the views of Dr. Greenleaf and of Dr. Wharton, it may be added, that the very text from Cooley's Constitutional Limitations, set out in the opinion, restricts the exception to instances where "the witness is since deceased, or is insane, or sick, and unable to testify, or has been summoned, but appears to have been kept away by the opposite party."

We are not to be understood as denying that under the special provisions of the Texas Code of Criminal Procedure, the rules of the common law are changed, or that under

this code the extension of the exceptions to include absence from the State, may not be perfectly justified; but we insist that such a contention cannot be maintained by an appeal to the doctrine of the common law.

People *v.* Devine, 46 Cal., 46, is the next authority. It is stated in People *v.* Chung, 57 Cal., 568, that at the time Devine's case was tried in the district court, the codes had not yet taken effect, and it did not appear that the attention of the Supreme Court was called to any similar provision in the former criminal practice act. But whether or not the conclusion reached was justifiable under the criminal practice act, it is certain that the only authority upon which the judgment is founded does not support the view of the court. We have, we believe, fully established, that Greenleaf on Ev., section 163, is intended to apply *exclusively to civil cases.*

We have not had an opportunity to examine the case reported in 33 Ark., 539.

The next of the decisions relied upon, that in Hurley's case, was rendered in 1874, under the Arkansas constitution of 1868, which contained no provision giving the right of confrontation in criminal causes, and as no statute has been adopted in derogation of the common law, the finding of the court, in respect of a witness gone beyond the boundaries of the Commonwealth must necessarily be tested by the rules of the common law. *According to the unanimous and uniform adjudications of the English courts,* (whatever the rule might be as to civil actions), *such testimony was never admissible in criminal cases.*

The opinion further refers to the following *civil cases:* McGill *v.* Kauffmann, 4 Sarg. & R., 317; Howard *v.* Patrick, 38 Mich., 795; Carpenter *v.* Croff, 5 Sarg. & R., 162; Long *v.* Davis, 18 Ala., 801, *supra.*

Finally the supreme court of Alabama concludes upon this point as follows:

"The reasoning and *dicta* in the following cases of absent, deceased, sick, and insane witnesses support the same view:

"Drayton *v.* Weils, 1 Nott & McC., 409; Reynolds *v.* U. S., 98 U. S., 145; Slusser *v.* City of Burlington, 47 Iowa, 300; Summons *v.* State, 5 Ohio St., 325; Marler *v.* State, *supra;* Rex *v.* Hogg, 6 Carr. & Payne, 176; Emig *v.* Diehl, 76 Penn. St., 359; Miller *v.* Russell, 7 Mart. (N. S.), 266."

This is a brave showing, but unfortunately all of the last twelve cases cited, with the exception of Reynolds *v.* U. S.; Summons *v.* State; Marler *v.* State, and Rex *v.* Hogg, are *civil cases*, unprotected by any constitutional provision, and as to which both the English and American rule is, that the former testimony is admissible whenever the absent witness *is beyond the jurisdiction of the court.*

As has already been noted, in Summons *v.* State, the witness was *dead;* in Marler *v.* State, the witness was *insane;* in Reynolds *v.* U. S., in accordance with the common-law rule, the testimony was admitted on the ground that the absence of the witness was *by the procurement of the accused*, and in Rex *v.* Hogg, decided under 7 Geo. 4, the examination taken before the magistrate was permitted to be read, upon the ground that there was no likelihood that the *sick* witness would ever be able to attend the assizes.

Each one of these is an exception recognized under the rules of the common law.

The opinion in *State v. Lowe*, declared by McClellan, C. J., in the case now before the court on error, to contain "a full and exhaustive consideration of the question upon principle and authority," is certainly not supported on "authority," and so far as the consideration of "principle" is concerned, the only principle which can possibly govern in a State which confers on its citizens, without limitation, the benefit of proceedings as they existed at common law, would be the principle governing the rules of evidence as established by the English courts. Every English court and every English writer of authority, repudiates the pretense that in a crim-

inal case the former testimony of a witness can be heard, merely because he has gone beyond the jurisdiction of the court, or because, after due diligence used, his attendance cannot be secured. If, on the other hand, the "principle" invoked is that for the purposes of the trial the witness is as though he were dead, we must make answer that the common-law judges while finally conceding that this consideration should be recognized in *civil actions*, refused to permit the fundamental right of confrontation to be enlarged in *criminal causes*, upon any plea of analogy, policy, convenience or necessity.

The value of the protection which one accused of crime enjoys, as the result of the recognition of his substantial and fundamental right of confrontation, is specially emphasized by the denial of the boon in the instant case. From the members of the first traverse jury, there were selected by the State's attorney two who *evidently* favored the conviction of the plaintiff in error, and who, in undertaking to narrate the testimony of Miss Parker, inevitably gave it as it impressed them from a partial point of view.

How apt and appropriate in its application to this condition, is the language of Mr. Justice Putnam, in the case of Commonwealth *v.* Richards, 18 Pick., 439:

"But the whole of what the deceased witness said should be proved. Some part which was said and not recollected, might certainly limit and qualify the meaning of the words which are recollected. Hence it is, that persons who are in hearing, who are favorably inclined to one party, may recollect a particular expression, which conformed to their wishes and wholly omit the words of qualification; while others, who incline towards the other side, will remember the words of qualification and forget or take no notice of the particular expression. We see this exemplified very frequently in trials before juries. How common it is, for the counsel en-

gaged in the cause to disagree as to what the witness has sworn to, recently. *One notes down on paper or treasures up in his mind, what he considers to be favorable and disregards the rest, while the other recollects the rest with great clearness.* And it is not unusual that the court understood the witness to state the matter *differently* from what the counsel on either side suppose was the evidence.

"The difficulty is increased by the length of time which has elapsed between the time when the testimony of the deceased witness was given, and the statement of it by the living witness who heard it."

The leading case in Louisiana upon this subject is that of State *v.* Harvey (28th La. Annual Rep., p. 106). This is the basis and foundation for every decision relating to the rule governing the introduction of depositions and testimony delivered at a former trial, in criminal cases, since rendered, which is grounded upon the common-law methods of trial and rules of evidence.

At the date of the pronouncing of this opinion (February, 1876) the constitution of 1868 was in operation, under the bill of rights of which it was guaranteed to the accused that "he shall have the right of meeting the witnesses face to face." No special statute was in force making any provision as to the introduction of this class of depositions or of this character of testimony. On the contrary, it was expressly enacted, by a mandatory provision of the Revised Statutes (which is still the law), that:

"SEC. 976. All crimes, offenses and misdemeanors shall be taken, intended and construed, according to and *in conformity with the common law of England;* and the forms of indictment (divested, however, of unnecessary prolixity), the method of trial, *the rules of evidence*, and all other proceedings whatsoever in the prosecution of crimes, offenses and misdemeanors, changing what ought to be changed,

shall be according to the common law, unless otherwise provided."

Acts of 1805, p. 440, par. 33; Acts of 1855, p. 151.

The point at issue on the appeal prosecuted by Harvey was that presented in the bill of exceptions reserved because of the admission in evidence of the deposition of one George Morris, taken before the committing magistrate and offered in evidence by the State. The ground of objection was "that the Constitution of the United States, as well as that of Louisiana, gives every accused person on trial the right of being confronted face to face by the witnesses against him, and that such proceeding in effect deprives him of such right."

The reasoning of the court is set out in the opinion in these words:

"Before admitting the deposition it was proved that the witness was absent and could not be found; that on the preliminary examination he testified in presence of the accused; that his testimony was reduced to writing, read to and subscribed by him in presence of the accused, and attested by the committing magistrate.

"This seems to bring the case within the common-law rule to the effect that 'if due diligence has been used, and it is made manifest that the witness has been sought for and cannot be found, or if it be proved that he was subpœnaed and fell sick by the way, his deposition may be read, for that, in such case, he is in the same circumstances as to the party that is to use him as if he were dead.'

"See Archbold's Criminal Practice and Pleading, seventh edition, vol. 1, p. 479, note (1); B. N., p. 239; Hawk. P. C., b. 2, c. 46, sec. 18.

"If the accused had the witness 'face to face' on the preliminary trial and had the opportunity to cross-examine him,

his constitutional right, invoked by him, is not violated by the introduction, on the final trial, of the deposition of such witness, where it is shown that the witness cannot be produced. Otherwise it would be in the power of the accused to deprive the State of the testimony of all witnesses against him by preventing their attendance through some undue influence, or the State would be deprived of the proof by the death of the witness; for the constitutional right would apply equally in both cases, and thus convictions might never be obtained."

To the last of these observations, it may be aptly answered that by "*the common law*," if a witness were dead, the deposition taken contradictorily would be admissible; and if the accused prevented the attendance of the witness against him, "through some undue influence," by this same rule of the common law the deposition or testimony of the witness would become admissible, as an exception to the general rule which excludes hearsay.

The observation is made that, "where due diligence has been used, and it is made manifest that the witness has been sought for and cannot be found, or if it be proved that he was subpœnaed and fell sick by the way, his deposition may be read, for that, in such case, he is in the same circumstances as to the party that is to use him as if he were dead," the case is brought within the common-law rule, and in support of this proposition appeal is made to the note at the foot of page 47 of 1 Archbold's Criminal Practice & Pleading; to Buller's Nisi Prius, and to the text of Hawkins' Pleas of the Crown.

As by express statute the rule which governs in Louisiana is that of the common law as it existed in 1805 (the date of the passage of the special statute above given), instead of following the note which itself pretends to rest upon the authority of Buller's Nisi Prius and Hawkins' Pleas of the Crown, we prefer to appeal to the text.

The exceptions enumerated by Mr. Archbold are:

"If the witness were then dead, or bed-ridden, and not likely to be ever able to attend at the assizer, or unable to travel, or had become insane, or was kept out of the way by or on behalf of the prisoner."

In so far as the reference to Mr. Justice Buller is concerned, the answer is that what is noted in the Louisiana decision as laying down the common-law rule in *criminal cases* is stated by this eminent English judge at page 239 of his work, to be the rule *in civil actions*. In construing the statute of 1 and 2 P. and M., c. 13, and 2 and 3 P. and M., c. 10, which controlled in criminal causes, Mr. Justice Buller (against the weight of English authority, we insist), limits the exception to the case of *the death of the witness*.

The citation from Hawkins is b. 2, c. 46, s. 18. This section treats exclusively of the right of accomplices to be witnesses against the prisoner.

The exceptions to the rule excluding hearsay evidence, as given by this author, are enumerated in sections 6 and 7 of this chapter, where it is said that depositions in the cases under consideration may be given in evidence where the deponent "is dead, or unable to travel, or kept away by the means of procurement of the prisoner," and "that it is not sufficient to authorize the reading of such examination, to make oath that the prosecutors have used all their endeavors to find the witness and cannot find him.

Again, at section 12, it is declared that it was agreed, in Sir John Fenwick's case, "That the evidence given by a witness at one trial could not in the ordinary course of justice be made use of against the defendant, on the death of such witness, at another trial."

The precedent established in State *v.* Harvey has been blindly followed ever since. It having been declared in these decisions that a confrontation elsewhere than at the trial satisfies the constitutional provision guaranteeing to

the accused the right to meet the witnesses "face to face" it may be pertinent to direct the attention of the court here to the fact that in the new constitution of 1898 the bill of rights in this regard was altered so as to read, "the accused *in every instance* shall have the right to be confronted with the witnesses against him." While it is true that "in *all* criminal prosecutions" is as sweeping as "in every instance," the language of the last constitution of the State prevents all excuse for pretense at construction, by pointedly declaring that the right shall be enjoyed "*in every instance.*"

In Pope *v.* State, 22 Ark. 372, the court, after a careful review of the authorities, reached the conclusion that the testimony of a *deceased witness*, examined on a former trial of a criminal charge, may be proved on a second trial for the same offense, but made no attempt to carry the rule beyond the case of a witness, who, at the time of the second trial, was *dead.*

Hurley *v.* State, 29 Ark. 1, is the leading case, and the foundation for the doctrine in that State, that the deposition of a witness at a former trial, or who had appeared and been examined contradictorily with the accused at a preliminary examination, was admissible in evidence where the witness was *out of the jurisdiction* at the time of the former trial.

The constitution then in force, was that of 1868, which contained no provision requiring the confrontation of accused and witness in criminal cases. The Supreme Court, therefore, undertook to declare the common-law exceptions to the rule excluding hearsay and secondary evidence in criminal trials.

The opinion delivered is sought to be justified upon the assumption that it was strictly in accord with the common law. Having noted the provision of the Constitution of the United States and of the States generally, that in criminal prosecutions "the accused shall be confronted with the wit-

nesses against him," or as expressed in the Arkansas bill of rights of 1836, "to meet the witness face to face," the argument by which the opinion of the court is to be maintained, was in these words:

"But the admission of the deposition of Bevans was no violation of this old landmark of the criminal law. He had been legally sworn and examined before a committing magistrate, in the presence of the appellant, and where he had an opportunity of cross-examination, his testimony had been reduced to writing, read to and subscribed by him, and delivered to the clerk by the magistrate, and the witness was *out of the jurisdiction of the court* when his deposition was offered in evidence on the trial, and was admissible as secondary evidence, (1 Greenl. Ev., sec. 11; Bostick *v.* State, 3 Humph., 344; State *v.* Valentine, 7 Iredell, 224; Pope *v.* State, 22 Ark., 372)."

It is respectfully submitted that none of these authorities support the conclusion reached by the court.

The reference to Greenleaf is evidently a misquotation. Section 11 is upon the subject of "Grounds of belief." The only section of Mr. Greenleaf's work which has any reference to the subject-matter under discussion is 163, which, as has already been shown, is restricted in its application to *civil actions.*

The case from 3 Humphrey is a decision upon the question of the admissibility of depositions, where, pending the trial, the deponent *had died.*

Upon the point at issue, the only declaration in State *v.* Valentine is, that "depositions taken under the act, are legal evidence, to be used either in chief, by either party, *should the witness die,* or upon the cross-examination of the witness in court."

In Pope's case, as already indicated, no more was determined than that the deposition of *a deceased witness* upon due proof made, was admissible in a criminal prosecution.

In the case of Sneed *v.* State, 47 Ark., 180 (also reported in 1st S. W. Rep., 68), it was held, that where a deposition is taken in due form, it "may be read as secondary evidence on the final trial, if the witness is out of the jurisdiction, or his whereabouts cannot be learned, without any violation of the constitutional right of the accused to be confronted with the adverse witnesses. The accused has already enjoyed the privilege of meeting the witness face to face, and of subjecting him to a cross-examination. To prevent a failure of justice, it is permitted to prove what the witness then swore, the same as if the witness were dead."

This is upon the authority of Hurley *v.* State, *supra*, and the cases in 33 and 40 Arkansas, which follow that precedent.

Before the same court, in Vaughan *v.* State, reported in 24 S. W. Rep., 885, it was said:

"The questions raised by this assignment have been strongly pressed upon the court, and ably argued by counsel, both orally and in their briefs. While appreciating the great force in what is said about such testimony being in violation of article 2, par. 10, of the constitution, giving to the accused 'the right to be confronted with the witnesses against him,' we hold that former decisions of this court correctly declare the doctrine upon that subject. It is an old question, and has been often passed upon. The settled law of this State is that where the adverse witness is dead, beyond the jurisdiction of the court, or, upon diligent inquiry, cannot be found, what such witness testified on a former occasion on the same issue, and between the same parties, may be given in evidence, provided the accused was present having the right of cross-examination (1 Greenl. Ev., sec. 163). This doctrine was announced by this court long before the constitutional provision cited *supra* was ingrafted, and has been several times followed since (Pope *v.* State, 22 Ark., 372; Hurley *v.* State, 29 Ark., 17; Shackelford *v.* State, 33

Ark., 539; Green *v.* State, 40 Ark., 461; Sneed *v.* State, 47 Ark., 180, 1 S. W., 68; 1 Greenl. Ev., sec. 163, and authorities cited)."

The decision here quoted rests on the same authorities, and the citation from Mr. Greenleaf on Evidence is correctly given.

Except in these three States—Alabama, Arkansas and Louisiana—we have been unable, after diligent and patient research, to find any decision in the State courts justifying the doctrine announced and the practice contended for above, except when authorized by constitutional provisions or statutory enactments passed pursuant thereto.

In Nevada, the rule as to the introduction in evidence in criminal prosecutions, of depositions and testimony of witnesses in former trials against a prisoner, is controlled *by statute* (State *v.* Jones, 7 Nev., 408).

In Colorado, the constitutional provision that in criminal prosecutions, "the accused shall have the right * * * to meet the witnesses against him face to face," is immediately followed by another provision permitting the taking of depositions to be used upon the trial, where the witness is in prison for the purpose of securing his testimony.

"These provisions," says the supreme court, "being a part of the same instrument, must be construed *in pari materia*; and, when so construed, no doubt can be entertained that in this State, at least, there is constitutional sanction for the taking of a deposition on the part of the prosecution, and the introduction of the same against the accused upon final trial *under some circumstances*."

But even under these conditions, it was adjudged that the act passed to give effect to this last constitutional provision, being an exception to the general rule requiring the prosecution to confront the accused on the final trial with the

witnesses against him, "must be followed in all substantial particulars, or the deposition will not be permitted to be read to the jury."

Ryan *v.* People (supreme court of Colorado, June 17, 1895), 40 Pac. Rep., 775.

We have already taken occasion *supra*, to note that the opinion in Sullivan's case (6 Court of Appeals, Texas), though justified under the Code of Criminal Procedure, could not be supported under the rules of the common law, and was not in accord with the common-law authorities cited.

In Allen *v.* State, 16 Tex. App., 237, the only point at issue and decided, was that under the Code of Criminal Procedure, art. 23, "the defendant to a criminal prosecution for any offense, may waive any rights secured to him by law, except the right to trial by jury in a felony case."

Menges *v.* State, Texas Appeals, reported in 2 S. W. Rep., 812, is a decision upon the construction to be placed upon articles 772 and 773 of the Code of Criminal Procedure, which make depositions and testimony delivered at a former trial admissible where the absent witness resided out of the State or had removed beyond the limits of the State, or was dead, or had been prevented from attending court through the act or agency of the defendant. It was distinctly determined here that the necessary predicate was not established.

It is, therefore, manifest that *the decisions in this State are all controlled by the statutory provisions.*

The constitution of Indiana declares that one accused of crime shall "have the right * * * to meet the witnesses face to face."

By section 1805 of the Revised Statutes, it is provided:

"The defendant may, by leave of the court, take the depositions of witnesses residing out of the State, to be read on

the trial; but, before leave is given, the defendant must enter of record his *consent* that the depositions of witnesses residing out of the State may be taken and read on behalf of the State, relative to the same matter, and the defendant may, on the same terms, and by leave of court, or by notice to the prosecuting attorney, take the depositions of any witness *conditionally*."

It was held that this statute confers upon on accused person a right he did not have at common law, namely, the right to take depositions in a foreign jurisdiction, and confers it *upon condition* that he would concede a like privilege to the State.

"No right is taken from him," said the court; "but an additional one is granted him. It seems clear to our minds that a statute conferring a new and beneficial privilege upon the defendant cannot be deemed unconstitutional because it annexes to the grant a condition favorable to the State, but just in itself and not oppressive to the accused. The right stands as it is given by the statute, and that is a right to exercise the privilege conferred upon the condition that a like privilege be conceded to the State. No restraint is imposed upon the accused; it is left to his free, unfettered choice; he may accept the offered privilege, or he may not, just as he wills, but if he does accept it, he must take it as the statute gives it. The right conferred by the statute is a single, indivisible one; the condition is an integral part of it, and if the accused accepts the benefits of the statute, he must take it just as it is given; he cannot take it in part and reject it in part. In his acceptance of the new right, he takes solely by virtue of the statute, and necessarily takes the burden with the benefit. He cannot create a right, he can only take what the law has created. We are of opinion that the statute does not contravene the provisions of the State constitution."

This well-considered opinion concludes with the statement:

"If, however, the accused did have the constitutional right to confront the witnesses, still there is no cause for reversal, because that right *was waived*. Our decisions have steadily maintained the power of a defendant to waive a constitutional privilege intended for his benefit."

97 Ind., 378.

Is it not clear, from what is here adjudged, that the instances controlled by section 1805 of the Revised Statutes of Indiana are the only extensions of the common-law rule which are recognized in that State?

In Lightner and others against Wike, 4 Sarg. & Rawle, it was held by Tilghman, C. J., in a *civil case*, that:

"It is a rule of law that what *a deceased witness* swore to at a former trial, may be given in evidence at a subsequent trial of a cause depending between the same parties."

In another *civil action*, Emig *v.* Diehl (1873), Mr. Justice Sharswood, in delivering the opinion of the court, said:

"Though we have no express decision upon the subject, it seems clear upon principle that the deposition or testimony of a witness formerly taken in the same cause, can be read in evidence on showing that he is sick and unable to attend, insane or in such a state of senility as to have lost his memory of the past, equally as where he is dead or out of the jurisdiction."

In Brown *v.* Commonwealth, 73 Pa. St., decided in the same year, the court held, that in a *criminal prosecution* the testimony or deposition of a witness taken in due form is admissible in evidence at the final trial, *if the witness be dead*.

The doctrine of Commonwealth *v.* Richards, 18 Pick., 434, was approved, and the opinion of the court made to rest on 1 Pitt, Taylor on Ev., 445 and 447, and on Dr. Wharton's valuable Treatise on Criminal Law, vol. 1, 667.

Since then, by the act of May 23, 1887, it is provided that wherever a person has been examined as a witness in any proceeding conducted before a court of record in the presence of the accused, who had an opportunity to examine or cross-examine, if the witness afterwards die, or be out of the jurisdiction, or cannot be found, or become incompetent to testify for any legally sufficient reason, properly proved, notes of his examination shall be competent evidence upon a subsequent trial of the same criminal issue. (See Commonwealth *v.* Cleary (1892), reported in 23 Atlantic Rep., 1110.)

At this day, in New York, in criminal prosecutions, the right to introduce depositions properly taken before the magistrate or coroner, or the testimony of absent witnesses, taken at a former trial is regulated *by statute*.

The People *v.* Restell, 3 Hill, 289, was determined before the adoption of the present statute. In this case, Mr. Justice Bronson, as the organ of the court, declared that the enactment then in force, was similar in its provisions to 1 and 2, and 2 and 3 Phil. and Mary, excepting that it authorized the taking of these depositions in cases of *misdemeanor*, as well as *felony*, and then adjudged that the only exceptions recognized at common law to the rule in criminal cases that a witness must appear in court and be confronted by the accused party, were: dying declarations in prosecutions for homicide, and [provided the statute has been followed,] where the witness cannot be produced at the trial *because he is dead*, and in some other cases. While the other cases are not enumerated, the learned judge in the context, at page 296, makes it clear that under the laws of New York, as then in force, the exceptions to the rule excluding hearsay testi-

mony, were those that obtained in England under the statute of Philip and Mary.

In Wilbur *v.* Selden, 6 Cowen, 162, it was held: That even in a *civil cause* what a witness swore on the former trial, could not be given in evidence, *unless he is dead;* that he is beyond the reach of process of subpœna, and cannot be found upon diligent inquiry, *will not* render such proof admissible.

In Bebee *v.* People, 5 Hill (N. Y.), 33, the court, through NELSON, C. J., held:

"But for the *consent* of the prisoner's counsel, Dutton's deposition could not have been received in evidence inasmuch as no proper foundation for admitting it was laid by proving the witness' death, insanity, inability from sickness to attend the trial, or collusion with the prisoner (Rosc. Crim. Ev., 50, 51; The People *v.* Restell, 3 Hill, 289). Such consent having however been *deliberately* given, I think the court below were right in refusing to allow its withdrawal."

And in Crary *v.* Sprague, 12 Wendell (N. Y.), 43, the same court, declared:

"NELSON, J.: If the testimony of John L. Shearer on the former trial had been used by the plaintiff, and the objection to its competency on the score of the interest of the witness had been made by the defendants, now for the first time, there would be some plausibility, if not force, in the argument, that had it been made before, the witness might have been released; now it is too late. Even under this view of the question, I will say it would be decisive; for the evidence of the former testimony is admitted only from necessity, and is justly liable to many exceptions; and it seems even still to be questioned by high authority if it be admissible *at all* in a *criminal case* (2 Hawk., 606, 12; Peake's Ev., 60), though *I think it would.* Eminent judges have cast a doubt over the soundness of the rule, and Lord Kenyon confined its operation to an almost impracticable strictness, evidently from

the danger of its great facility to abuse (1 Phil. Ev., 199, 200, Gould's ed.; 2 Johns. Rep., 21, per Livingstone, J.; 14 Mass. R., 234; 6 Cowen, 162). It will not be allowed, unless the witness *be dead* and his death affirmatively shown. Even diligent inquiry, without being able to find the witness, is not sufficient, though it is obvious there can scarcely be a shade of difference between the two cases (death and absence), either in principle or hardship. I mention these circumstances to show the great strictness with which the rule is guarded by the court."

At the time of the decision in the case of The People *v.* Williams, 35 Hun., 516, by the terms of the Code of Criminal Procedure, "where the testimony is taken contradictorily with the accused before a magistrate, and the testimony reduced to the form of a deposition, or where the testimony of the witness on the part of the people, unable to give security for his appearance, has been taken conditionally, according to sections 219 and 220, the deposition of a witness may be read upon its being satisfactorily shown to the court that he is dead or insane, or that he cannot, with due diligence, be found within the State."

In New York there is no constitutional provision guaranteeing to the accused the privilege of being confronted with the witnesses against him. Such a declaration of right is however contained in the Revised Statutes.

Whether the codal provisions are in violation of the fundamental rights of the accused, as secured by *Magna Charta*, it is unnecessary for the purpose of this argument to inquire. It is a question, under the doctrine announced by this court in Hopt *v.* Utah, 110 U. S., 574, and in Brand *v.* U. S., 168 U. S., 543, for future resolution.

We feel authorized, however, to announce, without danger of contradiction, that the change from the common-law rule in the doctrine with respect to the exceptions which obtain in the State of New York, relative to the introduction of

depositions, is based, not upon the fundamental right of confrontation, but upon the right of confrontation as qualified and restricted by the codal provision.

See also People *v.* Penhollow, 42 Hun., 103.

The case of The People *v.* Fish, reported in the 125 N. Y., 151, next invites our consideration, and while it is based upon the codal provisions with respect to witnesses absent from the court's jurisdiction, there were presented the rights of the accused to confrontation, protected by virtue of the fourteenth amendment of the Federal Constitution. It was there suggested that a denial of the right, or its qualification, or its limitation beyond the common-law exceptions, was "a denial of the privileges and immunities" of the American citizen, protected against infraction by the States.

Whether the reception of the evidence objected to amounted to the denial of the fundamental right of confrontation of the accused with the witnesses against him, and therefore the conviction resulting, was not in accordance with "the law of the land," was neither suggested at the bar, nor considered by the court. The argument addressed to the court in the discussion of this question was limited to whether the right of confrontation was one of the constitutional privileges or immunities, and not directed to any inquiry whether it affected a substantial and fundamental right which cannot be surrendered, and which the States cannot impair. Even when dealing with the right asserted by the accused of confrontation as one of the "privileges and immunities" of American citizens, the court had some hesitation, as evidenced by its opinion in reaching a conclusion adverse to the accused, for after having passed upon the issue, it qualified its *dictum* in the following language:

"But, *even if we are wrong* in this construction of the fourteenth amendment, we think it clearly settled by numerous

adjudications, that the right of the defendant to be confronted with a witness within the meaning of the Federal Constitution, and the bill of rights, was not denied to him."

We are not unmindful of the fact that the United States Supreme Court has declared that its "flexibility and capacity for growth and adaptation is peculiar boast and excellence of the common law," and that while "the Constitution of the United States was ordained, it is true, by descendants of Englishmen, who inherited the traditions of English law and history, it was made for an undefined and expanding future, and for a people gathered and to be gathered from many nations, and of many tongues."

"*That while the cardinal principles of justice are immutable*, the *methods* by which justice is administered, are subject to constant fluctuation, and the Constitution of the United States, which is necessarily, and to a large extent, inflexible and exceedingly difficult of amendment, should not be so construed as to deprive the States of the power to so amend their laws as to make them conform to the wishes of the citizens as they may deem best for the public welfare without bringing them into conflict with the supreme law of the land."

But we remember also, that it was solemnly adjudged that the power of the States, is not unlimited; that "while the people of each State may doubtless adopt such systems of laws as best conform to their own traditions and customs, the people of the entire country have laid down in the Constitution of the United States certain fundamental principles to which each member of the Union is bound to accede as a condition of its admission as a State."

The necessities of this case in no sense require any discussion of the limitations within which the power of the State to infringe upon the fundamental rights of the citizens is to be confined.

In Alabama, no effort has been made, either by constitutional provision or by statute, to alter, modify, or extend the common-law rule which, by the territorial ordinance and the schedules of the constitutions of the State has been preserved inviolate.

In Barlow *v.* Ramp, 28 Ala., 704, it was solemnly adjudged that by a series of decisions running through the entire judicial history of the State, the doctrine has been firmly established as a rule in civil and criminal cases that the common law of England, by virtue of the ordinance of 1787, and subsequent legislation, so far as consistent with our institutions, prevails here."

See 1st Brickell's Dig., 550.

Our own contention is simply that when the bill of rights was incorporated into the Federal and State constitutions, in the language of Mr. Justice Brown in Robertson *v.* Baldwin, 165 U. S., 281, it was intended "to embody certain guarantees and immunities which we had inherited from our English ancestors, and which had from time immemorial been subject to certain well-recognized exceptions arising from the necessities of the case. In incorporating these principles into the fundamental law, there was no intention of disregarding the exceptions, which continue to be recognized as if they had been formally expressed. Thus, the freedom of speech and of the press (article 1) does not permit the publication of libels, blasphemous or indecent articles, or other publications injurious to public morals or private reputation. The right of the people to keep and bear arms (article 2) is not infringed by laws prohibiting the carrying of concealed weapons; the provision that no person shall be twice put in jeopardy (article 5) does not prevent a second trial if upon the first trial the jury failed to agree, or if the verdict was set aside upon defendant's motion (U. S. *v.* Ball, 163 U. S., 672); nor does the provision of the same article, that no one shall be a witness against himself impair his obliga-

tion to testify, if a prosecution against him be barred by the lapse of time, a pardon, or by statutory enactment (Brown *v.* Walker, 161 U. S., 591, and cases cited). Nor does the provision that an accused person shall be confronted with the witnesses against him prevent the admission of dying declarations or the depositions of witnesses who have died since the former trial. (See also U. S. *v.* Cruikshank, 92 U. S., 542.")

Accepting the doctrine here laid down in its entirety, we assert that in the absence of any constitutional legislation upon the subject, the only limitations upon the fundamental rule excluding hearsay and secondary evidence in the trial of *criminal causes*, are those well-recognized exceptions, arising from the necessities of the case, declared under the ancient common law, the statutes of Philip and Mary and of George IV and by this court. In the case of depositions, or where it is sought to introduce evidence given at a former trial, these are: 1, Where the witness is dead; or 2, so sick as to make him it likely he will never be able to attend the assizes; or, 3, is insane; or, 4, where his absence is brought about through the procurement or connivance of the accused. And this we submit is the doctrine enunciated by the English courts, by the English writers on the law of evidence, by the United States Supreme Court in its construction of the Federal Constitution, and by every American writer of authority, who has given his views upon the subject.

We feel authorized, under the showing already made, to assert that the provisions in the Federal and the State constitutions guaranteeing to the citizen, in all criminal prosecutions, the right to be confronted with the witness against him, does no more than to embody in these instruments a right inherited from our English ancestors which is subject to no other limitation than that comprised in the well-recog-

nized exceptions arising out of the necessities of the case which are incorporated into the common law.

Neither in this court nor in the courts of England has sanction ever been given to the legal heresy that whenever the accused has had opportunity for cross-examination, either a deposition taken before a committing magistrate or testimony delivered at a former trial, was admissible evidence in a *criminal trial*, merely because the absent witness was beyond the jurisdiction of the court or because it was satisfactorily established that after due diligence used he could not be found.

The English courts and text-writers, with practical unanimity, have declared that under the ancient common law no testimony was to be heard in prosecutions for crime, except *viva voce*, and in the presence of the accused, but that, after the passage of the statutes of Philip and Mary, this strictness was no longer pursued in practice and, notwithstanding the rule holding hearsay evidence to be incompetent, (the proper predicate being laid,) it was almost universally adjudged, that depositions taken before a magistrate and testimony delivered at a former trial, are valid proof whenever the absent witness is dead, or insane, or so sick that it is unlikely he will ever be able to attend the assizes, or is kept away by the procurement of the prisoner.

This view, as we reiterate, is not only supported by this court and the Court of King's Bench, but is recognized by every English and American writer of authority upon the law of evidence.

We propose next to render it apparent that the same doctrine is enunciated and enforced by the great weight of authority in the State courts.

In the case of Summons *v.* State, 5 Ohio St., 325, in which Ex-President Hayes appeared as counsel for the prisoner and contended for the doctrine supported by Mr. Uriel Wright in the McO'Blenis case (24 Mo.), that the testimony of *a de-*

ceased witness is not admissible against the accused in a *criminal case*, the court said:

"It is claimed, that the admission of testimony against the accused in a criminal case, to prove the statements given as evidence on a former trial of the cause, by a witness since deceased, contravenes the provision of the tenth section of the bill of rights, which provides that, '*in any trial, in any court, the party accused shall be allowed* [among other things] *to meet the witnesses face to face.*' This, like numerous other provisions in the bill of rights, is a constitutional guaranty of one of the *great fundamental principles*, well established, and long recognized at common law, both in England and in this country. The scope and operation of it are clearly defined and well understood, in the common-law recognition of it, and the assertion of it in the fundamental law of the State, was designed *neither to enlarge nor to curtail it in its operation, but to give it permanency, and secure it against the power of change or innovation.*

"The object of this provision manifestly is to exclude testimony by depositions, by requiring it to be given orally, in the presence of the accused, on the trial. The admission of testimony by depositions against the accused in a criminal cause, would often afford the prosecutor great advantages over him, as well as furnish, at times, opportunities for abuses beyond the reach of detection by the defendant. Deprived of this right, the accused would often be without the opportunity of cross-examination, without the means of seeing, hearing, or knowing the persons who testify against him, and without the advantage of an oral examination of the witnesses before the jury which is to decide upon his case. But important as this right is, as established at common law and secured by the Constitution, it has application to the matter of the personal presence of the witness on the trial, and not to the subject-matter of the competency of the testimony to be given. The require-

ment that the accused shall be confronted, on his trial, by the witnesses against him, has sole reference to the personal presence of the witnesses, and it in no wise affects the question of the competency of the testimony to which he may depose. When the accused has been allowed to confront, or meet face to face, all the witnesses called to testify against him on the trial, the constitutional requirement has been complied with. This was done on the trial of the case before us, in the district court. Mary Clinch was not a witness on that trial. Being dead, it was an impossibility that she could be a witness on that trial. Logan, however, who was a witness and did testify, did meet the accused face to face on the trial. The provision in the bill of rights was complied with. And the true question is, not whether the constitutional right of the accused was violated, but whether the testimony given by Logan on the trial was competent or not.

"There are several well-established exceptions to the rule that hearsay is not evidence. But if the right secured by the bill of rights applies to the *subject-matter of the evidence* instead of the *witness*, it would exclude, in criminal cases, all narration of statements or declarations made by other persons, heretofore received as competent evidence. The construction insisted on for the plaintiff in error, treats the person whose statements or declarations are narrated, as the witness, rather than the person who testifies on the trial. This construction would exclude all declarations *in articulo mortis*, by confounding the identity of the dying man with that of the witness called upon in court to testify to such declarations. Precisely the same objection would exclude all declarations by co-conspirators—statements made in the presence of the accused in a criminal case, and not denied by him; and the statements by the prosecutrix in prosecutions for rape, made immediately after the commission of the offense. And, by a parity of reasoning, the admissions or confessions of the accused, and, in prosecution for perjury,

the very testimony of the accused on which the perjury may be assigned, would be excluded by the provision in the bill of rights forbidding that any person shall be compelled, in any criminal case, to be a witness against himself. * * *

"Testimony of the statements of deceased witnesses given on a formal trial between the same parties, touching the same subject-matter, has been admitted among the exceptions to the rule excluding hearsay evidence, from a very early period, and has been sanctioned by an unbroken current of decisions, both in England and in this country."

After carefully reviewing the authorities up to the date of the decision, the learned chief justice concludes with the statement that:

"These adjudicated cases, and the reasoning by which they are sustained, appear to me to take the question of the constitutional competency of this testimony wholly out of the field of controversy."

At a time when Dr. Appleton, the well-known commentator on the law of evidence, was chief justice, in the case of State *v.* Frederick, 69 Me., 400, the court took occasion to observe, with reference to the provisions in the constitution of Maine, that in all criminal prosecutions the accused shall have the right to be confronted by the witnesses against him, that:

"The defendant's counsel is right in saying that the constitutional provision referred to is but an authoritative declaration of a principle of the common law." * * *

We know of no decision in Georgia in derogation of the common-law rule. The matters under discussion are now regulated in that State *by statute*. (See Williams *v.* State, 19 Georgia, 402.)

The common-law rule, as we understand it, with its implied limitations, has been correctly announced by the supreme court of Tennessee since overruling the case in 1 Overton.

In FINN v. THE COMMONWEALTH, before the general court of Virginia, held:

BROCKENBROUGH, *J.*:

"A question, however, arises on this bill of exceptions, of a graver and more important character: it is, whether the evidence which *Chandler* gave on the examination, can be admitted *per se*, and independently of the prisoner's confession, *Chandler* having removed from the Commonwealth. In a civil action, if a witness who has been examined in a former trial between the same parties, and on the same issue, is since dead, what he swore to on the former trial, may be given in evidence, for the evidence was given on oath; and the party had an opportunity of cross-examining him (Peake, 60; Phillips, 199). But we cannot find that the rule has ever been allowed in a criminal case; indeed, it is said to be expressly otherwise (Peake, 60, quoting Fenwick's case, 4 St. Trials). Nor can we find that the rule in civil cases extends to the admission of the evidence formerly given by a witness who has removed beyond the jurisdiction of the country; much less can it be admitted in a criminal case. We are all, therefore, of opinion, that there is error in the judgment of the court, as set forth in the first part of the bill of exceptions."

5 Rand., p. 708.

In BROGY v. THE COMMONWEALTH, before the court of appeals of Virginia September 5, 1853, adjudged:

ALLEN, J.:

"The second bill of exceptions presents the question, whether it was competent for the prisoner to prove what two persons had sworn to on a former trial of the cause; the witnesses having left the Commonwealth before the second trial, and being beyond the jurisdiction of the court? This question was presented and directly decided by the general court as far back as 1827, in Finn's case, 5 Rand., 701. * * * This decision has never been controverted in Virginia since. The whole criminal code has since undergone a revision, but the rule, as laid down in Finn's case, has been acquiesced in both by the courts and the legislature. I do not think it necessary therefore to go into the enquiry whether the rule was originally founded on proper principles or not. The rule has been established and recognized, and, I think, should be adhered to; and whether a foundation had been laid for its introduction or not, the evidence was properly excluded."

10 Gratt., 732.

In STATE v. VALENTINE, before the supreme court of North Carolina, decided:

NASH, *J.*:

"The first branch of the objection is as to the proof of the deposition. There is no direct provision in the act or in the statute of Philip and Mary, authorizing any use of the evidence when taken, or pointing out the mode, how it is to be authenticated. Under the statute it has been the constant practice in the English court, to permit the deposition to be read in evidence, after the death of a witness; and such has

been the uniform practice in this State, and, indeed, both acts evidently look to such a use of it. For they require that the depositions taken according to their provisions, 'shall be returned to the office of the court, wherein the matter is to be tried.' To what purpose but to perpetuate them, and why perpetuate, but to provide for the contingency of the death of the witness, or to serve as a check upon him, if called into court as a witness thereafter. The depositions taken under the act, are legal evidence, to be used either in chief by either party, should the witness die, or upon the cross-examination of the witness in court (Westbeer's case, 1 Leach, 12; Smith's case, Russ and Ry. 339)."

7 Iredell, 226.

In STATE v. GRADY, before the same court, determined:

SMITH, *C. J.:*

"The refusal of the court to permit the introduction of the examination of the witness, Williams, on behalf of the prisoner was correct and in strict accordance with the laws as declared by the court in former adjudications.

"In State *v.* Young, 1 Winst., 126, it is held that such examination is not competent evidence against a person on trial for homicide. But the very point is decided in the subsequent case of State *v.* Taylor (Phil., 508), where the examination of the witness before a coroner's jury was offered and rejected. 'The objection to the evidence,' says Battle, J., speaking for the court, 'was put upon two grounds: 1st, that the testimony was irrelevant, and 2d, that it was not shown by the prisoner that Wheeler (the witness) was dead, or what had become of him. His honor rejected the evidence without stating his reasons for it. We are inclined to think that either ground of objection was sufficient *and we are entirely satisfied that the last was.*'

"The same principle had been previously applied to examinations before committing magistrates in State *v.* McLeod (1 Hawks., 344, and State *v.* Valentine, 7 Ired., 225).

83 N. C., 645.

DOMINGES v. STATE, 45 American Decisions, 316, heard before the supreme court of Mississippi, maintained:

SHARKEY, *C. J.:*

"The substitution of depositions for oral testimony belongs to civil trials. In no state of circumstances, under our constitution, can a deposition of a witness be used against an accused in a criminal prosecution, and a similar rule seems to hold as to the depositions of witnesses in his favor, unless by his consent. The system of criminal jurisprudence appears to require the presence of the witnesses, both for and against the accused. Very often, in such prosecutions, much depends upon the appearance, manner and mode of testifying of a witness, and it is this that adds the superior character and importance to oral testimony."

7 Smedes *v.* Marshall, 475.

In OWENS v. THE STATE, before the same court, declared.

ARNOLD, *J.:*

"The proposed evidence to show what the absent witness, Swartz, had sworn on the *habeas corpus* trial was not admissible. It is well established that the testimony of a deceased witness, given under oath in a judicial proceeding between the same parties on the same issue, is competent, both in civil and criminal cases, for either party, when the party against whom the testimony is offered had the opportunity to cross-examine the witness on the former proceeding (1

Greenleaf Ev., 163; 1 Whart. Cr. L., 667; Strickland *v.* Hudson, 55 Miss., 235; Commonwealth *v.* Richards, 18 Pick., 434; Summons *v.* State, 5 Ohio St., 325; Barnett *v.* State, 54 Ill., 325).

"But whatever may be the rule in civil actions as to the admission of evidence to prove what was sworn by a witness on a former trial between the same parties when such witness is alive but has removed from the State, or is beyond the jurisdiction of the court, such evidence is excluded on criminal trials (State *v.* Houser, 26 Mo., 431; Collins *v.* Commonwealth, 12 Bush., 271; People *v.* Newman, 5 Hill (N. Y.), 295; Finn *v.* Commonwealth, 5 Randolph, 710; Brogy *v.* Commonwealth, 12 Gratt., 722; Gehauser *v.* North British Ins. Co., 7 Nev., 175)."

63 Miss. R., 452.

DUKES v. STATE, decided in the same State April 7, 1902, held:

WHITFIELD, *C. J.*:

"It will be noticed that this State admits the testimony in but one case, to wit, the death of the witness. Mr. Bishop says, in 1st New Cr. Proc., 732, note 7, 'such is, by all opinions, the doctrine in civil cases,' but there may be a State or two wherein it is not received in criminal cases. Citing Owens *v.* State, 63 Miss., 450; Finn *v.* Com., 5 Ran, 701. It doubtless would be well for our legislature to enact that all testimony should be received in all the categories mentioned in section 1195 of Dr. Bishop's work; adding the case of a witness made incompetent to testify since he delivered his testimony on the former trial. This court has held strictly to the rule of admitting such evidence, only where the witness has died. This shows the necessity for extreme caution in application of the rule in this State."

31 Southern R., 745.

In WARREN AND ANOTHER v. NICHOLS, the Massachusetts court decided:

SHAW, *C. J.:*

"The rule upon which evidence may be given of what a deceased witness testified on a former trial between the same parties, in a case where the same question was at issue, seems now well established in this Commonwealth by authorities. It was fully considered in the case of Commonwealth *v.* Richards, 18 Pick., 434. The principle on which this rule rests was accurately stated, the cases in support of it were referred to, and with the decision of which we see no cause to be dissatisfied. The general rule is, that one person cannot be heard to testify as to what another person has declared, in relation to a fact within his knowledge, and bearing upon the issue. It is the familiar rule which excludes hearsay. The reasons are obvious, and they are two: First, because the averment of fact does not come to the jury sanctioned by the oath of the party on whose knowledge it is supposed to rest; and secondly, because the party upon whose interest it is brought to bear, has no opportunity to cross-examine him on whose supposed knowledge and veracity the truth of the fact depends.

"Now, the rule, which admits evidence of what another said on a former trial, must effectually exclude both of these reasons."

6 Met., 264.

In COMMONWEALTH v. McKENNA, before the supreme judicial court of Massachusetts, Middlesex, March 1, 1893, held:

FIELD, *C. J.:*

"We are of opinion that the evidence recited in the exception was sufficient to warrant the verdict of the jury. The remaining exception is to the refusal of the court to

permit a witness to testify to what Mrs. Kearns testified to at the trial of this case in the district court, she being ill, and unable to be present at the trial in the superior court. The witness heard her testimony, and we assume that it was material. On this question there is a conflict of authority in other jurisdictions, but here, so far as we know, the practice has been to confine such testimony to cases where the witness has died; and we think the weight of authority elsewhere in criminal cases, is that the rule should not be extended to cases where the witness is ill at the time of the trial (Com. *v.* Richards, 18 Pick., 434; State *v.* Staples, 47 N. H., 113; People *v.* Newman, 5 Hill, 295; Yale *v.* Comstock, 112 Mass., 267; Costigan *v.* Lunt, 127 Mass., 354; C. Le Baron *v.* Crombie, 14 Mass., 234; Chase *v.* Mills Co., 75 Me., 156; U. S. *v.* Angell, 11 Fed. Rep., 34; Collins *v.* Com., 12 Bush, 271. See Reynolds *v.* U. S., 98 U. S., 145; 1 Greenl. Ev., par. 163, 165; Best Ev. (Chamberlayne's ed.). par. 496, note; Wharton Crim. Ev., par. 227 *et seq.*; Bish. Crim. Proc., par. 1196; 1 Tayl. Ev., par. 477 *et seq.*). The decision in Le Baron *v.* Crombie, *ubi supra*, has never been overruled, and the statutes since passed relating to evidence and witnesses seem not to touch the question there considered.

"Exceptions overruled."

33 N. E. Rep., 389.

In WILLIAMS v. STATE, before the supreme court of Wisconsin, October 14, 1884, adjudged:

TAYLOR, *J.*:

"It is also alleged as error that the evidence of Dr. Hubbell, taken at the inquest, was permitted to be read in evidence on the trial. This evidence was read by the consent and stipulations of both the State and the attorney for the accused. No exception was taken to its being read on the

trial, and the rule in criminal proceedings is the same as in civil, that, in order to protect himself against the reception of improper evidence on the trial, exception must be taken (Rollins *v.* State and State *v.* Clifford, *supra;* 1 Bish. Crim. Pro., 117, 119). But it is said that, as the Constitution provides that the accused shall enjoy the right to meet the witnesses face to face, he cannot waive that right, and therefore the stipulation of the counsel that it might be read goes for naught.

"It is well settled that the accused may waive his right to be confronted with the witnesses on the trial (1 Bish. Crim. Proc., 117, 118, 119, 1205; State *v.* Polson, 29 Iowa, 133; Hurley *v.* State, 29 Ark., 17; State *v.* Bowen, 4 McCord, 254; Johnson *v.* State, 27 Texas, 758; Miller *v.* State, 25 Wis., 384)."

21 North Western R., 61.

In STATE v. STAPLES, before the New Hampshire court, determined:

By Court, NESMITH, *J.:*

"Mrs. Warren, wife of the prosecutor, testified on the former trial in behalf of the State, but being sick at the time of the last trial, the respondent offered to prove what she had testified on the former trial. The court rejected the evidence, and defendant excepted. We have not known a practice in this State where the witness is alive and within the jurisdiction of the court, and in criminal proceedings, to allow the former statements of the witness to be used. Such testimony is admitted at any time only upon urgent necessity, and in violation of the familiar rule that the best testimony is to be used, and it would be an anomaly in our practice to introduce the produced former statements of a living witness through a copyist or a bystander. The evidence of a witness in the same cause and at a former trial is

not admissible until it has been first proved that he is dead (King *v.* Jolliffe, 4 Term. Rep., 290; Powell *v.* Waters, 17 Johns., 176; Wilbur *v.* Selden, 6 Cow., 162; Le Baron *v.* Crombie, 14 Mass., 234; Bull. N. P., 242). In criminal proceedings especially this rule will be strictly enforced. In many jurisdictions the proof of the death of the witness will not justify the use of his former testimony (People *v.* Newman, 5 Hill, 295; Farrell *v.* Warren, 3 Wend., 257; Finn *v.* Commonwealth, 5 Rand., 708; State *v.* Atkins, 1 Over., 229; 2 Hawk. P. C., secs 12, 606; 1 Phillips on Evidence, 199). It seems to be questioned by high authorities if such testimony be admissible at all (Jackson *v.* Baily, 2 Johns., 17).

"Judgment on the verdict."

17 N. H., 115; 90 Am. D., 568.

In STATE v. LEE, before the supreme court of Montana, July 31, 1893, held:

De Witt, J. (after stating the facts):

"Section 16, art. 3, of the constitution, is in part as follows: 'In all criminal prosecutions the accused shall have the right to appear and defend in person and by counsel; to demand the nature and cause of the accusation; to meet the witnesses against him face to face.' We find in the criminal practice act the following provisions: 'Sec. 9. In a criminal action the defendant is entitled: * * * Third, to produce witnesses in his behalf, and to be confronted with witnesses against him, in the presence of the court.' In this case this constitutional and statutory right of defendant was wholly denied. As appears in the statement of the case above, material evidence was produced against the defendant, not from the mouth of the witness of the facts (which witness was out of the jurisdiction), but from the mouth of a witness who purported to relate his general recollection of what the witness of the facts had testified on the preliminary

hearing before the committing magistrate. The provisions of the constitution and the statute above cited seem to have been overlooked. Section 16, art. 3, Const.; section 9, Crim. Pr. Act. The State makes no appearance, and files no brief in this case. Among other authorities presented by appellant we note as particularly in point as to their facts the following: Bergen *v.* People, 17 Ill., 426; U. S. *v.* Angell, 11 Fed. Rep., 34. The judgment is reversed, and the case is remanded for new trial."

33 Pac. R., 691.

In STATE v. HOUSER, the Missouri court decided:

NAPTON, *J.:*

"The point made in this case we do not, however, consider determined by the case of McO'Blenis. It may be that, so far as the constitutional provision referred to is concerned, the reason for admitting the deposition is just as conclusive in the one case as the other. If the witness is beyond reach of process, his presence is as utterly unattainable as though he were dead. But it does not follow that every kind of evidence, which this provision of our constitution does not exclude, is therefore legitimate testimony. The constitution did not propose to lay down specific rules of evidence. Its framers only thought it necessary to declare general principles. To determine whether any particular testimony is to be admitted or excluded we must look to the law as well as the constitution. Although it may not conflict with the constitution, it does not follow that it is therefore competent evidence. The admissibility of depositions in civil and criminal cases depends upon different grounds. It has been urged in this case that, with the exception of the constitutional provision which has been referred to, they both occupy the same grounds. Such is not understood to be the law. If it was, then the deposition or testimony taken before the

examining court would be admissible in every case where the witness was prevented by bodily infirmity from attending court, or was at the time of trial more than sixty miles from the place of trial, or was a judge engaged in his official business. This question is to be determined by the common law, and not by our statute, which applies only to civil cases.

"In England no authority is to be found, so far as this examination has discovered, where, in a criminal case, a deposition, taken under the statutes of Philip and Mary, was admitted merely because the witness was beyond seas or out of the reach of process of the court. In Morley's case, 2 Kelyng, 55, the resolution of the judges was that in case of death or absence by procurement of the prisoner or his friends, or in case of sickness from which there was no probability of recovery, the deposition could be used. It was at the same time held, that it was not sufficient to show that endeavors had been used to find the witness and that he could not be found. In Buller's N. P., 242, it is said that 'if the witnesses examined on a coroner's inquest be dead or beyond sea, their depositions may be read, for the coroner is an officer appointed on behalf of the public to make inquiry about the matters within his jurisdiction.' This remark has been questioned, both as it related to depositions before coroners when the accused was not present, and especially so far as it declares that the mere absence of the witness will admit the deposition (2 Starkie, 4, 491; Phillips' Ev., 373). The authorities cited by Buller to support this position are Bromwich's case, 1 Lev., 180, and the case of Thatcher & Waller, T. Jones, 53. In Bromwich's case the witness was dead, and there was therefore no such question before the court, and in Thatcher and Waller the deposition was one taken before the coroner, and, as we have seen, some peculiar importance was attached to the proceedings of this officer, and the court said that if the deposition had been taken before a magistrate it could not have been read. In Rex *v.* Huyon, 8 Carr. & P., 167, a dep-

osition was offered, the witness being beyond seas, and Coltman, J., said that it was inadmissible unless by consent."

26 Mo., 439.

STATE v. COLLINS, before the Iowa court, was to this effect:

MILLER, *J.:*

"The constitution of the State, which is paramount in authority over an act of the General Assembly conflicting with it, provides, that 'in all criminal prosecutions, and in cases involving the life or liberty of an individual, the accused shall have the right to a speedy public trial by an impartial jury; to be informed of the accusation against him; to have a copy of the same when demanded; *to be confronted with the witnesses against him;* to have compulsory process for his witnesses; and to have the assistance of counsel' (New Const., par. 10, art. 1).

"Here is a clear and express declaration of the *right* of the defendant 'in a criminal prosecution,' 'to be confronted with the witnesses against him.' This right to have them brought into court, where he can see them, while they give evidence against him, is secured by this constitutional provision. Their testimony can be given only upon the trial of the cause, and face to face with the accused; and any act of the legislature purporting to authorize depositions of witnesses, taken out of court, to be used against a party on trial in a criminal case, would be in conflict with this section of the constitution, and therefore, void. The minutes of evidence admitted in this case do not rise even to the dignity of a deposition; but, if they did, it would have been error to admit them as original evidence, because incompetent in view of the above clause of the constitution."

32 Ia., 40.

In PEOPLE v. MURRAY, before the Michigan court, it was said:

COOLEY, *J.*:

"The defendant undoubtedly had a constitutional right to be confronted with his witnesses. He waived that right in this case, apparently for his own supposed advantage and to obtain evidence on his own behalf. It would have been a mere impertinence for the court to interfere and preclude this stipulation being acted upon. But it would have been more than an impertinence; it would have been gross error. And it would be palpable usurpation of power for us now to set aside a judgment for neglect of the court, not at the time complained of, but in respect to something where any other course would have been plain error."

52 Mich., 290.

The supreme court of Illinois, in BERGEN v. PEOPLE, declared:

SKINNER, *J.*:

"The court permitted the people to prove what Phebe swore to, on the examination of the defendant before the magistrate, for the same offense, the defendant objecting. The record shows, that there was evidence tending to prove that the defendant had taken the witness out of the State, to deprive the People of her testimony; and it was proved that she had been for some months beyond the limits of this State. Where a witness has testified on a former trial of the same cause, or where the same matter was in issue, between the same parties, and the witness has since died, what such witness swore to on the former occasion may be given in evidence.

"Here the witness was not dead, but beyond the jurisdiction of the court, by the procurement of the defendant; and

we think the rules of evidence do not permit, in such case, the admission of the testimony given on the former occasion (State *v.* Atkins, 1 Tenn. (Overton), 229; The People *v.* Newman, 5 Hill, 295; Finn *v.* Commonwealth, 5 Randolph, 701, 708; Wilbur *v.* Seldon, 6 Cow., 162; Hobson *v.* Doe, 2 Blackf., 308; Chess *v.* Chess, 17 Serg. and Rawle, 409; 4 Yates, 512; Le Baron *v.* Crombie, 14 Mass., 234; 2 Starkie's Ev., 894). Some of the authorities hold that, in a criminal proceeding, this kind of evidence is not admissible, although the witness be dead; but it is not necessary for us here to decide this question. It is true, if a party, in any case, *spirits* away his adversary's witness, he ought not to profit thereby; or, at least, suitable penalties should be provided against such conduct, but it is for the legislature to correct the evil."

17 Ill., 426.

In HOYT v. PEOPLE, before the same court, held:

SCHOFIELD, *J.:*

"It is enacted by section 1 of 'An act to regulate the granting of continuances in criminal cases,' approved June 26, 1885, (Laws 1885, p. 73), 'that, when affidavit is made for continuance in behalf of the People or any defendant in a criminal case on the ground of the absence of a material witness, the State's attorney or the defendant, as the case may be, shall not be required to admit the absolute truth of the matter set up in the affidavit for continuance, but only that such absent witness, if present would testify as alleged in the affidavit; and, if it is so admitted, no continuance shall be granted, but the case shall go to trial, and the party admitting the same shall be permitted to controvert the statements contained in such affidavit by other evidence, or to impeach such absent witness, the same as if he had testified in person: *Provided*, That the court may, in its discre-

tion require the opposite party to admit the truth, absolutely, of any such affidavit, when from the nature of the case he may be of the opinion that the ends of justice require it: *Provided, further,* That this act shall not apply to applications for continuances at the same term of court at which the indictment was found or information filed (Rev. St. 1891, c. 38, par. 428*a*). The indictment here was found at the March term, and the application for continuance was made at the following October term, of court, and the ruling of the court below is thus within the letter of the statute. This is not denied by counsel for plaintiff in error, but they contend that this statute is within the inhibition of so much of section 9, article 2, of our constitution, as guaranties that 'in all criminal prosecutions the accused shall have the right * * * to meet the witness face to face, and to have process to compel the attendance of witnesses.' Surely this statute does not deny to the accused the right to meet the witnesses testifying against him face to face. He is not compelled to admit the facts stated in the affidavit on behalf of the People; and if he shall not do so the State's attorney cannot read them in evidence to the jury, but must produce the witness, so that the accused can meet him face to face. If, however, the accused shall prefer to have the facts read in evidence to the jury, rather than that the trial shall be postponed, he may do so; for he may by a plea of guilty, or by a confession in open court, waive the production of all evidence of his guilt."

30 North Eastern, 316.

The supreme court of California, August 11, 1893, in PEOPLE v. GORDON, determined:

BELCHER, *C.*:

"At the first trial, C. H. Potter, the prosecutor, was examined as a witness for the People, and his testimony was taken down by the shorthand reporter, and thereafter correctly

transcribed by him. At the second trial, proof was introduced by the prosecution, showing that the witness, after due diligence, could not be found within this State. Thereupon the district attorney offered in evidence the testimony of the witness, C. H. Potter, given at the former trial of this cause in the superior court of the State of California, in and for the county of Santa Clara, as extended by the then and present shorthand reporter, and the testimony was admitted by the court, and read to the jury over the objection and exception of defendant. Again, at the conclusion of the defendant's evidence, the district attorney offered and was permitted to read to the jury, over a like objection and exception by defendant, the testimony of the same witness given in rebuttal on the former trial. Section 686 of the Penal Code provides that in criminal action the defendant is entitled 'to be confronted with the witnesses against him in the presence of the court,' except in certain specified instances, of which this is not one. We have no statute authorizing testimony, taken as that objected to was, to be read on a second trial; and in People *v.* Chung Ah Chue, 57 Cal., 567, and the late case of People *v.* Gardener (Cal.), 32 Pac. Rep., 880, such testimony was held to be inadmissible. See, also, People *v.* Qurise, 59 Cal., 343, where the ruling in the former case was approved and followed. Following these cases, it must be held that the testimony was inadmissible."

33 Pac. R., 903.

And in PEOPLE v. GARDENER the same court said:

CAROUTTE, *J.:*

"The court erred in admitting the testimony of the witness, Briar. One Stone was examined as a witness at the preliminary examination of the defendant. At the trial it was proven that said Stone could not be

found in the State, due diligence being used. Thereupon the district attorney attempted to introduce in evidence the deposition of Stone taken in the preliminary examination. The deposition was rejected by reason of the defective certificate thereto, and thereupon the stenographer, Briar, was called, and testified as to the evidence given by the witness Stone at the preliminary examination. One of the objections made to the admission of the evidence was that the evidence of an absent witness, given at the preliminary examination, could only be used at the trial by the introduction of his deposition taken at that time. Both reason and authority sustain this position. To permit the testimony of an absent witness to be given to the jury through the medium of the recollection of a bystander at the hearing (and the reporter occupied no different position) is to deprive defendant of the right to be confronted by the witnesses, and to place before the jury the purest hearsay. While hearsay testimony, under certain peculiar circumstances, is allowed to be placed before the jury, the facts here divulged by the record do not place this case within the exceptions of the general rule. Whatever may be the rule of evidence regarding these matters in civil action, as declared by section 1879, subd. 8, Code Civil Proc., that rule has no application to criminal cases. Sec. 1102 of the Penal Code provides, 'The rules of evidence in civil actions are applicable also to criminal actions, except as otherwise provided in this code;' and as to these matters it is very apparent that the Penal Code otherwise provides. Sec. 686 declares the rights of a defendant in a criminal action; and subdivision 3 thereof provides: 'To produce witnesses on his behalf, and to be confronted with the witnesses against him in the presence of the court, except that, where the charge has been preliminarily examined before a committing magistrate, and the testimony taken down by question and answer in the presence of defendant, who has either by person or by counsel had an opportunity to examine the witness * * * the

deposition of such a witness may be read upon it being satisfactorily shown to the court that he is dead or insane, or cannot, after due diligence, be found within the State.' The law thus states that the defendant is entitled to be confronted with the witnesses against him, in the presence of the court, with but a single exception, and this case is not that exception. By rejecting the official notes the court in effect held that it was not a deposition; and, that fact being established, the exception provided by the statute requiring the presence of the witness in the court at the trial was not present, and the witness' knowledge of the facts of the case could only have been produced before the jury with his own lips. This question was directly adjudicated upon, and the foregoing views supported in People *v.* Chung Ah Chue, 57 Cal., 567, which decision was followed in People *v.* Qurise, 59 Cal., 343. In those cases it was held that the official report of the witness' evidence as given at a previous trial could not be received before the jury, as it failed to come within the exception found in subdivision 3, par. 686, already quoted. If such evidence is not allowed to go before the jury, for the same reasons the recollection of persons present, as to what the witness said at the preliminary examination is doubly objectionable. It is intimated in People *v.* Carty, 77 Cal., 213, 19 Pac. Rep., 490, that this character of evidence is admissible, but the intimation was purely voluntary, and was not demanded by the exigencies of the case. The rule in civil actions evidently possessed the mind of the learned commissioner at the time he threw out the suggestion. No authority has been called to our attention, decided since the adoption of the codes, that justifies the admission of the evidence here introduced. The remaining objection to the admission of the evidence of the witness, Briar, does not seem to be well founded in view of the provision of sec. 2047 of the Code of Civil Procedure."

32 Pac. R., 882.

In BROWN v. COMMONWEALTH, before the Pennsylvania court, adjudged:

READ, *C. J.:*

"On the preliminary hearing before the committing magistrate, the defendant and his counsel being present, a witness was examined whose testimony was taken down by defendant's counsel, and the witness having died before the trial, the notes of his evidence proved by the counsel under oath, were offered in evidence, objected to and admitted. It was objected, that by the constitution of the State, the defendant was entitled to meet the witness face to face.

"The doctrine on this subject is thus laid down in the third volume of Russel on Crimes by Graves, 4th ed., 1865, 249. 'If there has been a previous criminal prosecution between the same parties, and the point at issue was the same, the testimony of a deceased witness given upon oath at the former trial, is admissible upon the subsequent trial, and may be proved by any one who heard him give evidence.' And the same is repeated at page 424 in the note. We find the same rule in 1st Phillips & Arnold's Ev., pages 306 and 307, and 1st Pitt, Taylor on Evidence, 4th ed., 1861, pages 445, 447. Dr. Wharton in his valuable treatise on criminal law in the United States, vol. 1, page 667, says: 'The testimony of a deceased witness given at a former trial or examination, may be proved at a subsequent trial by persons who heard him testify. Even the notes of counsel of the testimony of such witness on a former trial between the same parties touching the same subject-matter, are evidence when proved to be correct in substance, although the counsel does not recollect the testimony independently of his notes. The better opinion seems to be, that it is sufficient to prove the substance of what these witnesses said, provided the material particulars are stated, though it has been sometimes held, that unless the precise words could be given, the testimony would be rejected.'

"In the Commonwealth *v.* Richards, 18 Pick., 434, it was held that the 12th article of the declaration of rights, which provides that in criminal cases the accused shall have the right 'to meet the witnesses against him face to face,' is not violated by the admission of testimony in a criminal trial before a jury to prove what a deceased witness testified at the preliminary examination of the accused before a justice of the peace.'

"This case was affirmed seven years afterwards in Warren *v.* Nichols, in 6 Mete., 261, and the further ruling in that case 'that the whole of the testimony of the deceased witness upon the point in question, *and the precise words used by him* must be proved,' was substantially affirmed. Hubbard, justice, dissented from this ruling and assigned very cogent reasons against it. 'As the decision now stands,' says this able judge, 'it prescribes a rule for the admission of testimony, which the imperfection of our nature, in the construction of our memories, will not warrant. It in truth excludes the thing it supposes to admit, and at the same time opens the door for knaves to enter, where honest men cannot approach.' 'Other learned judges have maintained, that a rule so rigid was unwise, and I confess, I prefer the reasoning of Gibson, J., in the case of Parnell *v.* Green, 10 S. & R., 16, to that of the learned judge in Commonwealth *v.* Richards, and with him agrees also the learned author of the Treatise on the Law of Evidence' (1st Greenl., page 165).

"Upon this subject the ablest discussion of this whole question is to be found in the opinion of Judge Drummond, in The United States *v.* Lacombe, 5 McLain's Rep., 286, delivered in the circuit court of the United States for the district of Illinois, at July term, 1851. At the preliminary examination a witness, since deceased, testified in relation to the offense, which was robbing the mail. The accused was present, and his counsel cross-examined the witness. Witnesses were permitted on a trial before a jury, under an indictment found for the same offense, to prove what the

deceased witness testified to at the preliminary examination. It is sufficient in such a case to prove substantially, all that the deceased witness testified upon the particular subject of inquiry. A decision upon the same point is to be found in United States *v.* White, 5 Cranch's Circuit Court Rep., 460.

"The sixth article of the amendment of the Constitution of the United States provides that in all criminal prosecutions the accused shall enjoy the right 'to be confronted with the witnesses against him.'

"The Constitution of Pennsylvania of 1776, provided: 'That in all prosecutions for criminal offenses a man hath a right to be confronted with the witnesses.' The declaration of rights in the Constitution of 1790, changed the phraseology from confronting to 'meet the witnesses face to face.'

"The doctrine enunciated by Judge Drummond in 1851 was followed by the supreme court of Missouri after a very exhaustive argument on the constitutional question, in The State *v.* McO'Blenis, in 24 Missouri (3 Johns) 402, and State *v.* Baker, *id.*, 437 in 1857, and in The State *v.* Hauser, 26 Missouri (5 Jones) 431, in 1858, and by the supreme court of Ohio in Summons *v.* The State, in 5 Ohio (N. S.), 325 in 1856.

"In this State the most liberal rule has been adopted in relation to the evidence of what was testified to by a deceased witness on a former trial or examination, as will be seen by referring to Cornell *v.* Green, 10 S. & R., 14; Chess *v.* Chess, 17 *id.*, 409; Moore *v.* Pierson, 6 W. & S., 50, and Ryan *v.* Robertson, 3 Casey, 30, in which Chief Justice Lewis said: 'The notes of counsel, showing what a deceased witness testified to on a former trial between the same parties touching the same subject-matter, are evidence when proved to be correct in substance, although the counsel did not recollect the testimony independent of his notes, and although he did not recollect the cross-examination.' To which may be added the decision in Phila. & Reading R. R.

v. Spearen, 11 Wright 306, the opinion being delivered by my brother Agnew.

"There was, therefore, no error in the court admitting the notes of Mr. McCool of the testimony of Ewing, a deceased witness, in the examination before the committing magistrate, or the notes of any other counsel, or those of the committing magistrate himself."

73 Penn. St., 325.

In KEAN v. COMMONWEALTH, the Kentucky court held:

P8ll*8, *J.:*

"The evidence in the case was taken down on the last trial, and adds nearly one thousand pages of the record and it might well happen that the substance of all that was said by the witnesses was not contained in the bill of evidence. It is a constant occurrence for counsel on opposite side to disagree as to what a witness has sworn to, both recollecting with equal clearness, and the court determining the issue between them more with the view of having the legal questions arising presented properly to this court than to get the substance of all witness said. Even those who are present and favorably inclined to one party are very apt to make the language used by the witness conform to their own wishes, and hence the absolute necessity of giving to the accused, when his life or liberty is involved in the issue, the right of cross-examination. This right of the accused to confront the witness testifying against him is declared in both the Federal and State constitutions, and doubtless in the constitution of every State in the Union, a right indispensable to the citizen when his life or liberty is involved, and the admission of this silent witness is, in our opinion, in plain violation of the twelfth section of the bill of rights (5 Ohio, 354; 10 Hum., 486; (Walston *v.* Com., 16 B. Monr., 15)."

10 Bush., 190; 19 Am. R., 66.

And in COLLINS v. COMMONWEALTH the same court declared:

LINDSAY, *C. J.:*

"The appellant cannot complain that he was not permitted to prove the statements made by the witness Duncan on a former trial. The witness was absent from the State, and his place of residence was unknown; but these facts did not entitle him to make the proposed proof. Whatever may be the rule as to the testimony given by an absent witness on a former trial in a civil action, it cannot be proved on a criminal trial. The courts allow proof of such testimony when the witness is dead, but we are not advised that the rule has ever been extended so far as to permit either the Commonwealth or the accused to prove the statements of a witness upon the sole ground that he was absent from the State beyond the territorial jurisdiction of the court."

12 Bush., 190.
19 Am. R., 66.

We are quite familiar with the opinions of this court in Hurtado *v.* California, 110 U. S., and in Maxwell *v.* Dow, 176 U. S., 582.

The case of Hurtado has been so repeatedly affirmed that the principles there announced may now be regarded as settled in the jurisprudence of this court. But whether the doctrine announced be sound, or not, is immaterial to this discussion, since it is declared and recognized in the opinion of the court that it requires constitutional legislation on the part of a State to disturb that form of procedure in jury trials which, up to the decision of the ultimate tribunal, was generally regarded to be among the fundamental rights of the citizen.

The same comment may be appropriately made with reference to the opinion of Mr. Justice Peckham in Maxwell *v.* Dow.

In both of these cases that which was denounced as an abridgement of the fundamental rights of the citizens was grounded upon constitutional provisions, and we understand the opinions to mean that in the absence of any prohibition in the Federal Constitution directed against the States, there is reserved to them the power to alter, modify, or extend by constitutional enactment the rules of the common law.

There the action of the State conventions, put at issue in these causes, was adjudged not to be violative of the declaration contained in the fourteenth amendment that no State shall deprive any person of life, liberty, or property without due process of law, and due process was held to be that process which is authorized by the organic law of these States. But here, on the contrary, by the ordinance of 1787, the citizens of the territory newly organized and afterwards erected into the State of Alabama, were recognized to be entitled to the benefit of all proceedings according to the course of the common law and by a series of decisions running through the entire judicial history of the State, the doctrine has been firmly established therein as the rule in civil and criminal cases, that the common law of England, by virtue of this ordinance and subsequent legislation, prevails in Alabama, in so far as consistent with our institutions.

This ordinance and these constitutions ordain, that in all criminal prosecutions, the accused shall be entitled to be confronted with the witnesses against him. The only possible exceptions wherever the common law is unrepealed and carried into the judicial system, have been the exceptions recognized and adjudged by the English courts, under the statutes of Philip and Mary, which were in force at the time when our ancestors first settled in America.

In Alabama, the organic law declares, that, subject to these limitations, and these alone, in every criminal prosecution the right of confrontation shall be maintained. This being the law, is it possible that by a mere *brutum fulmen*, in utter disregard of the constitutional mandate, courts can

be permitted to deprive the citizen of his fundamental and constitutional rights, and still the result be considered as due process of law?

Mr. Justice Peckham, speaking for the court in Maxwell *v.* Dow, said: "As was stated by Mr. Justice Brewer in delivering the opinion of the court in Brown *v.* New Jersey, 175 U. S., 172, the State has full control over the procedure in its courts, both in civil and criminal cases, subject only to the qualification that such procedure *must not work a denial of fundamental* rights, or conflict with the specific and applicable provisions of our Constitution."

We submit, that under the constitution of the State of Alabama and the rule of the common law, which by constitutional mandate is written into the judicial system of that State, the procedure of the courts in this case did work a denial of the fundamental rights guaranteed to the citizen in its organic law and protected from abuse and infraction by the fourteenth amendment to the Constitution.

Again, we ask: Where the Constitution grants a fundamental right and a court arbitrarily withholds it, has there been "due process of law"?

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APPENDIX.

VIEWS OF MR. URIEL WRIGHT UPON THE CONSTITUTIONAL PROVISION AS TO THE CONFRONTATION OF WITNESSES.

Conspicuous among the most celebrated of representative lawyers at the American bar in the 50's, was Uriel Wright, Esq. the recognized leader of the Missouri bar; and so impressed were we with the persuasiveness and—conceding his premises—unanswerable logic of his argument in the case of McO'Blenis, which has been referred to by Mr. Justice Brown of this court as "a most learned discussion of the subject," (Mattox *v.* United States, 156 U. S.) that we have been impelled to present it to the court for its consideration.

The necessities of the McO'Blenis case carried Mr. Wright in his zeal beyond the true principle controlling—that in the grant of the right of the confrontation of the accused by the witnesses against him, there was implied those exceptions—as well known as the rule itself—which apply to dying declarations and to the death of a witness once confronted with the accused. The deposition of Nievergelder, a witness examined before the committing magistrate, who died before the jury trial, was admitted by the ruling of the majority of the court, Mr. Justice Ryland, in a well-considered opinion, dissenting from the views entertained by the majority.

Granting the implied limitation which should have been conceded both by Mr. Wright and Justice Ryland, the argument of the former, we submit, carries with it the force of demonstration.

The court that sat in judgment on the McO'Blenis case

has always been recognized as Missouri's ablest supreme court, and Mr. Justice Leonard, who was its organ, has been accorded by the profession pre-eminence among the judges of Missouri.

Robert McO'Blenis was indicted at the April term of the St. Louis criminal court, in the year 1855, for the murder of Benjamin F. Brand. The indictment contained but one count, and that was for murder in the first degree. The cause was taken by change of venue to the St. Louis circuit court.

Upon the trial, the State *offered to read in evidence the deposition of Louis Nievergelder*, a witness sworn and examined on behalf of the State on the examination of the defendant before Mann Butler, the committing magistrate, on the charge of feloniously shooting and killing Benjamin F. Brand, being the same charge for which the defendant was afterwards indicted and was then being tried. The said Nievergelder had departed this life since his examination before the committing magistrate. It was admitted by the defendant that the witness testified before the magistrate on oath, in the presence of the defendant, and was cross-examined by the defendant; that his testimony was reduced to writing by the magistrate, and that the witness signed the same; that the paper offered was the testimony of the witness, so reduced to writing and signed by him and returned by the magistrate, taking the same and committing the defendant to jail without bail, duly certified and delivered to the jailor, accompanying the warrant of commitment; and that the witness was dead since his examination as aforesaid; and all preliminary proof was waived by the defendant, the only objection made by the defendant being as to the competency of said testimony, the witness being since deceased. But the defendant objected to the reading of the paper, because it was not legal evidence against him under the constitution of this State. The court overruled the ob-

jection, and permitted the paper to be read in evidence. Exceptions were duly taken.

The jury rendered a verdict in the following form: "We of the jury find the defendant guilty of murder in the second degree, and assess punishment to imprisonment in penitentiary for ten years."

A motion for a new trial having been overruled, the defendant appealed.

Uriel Wright, Esq., argued the case for a reversal.

I. The introduction of Nievergelder's deposition was a violation of the bill of rights of the people of Missouri in both the letter and the spirit of that instrument. In our declaration of rights it is said, "In all criminal prosecutions the accused has the right to meet the witness against him face to face." (Art. 13, sec. 9.) Now whatever these words may mean, it is at least certain that what they secure to the accused is beyond the control of any department of the government. The General Assembly cannot abrogate it by statute, nor can the courts judicially legislate it away. The thing secured is above all the "discretion" of courts, sound or unsound—above all "policy" of legislatures, wise or foolish. Whatever may be the right given by the words, it is a fixed right. It exists intact, or not at all. It cannot be halved, quartered, or in less degree subdivided. It cannot be made to yield to expediency or necessity. No court has power to say of it "We give the right in part; what is withheld, is from necessity." Within the circle of expediency, policy and discretion, courts may hold such language; but it is not constitutional language touching a constitutional right. What is the right secured by the words? I hold it to be nothing more, nothing less, nothing other, than the permanent establishment, by the fundamental law, of the *status* of every witness against the accused in a criminal prosecution.

It is a transparent mistake to confound this right with any

rule of evidence. No rule of evidence is established by it, nor is any such object aimed at. The relative position of the "witness" and the accused is established, but what the witness may lawfully swear from that position is *not* established. That is left to the courts, and is regulated by them under the system known as the rules of evidence. But whatever it may be lawful for the "witness" to swear against the accused under those rules, must be heard from his position fixed by the constitution. If the witness be not in that position assigned him by the constitution, he cannot be heard at all. Nor does this provision of the bill of rights decide whether the "witness" shall be sworn; nor how he shall be examined or cross-examined; nor whether there shall be any cross-examination at all. Such questions must find their answers outside of the constitution, or at least outside of this provision of it.

The "accused" shall *meet* the "witness face to face"—he shall thus meet him in "all criminal prosecutions;"—that is the right. A meeting might involve only presence, contiguity; but that is not enough. It shall be a meeting in the mode and fullness in which man must meet his God in the day of final judgment, and that is "face to face." The whole purpose of the provision is to thwart falsehood. If perjury were impossible, the provision would be without meaning. Two objects were secured by the words: first, the witness must look upon the accused, the intended victim of a false oath, that he may see the value of what he is about to destroy, and the accused shall look upon the witness as he swears; second, the triers shall look upon the false witness and gather the perjury from his aspect. The means are simple, but human experience has established their efficacy as tests of truth. They invoke only moral power, but that after all is the highest power. It is hard to say which of the two instrumentalities is the greater. "And the Lord turned and *looked* upon Peter;" "and Peter went out and wept bitterly." (Luke, chap. 22.) When affidavits were read to

Mary of Scotland, in prison, imputing to her great crimes, the unhappy queen said, "Who are the witnesses? [for their names were not given;] bring them before me, and they will forswear their falsehoods when they meet me face to face." The other instrumentality (the right of the triers to look upon the witness) is auxiliary to this. It brings knowledge of whatever is accomplished for innocence by the prior means home to the right quarter, and in the right way; but its function does not stop there. It acts independently of the rules of evidence, by its own inherent power; and sometimes renders a right derived from those rules unnecessary. Thus the inspection by the jury of a witness may dispense with a cross-examination; but when this fails, it becomes auxiliary also to the rules of evidence, and gives to the right of cross-examination, derived from those rules (*not* from the constitution), a power which it could not have without it. It is thus sufficiently manifest that the *status* of the "witness," in a criminal prosecution, while it creates no rule of evidence, secures to every man accused of crime the largest amount of impunity from the operation of those rules which justice can allow.

When and where shall this meeting transpire? Not in the secret chamber of the grand jury—not when complaint is made before a justice preliminary to a warrant; but whenever and wherever a "criminal prosecution" has ceased to be a proceeding *ex parte*, and has taken the matured form of a *legal accusation of crime*, and the witness is brought to sustain it. In "all criminal prosecutions" the right shall obtain, says the bill of rights. These words embrace, I think, every form of "criminal prosecution" known to our laws. In prosecutions by presentment or indictment, the additional right of a speedy trial by a jury of the vicinage is given; but I am not prepared to say that the right to meet the witness "face to face" is confined to that tribunal. Doubtless this security was *mainly* intended for the hour and place of actual peril, when liberty and life should be in greatest jeop-

ardy, when the accused should stand before a tribunal having power over either or both: but the words are broad enough to cover the initiate prosecution, whenever and wherever it takes the matured form of a legal accusation of crime. And the same words, as well as the reasons on which they rest, carry the right through every stage of the "criminal prosecution," so long as the "witness" can by the mode of procedure be brought "against" the accused. While the "witness" may swear, he shall swear "face to face" with the accused. The security lasts as long as the peril, and the protection never ends until the peril is merged in judgment.

I deem it very fit to put a like question touching other and kindred rights secured by the same pregnant section of the bill of rights. How often shall the accused have counsel? and where? If he once have counsel, is the constitution satisfied? If he have counsel before the examining court, may counsel be denied him before the jury? If once before a jury he have counsel, may he be deprived of counsel on the second trial! How often may the accused have the right of trial by jury? If *once* a jury be empanelled in his case, is the constitutional guaranty at an end? May he be denied that tribunal afterwards? If the jury bring no verdict and are discharged, or if the verdict be set aside, may that constitutional "*bulwark*" be from that time abandoned as having done its office, and the State proceed to judgment by a simpler and more summary process? How often shall the accused have the right to compulsory process to force the attendance of his witness? May the process be denied him once if it has been invoked? These questions answer themselves. No power in the government can rob him of counsel in a "criminal prosecution" at any one of a hundred trials of the same cause. The jury must come a hundred times, if there be as many trials; and in all of them the compulsory process must go for his witnesses; and at every trial the witnesses against him must

meet him "face to face," that he may look for the hundredth time upon the witness while he swears, and every jury may see the manner of the swearing.

It is said the accused once met the witness Nievergelder face to face—met him in a "criminal prosecution"—met him before Justice Butler, and at that meeting *looked* upon the witness and had there the right of cross-examination. That is true. It might also be truly said that Justice Butler had the opportunity of looking upon the witness, and of helping his judgment of the credibility of the witness by an inspection of his manner. Now all that was, if not in obedience to the constitution, at least in harmony with it. The procedure was legal and appropriate, but if it was a "criminal prosecution" in the sense of the bill of rights, it did not end there. It was but preliminary to something that must follow; and, touching what was to come, the bill of rights speaks its solicitude, and not only re-asserts, but multiplies its precautions. Before Justice Butler the accused was not *on trial*. That functionary had no power over life or limb—not even for a moment. He was armed with no authority to acquit or condemn. He took the initiative in accusation, but his action could neither bind the State nor the accused. He could discharge the prisoner, but could not free him from indictment. He might lawfully suggest that an indictment would be proper, but the grand jury could ignore the bill. His action was not even *necessary* to the indictment; it might lawfully precede, but could not control it. He was acting within the scope of legal inquiry for crime, and incidental to this right was a temporary power over the liberty of the accused, by warrant and mittimus, for that space of time which lies between the accusation and "the speedy trial by a jury of the vicinage." I have said the constitution gives the accused a right to meet the witnesses against him face to face before the examining magistrate, but I am not clear in this. The question depends upon what is meant by the "criminal prosecution." Is the de-

fendant before the justice "the accused?" or does he only become "the accused" when charged by presentment or indictment? It is not necessary to decide the question upon this record. The constitution either did or did not secure to the accused the right of meeting the witness Nievergelder face to face before Justice Butler. If it did not, then the right obtains *only* before the traverse jury on indictment. If it *did*, who will, who can maintain that the same provision which secures this right to the accused in a place and at a time when he is not in peril, denies it to him in the place and hour of his utmost need?

I have said Justice Butler could look upon the witness while he swore and he helped in judgment upon the credibility of the witness by the manner of his swearing; but how could his convictions of the truth or falsity of the witness reach the *jury?* There is no legal avenue open for the transmission of such convictions. They are reached only by sight, and they can be made only upon the mind that sees. But if there were no such difficulty, the moral and legal responsibility of each juror is his own. It could in nowise be governed by the convictions of the justice. The justice might credit the witness, while the juror would disbelieve him, or *vice versa*. Besides, the fact that the witness had sworn before the justice in a particular way might be, as it often is, the conclusive reason for unbelief of his testimony before the jury. Perjuries are oftener unmasked by the antagonism of the past and present swearings of the witness touching the same transaction than by any other single cause. Confronted with his contradictions he hesitates, stammers, chokes, and plunges by utterance into transparent falsehood, or proclaims the perjury in silence by the pantomime of the soul.

As to the right of cross-examination before the justice, the accused had it, but he did not get *that* from the constitution. He would have had it before the same justice, at the same time, if the suit had been on an account for twenty dollars, as

he would have it in ejectment in other tribunals, or before arbitrators, or in the chamber of commerce, or in a church investigation, or before a committee of the General Assembly, or of the Congress of the United States. The right of cross-examination comes from the rules of evidence, a complex code enacted by expediency, policy, and necessity, and adapted by its flexibility to the exigencies of investigation. This code cannot be found in the constitution of a State. I know of but one instance in our constitution in which a rule of evidence is established, and that is the provision touching prosecutions for libel—"that the truth thereof may be given in evidence." But I have already shown that the largest exercise of the right of cross-examination before the justice could never fill the measure of the defendant's constitutional right before the jury of the vicinage. It could not bring the witness face to face—it could not inform the triers of his moral aspect while swearing—it could never abolish (for it is eternal) the distinction between a paper and a man. This right of cross-examination before a justice is in point of fact rarely exercised, and frequently for the obvious reason that the defendant is not prepared to exercise it. The proceeding is summary and hasty. When the prisoner is brought before the justice, he must, "as soon as may be, proceed to examine the complainant and the witness in support of the prosecution." The defendant, suddenly arrested and borne "forthwith" to the magistrate, meets, for the first time, the witnesses against him. He has had neither time nor opportunity to gather information of their character, their antecedents, or connection with the prosecution against him. The names of the witnesses are endorsed upon the indictment for the very purpose of enabling the accused to make such inquiries. And such knowledge is essential to the intelligent exercise of the right of cross-examination. Besides, he is not on trial, and should not be compelled to make defense; and cross-examination is defense. He may, at his option, without the surrender of any the least of his legal rights, withhold all

defense until he shall be brought on trial before his peers, who alone have power to decide his guilt or innocence. Every lawyer experienced in the defense of men accused of crime, knows the impolicy, as a general rule, of attempting before indictment, the defense of the accused, whether he be innocent or guilty. If, by the words "the accused has the right to meet the witnesses against him face to face" the framers of the constitution intended to give the right of cross-examination, they failed to accomplish their design. The words do not convey the right. They do provide for a meeting of the "witnesses" and the accused, but what shall be done when they meet is not provided. The manner of the meeting, face to face, and the place of meeting, establish a silent moral power in the accused and in the jury over the witness—the power of the eye instructed by the moral sense; but the power to put questions must be derived from some other source. The words established the presence of the witness near the accused, and presence would be a very great facility to the right of cross-examination, (though it is not essential to it) if such right belongs to the accused. The words are apt words, and prove that those who used them felt the importance of phraseology. Why, if their only purpose was to secure the right of cross-examination, did they not use words fitted to convey that idea? Why not say, the accused in all criminal prosecutions shall have the right to cross-examine the witnesses against him? But if the words do give the right of cross-examination, that is not the only right they give, and therefore, the words can never be satisfied by a cross-examination.

ARGUMENT OF THE CASE OF THE STATE v. BAKER.

A review of the decision of the Supreme Court in the case of McO'Blenis was earnestly and forcibly urged by Mr. WRIGHT:

1st. Because that decision was made by a divided bench on a constitutional question; 2d, because of the importance of the question involved, regarded either as a limitation on the judiciary department of the government, or as a personal security of the citizen against the oppressive action of the government; and, 3d, because of the grounds on which the decision is put by the majority of the court.

If the reasoning of this decision be properly understood by me, several propositions, urged in my former argument, are conceded, viz: 1st, it is granted that the provision of the bill of rights, supposed to have been violated, did not establish or seek to establish any rule of evidence; 2d, it is conceded that it was not the object nor the effect of that provision to secure the right of cross-examination; 3d, it is conceded that the provision contemplates and provides for this meeting of the accused and the witnesses against him in "that tribunal where his guilt or innocence is to be finally passed upon"—that is, before the traverse jury; 4th, it is admitted that this right is an important and valuable personal security—valuable as a test of the truth or falsehood of what is sworn by the witness against him; but it is insisted that the right is not secured in all cases—that the provision is not universally applicable—that it establishes only a wise general rule—that there are exceptional cases out of its operation—and that the case at bar was one of the exceptions. The decision is further understood to maintain that the exceptions were as well understood as a rule out of which they came; and that as a consequence of this knowl-

edge in adopting the rule, the framers of our bill of rights must be understood as adopting the exception.

The result of the reasoning employed in the decision is that to express *in words*, the true meaning of the bill of rights, it should be read in some one or all of the following phrascologies: 1st, "In all criminal prosecutions the accused has the right to meet the witness against him face to face, *except* in cases of dying declarations in reference to the same homicide, and in cases where the deposition of a witness has been regularly taken in a judicial proceeding against the accused in respect to the same transaction, and in his presence, when the subsequent death of the witness has rendered his production in court impossible;" or 2d, "In all criminal prosecutions the accused has the right to meet the witnesses against him face to face, *except* in cases where necessity, or the proper administration of justice shall dispense with the presence of the witness in open court;" or 3d, "*Except* in cases wherein necessity shall render a resort to subordinate evidence proper in furtherance of justice;" or 4th, "*Except* in cases where, by the rules of the common law, subordinate testimony is legal.

I. An exception to a provision so fundamental as the constitutional declaration of the rights of a people can only be declared by the instrument itself. This is a clear result deduced from the nature and object of the instrument. It is organic, fundamental law—the only law that is fundamental, because unchangeable by the organism it creates. It is binding on all the parts of that organism—that is to say, on every department of the government. No modification, not the slightest, can be made of it by the judiciary, by the executive, or by the legislature. It is a prohibition on all, and its only sensible foundation is distrust of all. A power in either department of the government to declare exceptions to its provisions not therein made, is incompatible with the idea of prohibition in the instrument. It is no longer a prohi-

bition on that department which can make the exception. The power to create exceptions is a power above the constitution; and the power to declare exceptions not declared in the instrument is a power to create exceptions. The appellatives "wise," "expedient," "just," "necessary," applied to the exceptions created, constitute no limitations of the creating power; nor are they palliatives of the power exercised; much less are they authority. The power to create exceptions is a power to destroy the instrument. The instrument is of infinite obligation or of none. The judiciary may construe the constitution, but they must construe its words as they are written.

II. Tried by this test, there is no exceptional case which dispenses the meeting of the witnesses and the accused before the tribunal of final judgment. Not a word of the constitution hints at such exception. The words used are words of universality. "In *all* criminal prosecutions" this meeting shall transpire. If it had been added "without exception," the idea of universality would not be strengthened. The infinite comprehensiveness of "all" renders "without exception" a tautological platitude. The added words might show a *desire* for *emphasis*, but they could not impart it. If the trial of Baker was a criminal prosecution, it was embraced by the clause, for it embraces "*all* criminal prosecutions." Can the court strike out the word "all" and insert "most" or "some;" or strike out "all" and insert nothing? And is not that precisely what the court in effect has done? Again, why did the framers of the constitution write "all" before "criminal prosecutions?" It must be assumed that they wrote it for a purpose. It must also be assumed that they chose the word to accomplish that purpose; and it cannot be denied that the word selected is the most comprehensive in our language. It cannot be denied that if their purpose was to cut off all exceptions to the rule they were about to establish, the selected word was the

best word in our language to accomplish that purpose. The only other thing necessary to the argument, to give it the force of demonstration, is to assume that they knew the meaning of the word. Even that assumption is not necessary, for it is susceptible of proof from the use they make of it in the same section. "In *all* criminal prosecutions the accused has the right to be heard by himself and counsel." (Constitution, art. 13, § 9.) Did they mean that there should be any exception to that? "In all criminal prosecutions the accused has the right to demand the nature and cause of accusation." Did they mean to cut off exceptions to that rule? "In *all* criminal prosecutions the accused has the right to have compulsory process for witnesses in his favor." Did they mean to allow exceptions to that rule? "In all criminal prosecutions on indictment or presentment the accused has the right to a speedy trial by an impartial jury of the vicinage." Was it their understanding that "practical wisdom" or "great expediency" or "necessity" should constitute an exception to this rule? "In all criminal prosecutions the accused cannot be compelled to give evidence against himself." Did they mean that cases might arise in which compulsion would be lawful? "In all criminal prosecutions the accused shall not be deprived of life, liberty or property, but by the judgment of his peers or the law of the land." Was it their purpose to allow an exception to this rule? The only remaining part of this section is "in all criminal prosecutions the accused has the right to meet the witnesses against him face to face." Is not the question as proper in this case as in the preceding ones? Did they mean to be understood as allowing exceptions to this rule? On what known rule of construction can the same word, applied as a word of qualification seven times in the same section, be construed to have different significations in the minds of those who employed it? Did the framers of the constitution employ the word six times in the same sentence to cut off all exceptions, and in the seventh to create

exceptions; and this too in the case of a word which has no signification in the law different from its vernacular import? Add to this the absence of all intimation, suggestion or hint by them of a difference in the signification of the word as used by them in its application to the various subjects embraced in the section, and the discrimination assumed becomes impossible. Extend the subject of inquiry to the whole instrument and in no part of it is this discrimination a possibility. Again, in construing the bill of rights of a people, special observance of the *words* of the instrument is a manifest duty, because they are intended to express limitations upon the powers of the government, and prohibitions upon every department thereof. Being limitations founded in distrust of every department of the government, and essential to liberty, it must be presumed that they are marked out with care, and since words, written words only, are employed to mark the boundaries of power, it must therefore be presumed that words are chosen for their aptitude to enunciate clearly and unmistakably the limitations intended. Perspicuity—desirable at all times—becomes a necessity when limitations are to be put upon power, for power will not see a limitation unless it be clearly defined. In the enunciation of a bill of rights, it must be assumed that phrascology is studied. I submit with confidence that our language does not furnish a clearer paragraph than that provision of the bill of rights which is the subject of inquiry.

III. If exceptions were recognized by the common law to the rule in question, and such exceptions were known to the framers of our bill of rights at the time of its adoption, words of universality must be understood as intended to exclude the exceptions. In other words—because it was known that, by the practice of courts acting under the common law, cases had arisen in which the presence of the witness before

the jury had been dispensed with, therefore a word of universality must be regarded as a word of exclusion. * * * * * * It is said, "the great security of the accused, after all, is in the fundamental principle of the common law that legal evidence consists in facts testified to, by some person who has personal knowledge of them, thus excluding all suspicions, public rumors, second-hand statements, and, generally, all hearsay testimony, whether oral or written, from the consideration of the jury." The framers of our constitution manifested at least solicitude to throw around the accused every bulwark which might protect innocence against an unjust or wicked governmental prosecution, but they did *not* provide for him this greatest of all securities. The next General Assembly may declare hearsay testimony legal and competent in all cases, and break no commandment of the constitution. The rules of evidence are not to be found in written constitutions. The legislative and judiciary departments of the government are trusted in this behalf. * * * But while the constitution does not provide what the witness shall say, it does provide the greatest security the wisdom of man has devised that what he does say shall be true. It does not provide that the witness shall speak only what he knows, but it does furnish a security that he shall not hurtfully to the accused, say more than he knows. This greatest of all constitutional securities is *presence*—the presence of the witness face to face with the accused before that tribunal which holds the awful power of judgment. * * * This security has been denied the defendant. * * * English and American judges have confounded the right of presence with other and distinct rights. They have confounded it with the other right of cross-examination. Cross-examination is not presence; nor is it a constitutional right. Others have confounded presence at the trial before the jury with presence elsewhere, and have treated presence elsewhere as a satisfaction of the constitution. Others base their decisions on the *danger* of enforcing this constitutional right in every

case. Others rely upon the policy of subordinate rules in furtherance of justice. The constitutional provision is superior to policy. There can be no policy in denying a constitutional right. So, too, it has been thought that if the State cannot be permitted to read a deposition against the accused, the accused cannot be permitted to use a deposition in his defence. Was the bill of rights made for the protection of the State? There is not the shadow of a pretence for reciprocity of rights in the case. The American decisions cited, contain concessions which logically give up the question. * * *

Why, I ask, are the facts contained in the deposition of a dead or absent witness not entitled to "full faith" at the hands of the jury who are to consider them? It is not because they are not facts sworn to by a witness who has personal knowledge. That is not the reason. If faith in the facts communicated be shaken, it is because of the absence of the witness—because the only test of their truth or falsehood provided by the constitution is taken from the jury. The *presence* of the witness is wanting, and that is all that is wanting; what is wanting is the very thing the constitution says shall *not* be wanting. * * * But how are the jury to determine the extent of their "faith" in the "facts thus communicated?" How are they to determine what would have been the measure of their faith in the witness if they had seen and heard him swear, so as to deduct the loss against the deposition? It is too manifest for further elaboration that on principle the thing cannot be done; and in practice, the deposition is taken for truth, unless it be contradicted by itself or by independent evidence. * * *

When our constitution took up this principle of presence, it is admitted the principle became fixed and unbending but it is insisted the exceptions went with the principle! If so, the exceptions became, by their elevation, fixed beyond the reach of legislative or judicial action. It is apparent from this assumption, that it is a matter of the greatest im-

portance to know what exceptions went up with the principle. Are they only the exceptions declared by the English courts before the adoption of our constitution; or do they embrace such as may thereafter be declared by the courts as falling within the reason of the previous exceptions? I have shown that the declared exception of the deposition of a dead witness did not spring from any actual necessity; but if the basis of that exception be an assumed necessity, assumed and declared by the common-law courts of England creating the exception, are those exceptions, which hereafter may be declared by the English courts of common law or our own to rest on the ground of such necessity, to become part of the constitution also? Again, are the constitutional exceptions to extend beyond the ground of assumed necessity? * * *

And cases which shall hereafter arise coming within this mischief of inconvenience—declared judicial inconvenience—will they too, make part of the fundamental law? If the exceptions which went up with the principle into the constitution are such as rest, or are supposed to rest, upon necessity, the courts must declare the necessity, and they will follow the precedents—they will hold that to be *necessity* which has been judicially so declared. If the wider basis of *inconvenience* shall determine the exception, what is inconvenience will be reached by the same process. Already has it been decided by the St. Louis criminal court, that the deposition of a witness (taken before the examining magistrate) who was out of the State at the time of the trial, was competent evidence against the accused. How long will it be before an absence of sixty miles from the place of trial shall be deemed judicial inconvenience sufficient to let in a deposition? One step more—if the bill of rights be not in the way, why shall not depositions be read in criminal as in civil cases, since the rules of evidence in both cases are the same?

I submit that exceptions are excluded by our bill of rights.

1st. Because no exception is declared in the constitution. 2d. Because, with a knowledge of many relaxations of the principle, while it remained a common-law principle, a word of universality is introduced which was unknown to the common law. 3d. Because any exception is necessarily a *total* surrender of the principle itself in the excepted case. 4th. Because the statute of Edward was designed and had the effect to cut off the common-law relaxations of the principle which had grown into precedents prior to its passage; and our bill of rights is stronger against relaxations than was the statute of Edward. 5th. Because the letter, the clear letter of the constitution, is against all exceptions. 6th. Because its letter is supported by a manifest and admitted reason. 7th. Because if the letter and reason of any provision of our bill of rights are not conclusive as a prohibition on the judiciary department of the government, there is no limitation upon judicial power. 8th. Because no suggestions of policy or necessity can modify or relax a constitutional right, though announced judicially by a hundred precedents. 9th. Because the precedents drawn from England are not in point, except such as furnish constructions of the statute of Edward, and they are against the exceptions. 10th. Because the American precedents cited are most of them from States that have not our bill of rights, and have never gone beyond the common law and Magna Charta in securing the rights of a free people—(such are the States of New York, South Carolina and North Carolina); and the remainder are based upon reasoning—repudiated by this court—which demonstrates a total misconception of the design and object of the clause in question, and which involves a confusion of the right secured with other and independent rights secured in the instrument and also because they are based upon the previous precedents made under the common law and the charter; and lastly because, if they were in point, they are not authority here.

IV. As to dying declarations, the courts put them on the ground that the dying man is not the witness; the witness is he who swears to them in open court in the presence of the jury and the accused; and therefore, the constitution is not violated. But ingenuity has never yet been astute enough to make the officer, or scrivener under his direction, who testifies to what is called the *preliminary proof*, the witness in the case. If a constitutional right can be turned by such strategy, it ceases to be a thing of value.

REG. v. AUSTEN AND ANOTHER.

Court of Criminal Appeal, January 19, 1856.

(Before POLLOCK, C. B., ALDERSON, B., COLERIDGE, and WILLES, JJ.)

(Cox's Criminal Law Cases, vol. 7, p. 55.)

"Evidence—Admissibility of deposition—Foreign witness absent abroad. Upon a trial for felony it is no ground for receiving in evidence a deposition taken before the committing magistrate that the witness is a foreigner and absent in a foreign country.

"The following case was reserved by Mr. Bodkin:

"Joseph Austen and John Turner were tried before me, acting as assistant judge at a session for the county of Middlesex on the 14th day of August, 1855, for stealing various articles the property of William Doodt.

"The charge was fully established against them; they were found guilty, and sentenced to penal servitude for six years.

"They were ordered to remain in prison, but the execution of the judgment was respited until the opinion of the criminal court of appeal could be obtained upon the following facts:

"William Doodt not being in attendance to prove that the stolen property was rightly stated to belong to him, it was proposed to read his deposition taken before the committing magistrate as evidence of that fact."

The deposition had been duly taken in the presence of the prisoners, who had the opportunity of cross-examination, and it was satisfactorily proved that William Doodt was not absent with any intention of defeating justice, but that being a foreigner, serving on board a foreign vessel at the time the property was stolen, he had, since the committal of the prisoners, returned to his own country, and at the time of the trial was residing in a foreign kingdom. It was contended, that although this cause of absence was not within the provision of the 11 and 12 Viet. c. 42, s. 17, the above facts made the deposition receivable independently of that statute, and considering it desirable to have the point settled, I received the evidence. I have now to submit to the justices of either Bench and Barons of the Exchequer whether such reception was right.

This case was first argued on the 24th November, before Jervis, C. J., Parke, B., Wightman, J., Cresswell, J., and Willes, J., but the argument was not then concluded, and the case was now re-argued by the same counsel.

METCALFE for the prisoner: The deposition was inadmissible; for there was no evidence that the witness was either dead or so ill as not to be able to travel; and those are the only cases in which the statute 11 and 12 Viet. c. 42, s. 17, provides for the admission of the deposition.

PARKE, B.: The statute mentions those two cases, but it does not necessarily exclude all others. (*b.*)

METCALFE: It is reasonable to suppose that the legislature intended to exclude all others by mentioning only two. But even before the statute of 11 and 12 Viet. c. 42, the deposition would not have been receivable under the circumstances here stated. It is no more than a case of temporary absence, which might be a ground for postponing

the trial, but is no ground for receiving the deposition in evidence.

CROMPTON, J.: It has been held that the deposition of a witness who has gone to sea since his examination could not be received.

METCALFE: In R. *v.* Hagan (8 Car. & P. 167), where, however, it was received by consent. And in R. *v.* Savage (5 Car. & P. 143), where the prosecutrix was so near her confinement as to be unable to travel, her deposition was tendered in evidence; and 1 Hale, 586, and Kelyng, 55, were cited; but Patteson, J., said, "That has been doubted by Mr. Starkie (2 Stark. Evid. 76), and I think the evidence is not admissible." Therefore, neither at common law nor under the statute was the deposition in this case admissible, and the conviction must be reversed.

CAARTEN, for the prosecution: This deposition was admissible upon the principles of the common law, and the statute 11 and 12 Vict. c. 42, s. 17, does not render inadmissible any deposition which was previously admissible. At common law it was laid down in an anonymous case in Godbolt, 326, that the deposition of a witness in an English court, in a cause betwixt the same parties, may be read to the jury, "so as the party make oath that he did his endeavor to find his witness, but that he could not see him, nor hear of him;" and that is cited as law in 1 Stark. Evid., 265, and 1 Taylor on Evidence, s. 349; but Taylor adds, that "in criminal proceedings it would seem that a similar latitude is not allowable," referring to Lord Morley's case (Kel. 55; 6 How. Sta. Tri. 771). However, the rules of evidence are the same in civil and in criminal cases (per Abbott, J., in R. *v.* Watson, 2 Stark. R. 155).

POLLOCK, C. B.: The general rules are the same, but there are particular exceptions. The examination of a prisoner would not be admissible against him in a criminal case, unless, in the mode of taking it, the statutory requisites were complied with; but in a civil case it would.

CAARTEN: The authorities, however, are not confined to civil cases, for even in criminal cases Lord Hale (1 Hale, 305; 2 Hale, 52) lays it down that the deposition may be given in evidence if the witness is prevented from attending by sickness, or is unable to travel. (He also referred to 1 Chitt. Cr. Law, 586.)

WILLIAMS, J.: But Mr. Greaves, in his edition of Russell on Crimes (vol. 2, p. 889), considers that not to be law, and refers to R. *v.* Savage (5 Car. & P. 143), and R. *v.* Osborn (7 Car. & P. 799), as well as to the observations of Mr. Starkie (2 Stark. Evid. 276).

CAARTEN: The older authorities, however, certainly support the proposition; and in Lord Altham *v.* The Earl of Anglesey (Gilb. Eq. Cas. 16; Rep. Temp. Holt, 736), it was held that where the witness resides in a place beyond the jurisdiction of the court his deposition may be read. It seems, therefore, that at common law the deposition was admissible in all these cases: the death of the witness, his permanent or even his temporary illness, his absence beyond the jurisdiction, and his being kept away by the procurement of the prisoner.

POLLOCK, C. B.: It would be contrary to the inveterate practice of many years' growth to receive a deposition merely on the ground of the absence of the witness from any cause which might be merely temporary. If the witness is dead the case is clear.

ALDERSON, B.: Or kept away by the prisoner, or permanently insane.

WILLIAMS, J.: Or, as is said in Russell on Crimes, p. 889: "If there be a permanent inability to attend; as, if the witness be so ill that there is no probability that he will ever be able to attend."

CAARTEN: If a witness is absent in a foreign country he is dead for all purposes of evidence, just as in Godbold, 326, it is said: "If a party cannot find a witness, then he is, as it were, dead unto him."

ALDERSON, B.: Then if a witness is absent in Scotland or Ireland he is to be considered dead in law?

CAARTEN: If an attesting witness was resident in Ireland his handwriting might be proved as if he were dead. (*c.*)

ALDERSON, B.: Is there any case in which inability to attend at the moment of the trial has been held sufficient to let in the deposition? In this case, for all that appears, the witness may be in Calais, and if any efforts have been made to obtain his attendance they might have been successful.

CAARTEN: It is found that he was not absent with any intent to defeat justice.

ALDERSON, B.: That renders it more probable that his attendance might have been secured if proper exertions had been made. The only ground in this case is, that the witness was not subject to the process of subpœna; but that is not enough. In Boyle *v.* Wiseman (24 L. J. 160, Exch.) it was never suggested that that alone would be sufficient to let in secondary evidence.

CAARTEN: In R. *v.* Hagan it certainly was done by consent, but if it had been considered contrary to any strict rule of law it would not have been permitted even with consent; and in Buller's Nisi Prius 242, it is said, "If the witnesses examined on a coroner's inquest be dead or *beyond sea* then depositions may be read."

COLERIDGE, J.: In R. *v.* Hagan the judge ruled that without consent it could not be done, but that with consent it might; and there the prisoner was allowed to give in evidence the deposition of the witness because the Crown consented.

CAARTEN: The question is one of considerable importance, as the ends of justice may often be defeated if under such circumstances a deposition cannot be read.

POLLOCK, C. B.: The more important the question the more insuperable is the objection which arises from the inveterate practice of not receiving depositions under such circumstances.

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CAARTEN: The principle upon which the admissibility of a deposition in any case rests is, that the party produces the best evidence of which the case is capable, and that principle applies to the present instance. Where the witness is out of the jurisdiction of the court, those who require his evidence are not responsible for his absence, and they may supply the evidence in the best way they can.

POLLOCK, C. B.: We are all of opinion that the deposition was inadmissible. The statute points out two cases in which the deposition may be received, and this is not either of them. It is impossible by any forced construction to bring this case within the statute. Independently of the statute, the reception of the deposition was contrary to the inveterate practice of the judges, and that practice confirmed by at least one decided case.

ALDERSON, B., concurred.

COLERIDGE, J: I wish not to be understood as expressing an opinion that the statute limits the admissibility of depositions to the two cases there mentioned. There may be several others in which, according to the old rule of the common law, the deposition may be receivable; but in this case the facts take the case out of the rule altogether. It is not even shown that the attendance of the witness might not easily have been obtained. On the contrary, it is quite consistent with the statement in this case, that the witness may have been no further off than Scotland, just on the other side of the border, within hail, and quite ready to come, if called.

WILLIAMS, J., concurred.

WILLES, J.: At common law, the practice of admitting depositions in the absence of the witnesses seems to have been very extensively exercised; and I think, therefore, that it is necessary to look for some broader ground than had been suggested for the decision of this case. The distinction appears to me to be this—between the cases in which the deposition is taken for the purpose of being used in evi-

dence on the trial, if the witness is absent; and the cases in which it is taken in the course of a preliminary investigation, in order only to ascertain whether the case ought to be submitted to further judicial inquiry. In the former case much greater latitude is allowed than in the latter; but in the latter, the deposition is not receivable in evidence if there is any possibility of procuring the oral testimony. That reconciles all the cases. If the witness is dead or permanently disabled from attending it is admissible even at common law; but, in the present case, there are no facts which bring it within the rule of the common law or the words of the statute.

Alderson, B.: I must say, that, in my opinion, the rule as to the admissibility of depositions in lieu of the oral testimony of the witnesses ought to be carefully and rigidly limited; for the same rule which is laid down as to depositions taken by magistrates when the accused is present, and has the opportunity of cross-examination, will apply also to depositions taken by coroners in the absence of the accused. I doubt much whether the practice ought not to be strictly confined to the cases specified in the statute.

Conviction quashed.

COMMONWEALTH v. LEANDER RICHARDS.

(Pickering's Report, vol. 18, page 434.)

This was an indictment against the defendant for perjury. The offence was alleged to have been committed at the examination of Ebenezer D. Richards, on a complaint made against him for forging the name of Solomon Hopkins.

The defendant pleaded not guilty.

At the trial, in the court of common pleas, before *Strong*, J., it appeared, that Hopkins, who was duly sworn as a witness, at the preliminary examination of the present defendant, before a justice of the peace, had since deceased.

Josiah Adams and Omen S. Keith were called as witnesses, and were permitted to testify as to the testimony of Hopkins at the examination of the defendant before the magistrate. These witnesses did not state the exact words used by Hopkins, but only the substance of them, from recollection, aided by notes taken at the time. Keith testified, that he was confident that he stated the substantives and verbs correctly, but was not certain as to the prepositions and conjunctions.

To the admission of this testimony the defendant objected, for the following reasons:

1. Because it was mere hearsay testimony, and consisted wholly of the declaration of another.

2. Because the testimony of Hopkins was given on the trial of another and different issue from the one then about to be submitted to the jury.

3. Because Adams and Keith could not, and did not, pretend to give in evidence the words used by Hopkins at the examination, but only what they believed to be the substance and purport thereof.

4. Because the testimony of Hopkins was given at the examination of the defendant before a justice of the peace, where the defendant had not, and could not have, an opportunity to cross-examine the witness and to apply the usual tests for eliciting the truth.

These objections were overruled, and the jury having found a verdict of guilty, the defendant excepted to the ruling of the court.

* * * * * * *

PUTNAM, J., delivered the opinion of the court.

The question is, whether the testimony of Messrs. Adams and Keith, of what S. Hopkins swore to before the magistrate upon the examination of the defendant, on the charge of perjury, is competent evidence.

It has been contended for the defendant, that the admission of such evidence is directly against the 12th article of the bill of rights, which provides, that in criminal cases the subject shall have a right "*to meet the witness against him, face to face.*"

Now the defendant did meet the witness, who has deceased, face to face, and might have cross-examined him before the magistrate touching this accusation. Was it competent for the witness, who testified at the trial in the court of common pleas, in the presence of the prisoner, to state what Hopkins who is now deceased, did swear to before the magistrate in the presence of the prisoner? We do not think that the case falls within the constitutional objection. That provision was made to exclude any evidence by deposition, which could be given orally in the presence of the accused, but was not intended to affect the question as to what was or was not competent evidence to be given face to face according to the settled rules of the common law. In trials for murder, for example, the dying declarations of the party as to the fact of having received the death wound from the party accused and the circumstances attending, have been proved by persons who were present and heard and could make oath to such declarations. They are not considered as hearsay evidence, for being made under the apprehension of immediate death, they are justly supposed to be entitled to all the credit which would be given to them, if the declarant made them upon oath. Such declarations, made when the accused was not present, are admissible in evidence; Peake's Evid., 60; *Rex v. Radbourne*, Leach, 512; and were not intended to be excluded or touched by the provision cited from the bill of rights.

We think it to be very clear, that testimony of what a deceased witness did testify on a former trial between the same parties on the same issue, is competent evidence. The rule is thus well stated in 2 Lilly's Abr., 745: "If one who gave evidence on a former trial, be dead, then upon proof of his death, any person who heard him give evidence and observed it, shall be admitted to give *the same evidence* as the deceased witness gave, provided it were between the same parties." I cite the passage for the expression "shall be permitted to give *the same evidence*," which the deceased gave. It is to be the same, not a part, not the effect or substance, but the whole evidence which the deceased witness gave, touching the matter or issue in controversy. 1 Phil. Evid., c. 7, par. 7; *Miles v. O'Hara*, 4 Binney, 111; *Pyke v. Crouch*, 1 Ld. Raym, 730; *Melvin v. Whiting*, 7 Pick., 79; Bull. N. P., 242, *et seq.* In *Finn v. Commonwealth*, 5 Randolph, 708, the court confined this rule of evidence to *civil* causes: "We cannot find the rules have ever been allowed in a *criminal case*." But the rules of evidence in civil and in criminal cases are generally the same. And this rule was recognized in the information against *Buckworth*, T. Raymond 170, for perjury in a case of ejectment. The defendant pleaded not guilty; and to prove the perjury, a witness was produced to prove what one, who had since died, swore upon the first trial. *Keyling*, C. J., would not allow it, because the former trial was betwixt other parties. *Twisden and Morton, contra*, and it was allowed. Now *Keyling* did not contend, that such evidence was not competent, if it were between the same parties. Vid. S. C. 1 Sid. 377, where the particular evidence given by the defendant, is set forth.

It is stated in Gilb. Evid. 889, that exceptions to the rules as to hearsay evidence applicable to ancient customs, do not apply to criminal cases. But in the case of the *United States v. Wood*, 3 Wash. C. C. R. 440, for robbing the mail, such evidence is held to be admissible. Bache was allowed to testify what Hare swore to at a former trial; but he could

not do it. He could swear to what he thought was the substance and effect of it. He was allowed to refresh his recollection by reference to the minutes which he had taken at the time; but he was rejected, because he could not say that he recollected the words of Hare, although he felt the most entire confidence that he had taken them as the witness uttered them. Now this was right; for unless he could give the words, how can it be said to be the same evidence that the deceased witness gave? It is the mere inference; but the jury should draw the inference from the words which the deceased witness used. So in *Rex v. Jolliffe*, 4 T. R. 290, a witness was called to prove what Lord Palmerston had sworn to at a former trial, and was rejected, because he would not undertake to give the very words, but merely their effect, or substance.

But the whole of what the deceased witness said should be proved. Some part which was said and not recollected, might certainly limit and qualify the meaning of the words which are recollected. Hence it is, that persons who are in hearing, who are favorably inclined to one party, may recollect a particular expression, which conformed to their wishes, and wholly omit the words of qualification; while others, who incline toward the other side, will remember the words of qualification and forget or take no notice of the particular expression. We see this exemplified very frequently in trials before juries. How common it is, for the counsel engaged in the cause to disagree as to what the witness has sworn to, recently. One notes down upon paper or treasures up in his mind, what he considers to be favorable, and disregards the rest, while the other recollects the rest with great clearness. And it is not unusual that the court understood the witness to state the matter differently from what the counsel on either side suppose was the evidence.

The difficulty is increased by the length of time which has elapsed between the time when the testimony of the de-

ceased witness was given, and the statement of it by the living witness who heard it.

To be worth anything the whole of what the deceased witness said upon the matter should be stated; and if you get the whole, it is very defective; for you cannot have a true representation of the countenance, manner and expression of the deceased witness, which either confirmed or denied the truth of the testimony. The false witness cannot endure the stings of his wounded conscience, his countenance and his deportment will, in spite of his endeavors to the contrary, by signs as clear and intelligible as they are inexpressible, declare, that the story he has just sworn to, is a lie.

These considerations induce us to require full proof of all that the deceased witness swore to. His words, and not the synonymous words of him who states his testimony, are to be recited. In *Wilbur's v. Selwin*, 6 Cowen, 162, the court held that the words of the deceased witness should be given, and not the substance of them. It is true, that this strictness will generally exclude such testimony; for if the evidence of a deceased witness was minute and protracted and related to a transaction which was of a complicated character, it would seem to be almost incredible that any person could with certainty recite it. If he undertook to do it, it is very likely he would lose as much in credit, as he should assume in positiveness. If the evidence related to a single fact, for example, whether the witness did or did not see A. B. sign such a note, the answer might well be recollected.

To apply this reasoning and the authorities which are cited at the bar, to the case under consideration, we think it to be very clear, that there was not legal and sufficient evidence given by Mr. Adams or by Mr. Keith, of what Hopkins, the deceased witness, swore to. They say they cannot give the exact words, but their substance from recollection aided by notes of his testimony taken at the trial. And this sort of

evidence was rejected by the circuit court of the United States in the case of the *United States v. Wood*, before cited.

The case at bar is not certainly more favorable for the Government than that was.

The result follows, that the verdict must be set aside, and a new trial be had at the bar of the court of common pleas for this county.

ORDINANCE FOR THE GOVERNMENT OF THE TERRITORY OF THE UNITED STATES NORTHWEST OF THE RIVER OHIO.

"Article 2. The inhabitants of said territory shall always be entitled to the benefits of the writ of *habeas corpus*, and of the trial by jury; of a proportionate representation of the people in the legislature; *and of judicial proceedings according to the course of the common law.* All persons shall be bailable, unless for capital offenses where the proof shall be evident or the presumption great."

CONSTITUTIONAL ARTICLES ON CONFRONTATION.

Constitution of the State of Alabama of 1819, article 1, bill of rights (Code of Alabama of 1876, p. 53):

Par. 10: "In all criminal prosecutions, the accused has a right * * * to be confronted by the witnesses against him; * * * nor shall he be deprived of his life, liberty, or property, *but* by due course of law."

Par. 15: "No power of suspending laws shall be exercised, except by the General Assembly, or its authority."

Schedule of the constitution of Alabama of 1819 (Code of Alabama of 1876, p. 67):

Par. 5: "All laws and parts of laws, now in force in the Alabama territory, which are not repugnant to the pro-

visions of this constitution, shall continue and remain in force as the laws of this State, until they expire by their own limitation, or shall be altered, or repealed, by the legislature thereof."

Constitution of the State of Alabama of 1861, article 1, declaration of rights (Code of Alabama of 1876, page 72):

Par. 10: "In all criminal prosecutions, the accused has a right * * * to be confronted by the witnesses against him; * * * nor shall be deprived of his life, liberty, or property, but by due course of law."

Par. 15: "No power of suspending laws shall be exercised, except by the General Assembly, or its authority."

Explanatory provisions to constitution of Alabama of 1861 (Code of Alabama of 1876, p. 84):

Par. 5: "All laws and parts of laws, now in force in the Alabama territory, which are not repugnant to the provisions of this constitution, shall continue and remain in force as the laws of this State, until they expire by their own limitation, or shall be altered or repealed by the legislature thereof."

Constitution of the State of Alabama of 1865, article 1, declaration of rights (Code of Alabama of 1876, p. 89):

Par. 7: "That in all criminal prosecutions, the accused has a right * * * to be confronted by the witnesses against him; * * * nor be deprived of his life, liberty, or property, *but* by due course of law."

Par. 23: "That no power of suspending laws shall be exercised, except by the General Assembly, or its authority."

Constitution of the State of Alabama of 1868, article 1, declaration of rights (Code of Alabama of 1876, p. 102):

Par. 8: "That in all criminal prosecutions, the accused has a right * * * to be confronted by the witnesses against him; * * * nor be deprived of his life, liberty, or property, *but* by due process of law."

Par. 23: "That no power of suspending laws shall be exercised, except by the General Assembly, or by its authority."

Constitution of the State of Alabama of 1875, article 1, declaration of rights (Code of Alabama of 1876, p. 128):

Par. 7: "That in all criminal prosecutions, the accused has a right * * * to be confronted by the witnesses against him; * * * nor be deprived of his life, liberty, or property, but by due process of law."

Par. 8: "That no person shall be accused, or arrested, or detained, except in cases ascertained by law, and according to the forms which the same has prescribed; and no person shall be punished, but by virtue of a law established and promulgated prior to the offense, and legally applied."

Par. 22: "That no power of suspending laws shall be exercised, except by the General Assembly."

Par. 32: "That temporary absence from the State shall not cause a forfeiture of residence once obtained."

Par. 37: "That the sole object and only legitimate end of government is to protect the citizen in the enjoyment of life, liberty and property; and when the government assumes other functions, it is usurpation and oppression."

Schedule to constitution of Alabama of 1875:

Par. 1: "That all laws in force at the ratification of this constitution, and not inconsistent therewith, shall remain in full force, until altered or repealed by the General Assembly; and all rights, actions, prosecutions, claims and contracts of this State, counties, individuals or bodies corporate, not inconsistent with this constitution, shall continue to be as valid as if this constitution had not been ratified."

DEPOSITIONS IN CRIMINAL CASES.

Statutory provisions with reference to the depositions of absent witnesses in criminal cases, on behalf of the accused and of the State, as contained in the Code of Alabama of 1876, pp. 10, 12, 13.

SEC. 4932 (4227): *Depositions, when taken by defendant:*
The defendant may take the deposition of any witness, who, from age, infirmity, or sickness, is unable to attend court; or who resides out of the State, or more than one hundred miles from the place of trial, computing by the route usually traveled; or who is absent from the State; or where the defense, or a material part thereof, depends exclusively on the testimony of the witness.

SEC. 4933 (4228): *Same; affidavit, interrogatories, etc.:*
When the defendant desires to take the deposition of any witness under the provisions of the preceding section, he must make affidavit before the clerk of the court in which the prosecution is pending, or before some justice of the peace, setting forth some one or more of the above causes for taking the deposition, and that the testimony of the witness is material, and must file with the clerk interrogatories to be propounded to the witness; a copy of which interrogatories must be served on the prosecutor, or on the solicitor of the circuit, if either of them is in the county; and such prosecutor or solicitor may within ten days thereafter, file cross-interrogatories, to which the defendant may, within a like period of ten days, file rebutting interrogatories; at the expiration of which time, or, if no cross-interrogatories are filed, at the expiration of the ten days from the filing of the interrogatories-in-chief, the clerk must issue a commission, accompanied with a copy of all the interrogatories filed, and the deposition must be taken at such time and place as the commissioner may appoint.

SEC. 4934 (4229): *Same; when taken by State.*—The deposition of any witness on the part of the State may be taken in like manner, and for similar causes, where the defendant files his written consent thereto.

DUPREE v. STATE.

Supreme Court of Alabama.

33 Ala., 380 (73 Am. Dec., 425).

Chief Justice WALKER:

"The statement of the testimony given in by the widow of the deceased before the coroner on his inquest was not admissible. She was living. The testimony was not that of a deceased witness. No reason is suggested for the admissibility of the evidence, save that the witness had emigrated to Georgia. There is no rule which would justify the admission of such testimony on that ground."

THARP v. THE STATE.

Supreme Court of Alabama.

Alabama Reports, vol. 15, p. 753.

"COLLIER, *C. J.*:

"It has been decided, that it is not allowable, in a criminal proceeding, to prove what a witness, who has only removed out of the jurisdiction of the court, testified on a previous trial of the same case (Finn *v.* The Commonwealth, 5 Rand. Rep. 701; The People *v.* Newman, 5 Hill's Rep. (N. Y.) 295)."

ANDERSON v. STATE.

Supreme Court of Alabama.

Decided April 15, 1890 (7 Sou. Rep., 429), Stone, chief justice.

"STONE, *C. J.*:

"The constitution (article 1, par. 7), guarantees to every one charged with an indictable offense the right to be confronted by the witnesses against him. Our statute (Code 1886, pars. 4465, 4466) provides that the defendant in a criminal case 'may take the deposition of any witness who from age, infirmity, or sickness is unable to attend court.' The statute contains other grounds, also, which authorize the defendant to take and use the depositions of witnesses. Section 4467 of the Code provides that 'the deposition of any witness on the part of the State may be taken in like manner and for similar causes, when defendant files his written consent thereto.' Under the statute, (sections 4465, 4466), the defendant made the necessary affidavit and filed interrogatories to take the deposition of an aged and infirm witness who was unable to attend court. The interrogatories were not crossed, but, after waiting the required time, a commission was issued, and the deposition was taken and turned into court. Entering upon the trial, it was announced for defendant that the deposition would not be offered for the defense. The deposition was then offered by the State, objected to, the objection overruled, the deposition read, and the defendant excepted. We think that, in permitting the deposition to be read in evidence by the State, the city court erred. The right of every one accused of crime to be confronted by the witnesses against him is deeply imbedded in English jurisprudence, and dates back to Magna Charta, if not beyond it. It is classed as one of the bulwarks of liberty, whenever com-

mon-law principles obtain. So sacred has it been esteemed that incapacity to waive it has sometimes been contended for. We will not go to this extreme length, but we hold that nothing short of an express consent, given as the statute prescribes, will let in such testimony (Rosenbaum *v.* State, 33 Ala., 354. Green *v.* State, 66 Ala., 40; Willis *v.* State, 73 Ala., 362; State *v.* Buckley, 54 Ala., 599, and authorities on page 620; Martin *v.* King, 72 Ala., 354).

"Reversed and remanded."

WILLS v. STATE.

73 Ala., 362.

SOMERVILLE, *Justice:*

"It is an imperative provision of our bill of rights, that in all criminal prosecutions the accused has a constitutional right to be confronted by the witnesses against him (Decl. Rights, Const. 1875, art. 1, par. 7). The same right is secured in the Constitution of the United States, and is a recognized principle in, perhaps, all of the various American States, either in the form of constitutional or statutory provisions. Its purpose is plain. It was to require the witnesses against the accused to be produced in open court, so that he may see them face to face, and have the opportunity accorded him to cross-examine them, during the *viva voce* rendition of their criminating testimony (1 Bish. Cr. Proc., par. 1090; Com. *v.* Ricketson, 5 Met., 412; State *v.* Thomas, 64 N. C., 74; Jackson *v.* Com., 19 Grat., 656).

"If, therefore, the witnesses for the State or Government be absent, as a general rule, there is no known mode by which their *ex parte* statements against the accused can be used in evidence for the purpose of his conviction without his consent. 'The exceptions to this rule,' as observed by Mr. Cooley, 'are of cases which are excluded from its reasons by their peculiar circumstances; but they are far from numer-

ous' (Cooley's Const. Lim. (4th ed.), 318). These exceptions are fully discussed in Marler v. The State, 67 Ala., 55, and we need not again enumerate them. It is enough that there is no contention that they embrace the case under consideration (1 Greenl. Ev., 163; 2 Best. Ev., par. 496; 1 Phil. Ev., 389).

"This right of the accused to be confronted in open court by the witnesses against him was a provision of Magna Charta, and was also probably recognized by the ancient common law (1 Bish. Cr. Proc., par. 1090). But it was sometimes doubted by the writers of the common law in England, and was often denied in practice, notably in the case of Sir Water Raleigh, who on his trial, as remarked by Mr. Greenleaf, earnestly demanded 'that he might see his accuser face to face;' protesting against 'the admission of a statement in the form of the substance of an examination taken in his absence; but this was denied him, and the examination was admitted' (3 Greenl. Ev., par. 11; 2 Hawk. P. C. B. 2, ch. 46, par. 9). There can be no doubt of the fact that the framers of our Constitution designed to clearly establish and make irrepealable by legislative or judicial action, this invaluable prerequisite to securing a fair and impartial trial by jury.

"It is manifest, in the light of these principles, that the action of the circuit court, assigned for error, deprived the defendant of the benefit of this constitutional privilege. The written statement or showing, as to what the absent witness for the State, Cora Dickerson, would prove if present, was clearly inadmissible as evidence against the prisoner without his consent. The court had no authority to require the defendant to admit that such witness, if present, would testify as stated in such showing. He could demand the personal presence of the witness in open court, so as to confront her, face to face, and subject her to the scrutiny of a cross-examination. The court, in its ruling on this subject, denied to the defendant a clear constitutional right."

MARLER v. STATE.

67 Ala., 55 (42 Am. Rep., 95).

"Evidence—former testimony of witness become insane.

"On a second trial of felony the testimony given on the former trial by a witness who has since become insane may be read in evidence.

"Conviction of murder. The opinion states the point.

"J. D. Gardner, for appellant.

"H. C. Thompkins, attorney general, for State.

"SOMERVILLE, *J.*:

"The main question presented for our consideration in this case is the admissibility of the witness Roberts' testimony as to what one Redman had previously sworn to on the preliminary investigation before the committing magistrate. Redman had there testified for the State, under the sanction of an oath, subject to a full cross-examination by the defendant. Before the trial in the circuit court, in which he was jointly indicted with the appellant Marler, he became insane and was so pronounced by the verdict of a jury, and the judgment of the court, after which a severance of the case was granted. The court admitted the substance of Redman's testimony, as given before the magistrate, to be proved, to which an exception was taken, and this ruling of the circuit judge is assigned as error.

"The general rules of evidence at common law, subject to a few exceptions, are the same in civil and criminal cases, being more liberal at least in some instances in the latter than the former. Dying declarations, for example, are never admissible in civil cases, but only in charges of homicide. It is manifest indeed that the danger of perjury is not usually so great in matters of crime, which government and society are chiefly interested in punishing, as in those cases involving large pecuniary interests, as the experience of mankind

has taught in all countries where nuncupative wills have been allowed (2 Best. Ev., par. 505).

"It is now established beyond disputation that where a witness has testified under oath, in a judicial proceeding, in which an adverse litigant was a party, and was subject to cross-examination, the testimony so given is admissible, after the decease of the witness, in any subsequent suit between the same parties (1 Greenl. Ev., 163; 2 Best. Ev., par. 496; 1 Phil. Ev. (C. H. & E.), 389, note; 2 Russ. Cr., 683). And in Horton *v.* State, 53 Ala., 488, this principle was declared 'applicable alike to civil and criminal cases,' and this court on the strength of it, sustained the admission of the testimony of a deceased witness, taken down by a committing magistrate on preliminary investigation, when introduced on trial under indictment in the circuit court.

"Such testimony is not liable to the objections ordinarily urged against hearsay or derivative evidence, for it was delivered under the sanction of an oath, and the adverse party has had or might have had the full benefit of a cross-examination (1 Stark. Ev., 42). It is therefore admitted rather upon the principle of necessity than of expediency, so as to prevent the defeat of the ends of justice. 'The admission of such evidence,' says Mr. Wharton, 'is based upon the fact that the party against whom the evidence is offered having had the power to cross-examine at the former trial, and the parties and issues being the same, the second suit is virtually a continuation of the first' (Law of Ev., par. 177).

"We are of opinion that the reason of the rule applies with unanswerable force to all cases where the witness has become insane. As said by Lord Kenyon in Rex *v.* Eriswell, 3 T. R., 707, he is to all intents and purposes to be considered 'in the same state as if he were dead.' And though the question was left undecided in that case, Buller J., concurring with Lord Kenyon, regarded the party, 'as dead, he being in such a state as to render it impossible to examine him' (*Id.*, 391).

"A case however clearly in point is that of Regina *v.* Mar-

shall, Car. & Mar. 147. It was there held that where a witness was actually insane at the time of the trial on indictment his deposition taken before the committing magistrate, could be read the same as if he were dead, although the insanity be but temporary; but not where the witness was suffering from delirium through injuries produced by a blow on the head, if his physician was of opinion he would recover. In Rex *v.* Hogg, 6 C. & P. 176, where a prosecutor in a case of felony was bed-ridden, and there was no probability that she would ever be able to leave her home, her deposition taken before the magistrate was held admissible in the same manner as if she were dead. In the Earl of Stafford case it was adjudged, 'that where witnesses could not be procured to testify *viva voce*, by reason of sickness, etc., then their depositions might be read, for or against the prisoner, but not when they might have been produced in person' (2 Hawk. Pl. Cr., ch. 46, par. 20).

"There seems to be no difference of opinion on this question among the text-writers. Mr. Greenleaf asserts that such evidence is admissible, 'if the witness, though not dead, is out of the jurisdiction, or cannot be found after diligent search, or is insane, or sick and unable to testify, or has been summoned but appears to have been kept away by the adverse party.' Mr. Wharton takes the same view, thinking the rule applies 'when, from the nature of the illness or infirmity, no reasonable hope remains that the witness will be able to appear in court on any future occasion' and he adds: 'Mental incapacity, from whatever cause, is a sufficient inducement. It has been said that if the insanity is temporary, the true course is to continue the case until the witness recovers; but the contrary view has been expressed by an English court, and there are some classes of cases (*e. g.* criminal of high grade) in which such a continuance cannot in law be granted, and others in which the inconveniences would be so great as to amount to an obstruction of justice.'

"The annotator of Phillips on Evidence approves the ap-

plication of the principle in question to cases where the witness has become insane, and others of like character, and arrives at the conclusion that 'those (cases) which have come nearest to the liberal principle on which secondary evidence is generally received, are less anomalous and therefore more scientific than the narrower decisions' (1 Phil. Ev. (C. & H. and E.'s notes) 393).

"Mr. Justice Cheves in Drayton *v.* Wells, 1 Nott and McCord, 409, says: 'The books enumerate four cases only in which the testimony of a witness, who has been examined in a former trial, between the same parties and where the point in issue is the same, may be given in evidence on a second trial, from the mouths of other witnesses, who heard him give evidence: 1. When the witness is dead; 2. Where he is insane; 3. Where he is beyond seas; 4. Where the court was satisfied that the witness had been kept away by the contrivance of the opposite party.'

"In Emig *v.* Diehl, 76 Penn. St. 359, the rule as enunciated by Mr. Greenleaf is indorsed by Sharswood, J., and was applied to one in such a state of senility as to have lost his memory, all of the seven judges concurring on this particular point.

"In Rogers *v.* Raborg, 2 Gill. and J. 54, the supreme court of Maryland admitted the deposition of a paralytic, who though regularly summoned as a witness, was unable to leave his home, or speak so as to be understood. The court declared the evidence admissible on the ground of necessity, the witness being the same as if he were dead.

"The courts of Louisiana have gone so far as to admit such testimony in the case of the temporary sickness of a witness. In Miller *v.* Russell, 7 Mart., 266, where a witness had been examined and notes of his testimony carefully taken, the court said: 'To have examined him again, laboring under disease, would have afforded no better evidence, perhaps not so clear, as that which had been obtained from him on the former trial.' But as Lord Ellenborough sug-

gested in Harrison *v.* Blades, 3 Camp., 458, in such cases it should appear that the sickness is of a character imposing permanent inability, as otherwise there would be very many sudden indispositions and recoveries.

"In Kendrick *v.* State, 10 Humph., 479, the supreme court of Tennessee indorsed the principle admitting the testimony of a deceased witness given on a former trial and declared the maintenance of the rule in criminal cases to be of far greater importance to the lives and liberties of defendants than of mere justice to the State.

"This court, in Long *v.* Davis, 18 Ala., 801, admitted the deposition of a witness taken in a former suit after his removal from the State, in a subsequent suit between the parties. Chilton, J., said: 'We think the more liberal doctrine which allows a permanent absence from the jurisdiction as an excuse, is more consonant with the legal analogies, and is sustained by the preponderance of authority.'

"It has been held by some of the courts, including those of New York and Virginia, that no evidence of this character, save that only of deceased witnesses, is admissible in criminal cases but this view, we believe, is opposed to both the weight of reason and the preponderance of authority. Whether originating in necessity or based on expediency, the purpose of the rule is to prevent the defeat of justice; and tested by this principle there is no real or practical difference between the death of the mind and the death of the body. If a man's reason be utterly dethroned, it were all one, in the eye of the law, so far as regards his capacity to testify, that his body were in the grave.

"It is very clear that such evidence is no more a violation of the constitutional right of every citizen to be confronted by his witnesses, than the admission of dying declarations, and in fact, much less so, as the defendant has once exercised this right, and had an opportunity to elicit the truth by cross-examination (Kendrick *v.* State, 10

Humph.,479; Com. *v.* Richards, 18 Pick., 437; 29 Am. Dec., 608; Green *v.* State, M. S. Dec. T., 1880).

"And while some of the cases follow the old rule first suggested, we believe by a dictum of Lord Kenyon, 4 T. R., 290, that the very language of the witness—*ipsissimis verbis*—must be given, the well-settled opinion now obtains that the precise words need not be repeated on the second trial, but only the substance of the testimony given in the former trial, 1 Greenl. Ev., par. 165; Whart. Am. Cr. L., par. 667; State *v.* Hook, 17 Vt., 659; Kendrick *v.* State, 10 Humph., 479.

"The rulings of the circuit court were without error in admitting the secondary testimony, given by Roberts, as to what Redman had testified before the committing magistrate.

"[Minor questions omitted.]

"The judgment of the circuit court is reversed and the case remanded. And in the meanwhile, let the prisoner be retained in custody until discharged by due course of law.

Judgment reversed."

LOWE v. STATE.

Supreme Court of Alabama.

Decided Jan. 31, 1889 (5 Sou. Rep., 435).

"1. Criminal law. Evidence on former trial. Absent witness.

"In a criminal prosecution, testimony given on a former prosecution for substantially the same offense, by a witness who has since gone to another State for an indefinite period, is admissible, especially as under Code Ala., 1886, sec. 4465, defendant could have the witness' deposition taken.

"2. Same. Gaming. Jurisdiction of recorder. Same offense.

"The recorder of the city of Montgomery having jurisdiction of violation of the ordinances, and also the authority of a justice of the peace in criminal matters, (Acts Ala. 1880–'81, p. 496, par. 2), and it being a violation of both the ordinances and the State law to keep a gaming table, the recorder, on a prosecution under the ordinances for keeping a gaming table, may be considered as a committing magistrate, with power to hold defendant to answer in the State courts, and, having done so, testimony given before him may be regarded in the State court as having been given in a prosecution for substantially, if not identically, the same offense.

"3. Gaming. Evidence. Competency.

"In a prosecution for keeping a gaming table, a statement made to the police officer by one who was arrested with the defendant for participating in the game, that each of the players paid defendant a stated sum for the privilege of playing in defendant's house, such statement being made in an ordinary tone, and near enough to defendant to have been heard by him, is admissible.

"Appeal from circuit court, Montgomery county, Thomas M. Arrington, judge.

"Defendant and ten or twelve others were arrested at his house by police officers, who found them engaged in playing 'craps.' One of the officers testified, over objection, that while the prisoners were being carried to the guard-house, walking in couples, one of them, Frank Lake, stated that each of the players paid defendant 25 cents for the privilege of playing at the game in his house; that this remark was made in an ordinary tone; that defendant could not have been more than five or six feet in the rear at the time; and that he made no reply. Afterwards defendant, testifying in his own behalf, stated that he did not hear the remark

of Lake, and denied that the players paid him anything for the use of his room; that he moved to exclude the testimony. The day after his arrest defendant was charged in the recorder's court of the city of Montgomery with a violation of section 600 of the City Code, (which declares a fine of not more than $100 against any person 'who keeps a gaming saloon, or a house for gaming, or who keeps or has in his possession any table, cloth, box, or other device used for the purpose of gaming'), and was found guilty and fined. The recorder, acting *ex officio* as justice of the peace, also bound him over to answer any indictment that may be preferred against him 'for the offense of keeping a gaming table.' Defendant appeals.

"J. G. Winter, for appellant.

"*T. N. McClellan*, attorney general for the State.

"SOMERVILLE, *J.*:

"The defendant is charged with the offense of keeping or exhibiting a gaming table for gaming, contrary to the provisions of the statute. It was proposed by the State to introduce secondary evidence of what had been sworn to by one Jake Munter, an absent witness, who had testified in a former prosecution pending against the defendant, before the recorder of the city of Montgomery, for violation of a municipal ordinance prohibiting the keeping of a gaming saloon, or house for gaming, or table, or other device used for gaming. The evidence, in our opinion, clearly proves that Munter, the absent witness, had gone, about two weeks previously, to the State of Kansas, or to Washington Territory, being thus out of the State of Alabama at the time of the trial, and beyond the jurisdiction and process of the State courts. We are satisfied, further, that the absence was for an indefinite period, if it was not presumptively permanent.

"The recorder, being clothed by statute with not only jurisdiction of violations of the city ordinances, but also with

'all the powers and authority that belong to justices of the peace in criminal matters, by the laws of this State,' he was invested with the full jurisdiction of a justice as a committing magistrate (Acts 1880–'81, p. 496, par. 2). The act of keeping a gaming table being a violation of both the municipal and State law, the recorder may be regarded, not only as sitting as judge of the municipal court, and investigating the alleged violation of the city ordinance, but also, incidentally, as a committing magistrate, with power to bind the defendant over to answer for the same offense in the State courts. The procedure, therefore, before the recorder's court, and the present prosecution, are for substantially, if not incidentally, the same offense, and, if there be no other valid objection to the testimony of Munter than the fact of its rendition before the recorder, we must hold it admissible (Harris *v.* State, 73 Ala. 495. Whart. Crim. Ev. (8th ed.), par. 227).

"The main objection urged to the admissibility of this witness' testimony is that, this being a criminal prosecution, the defendant has the right to be confronted by the witnesses, and secondary evidence of what an absent witness swore to on a former prosecution is not admissible, unless it is clearly proved that he had permanently removed from the State. Where the witness is deceased, the authorities hold, in uniform accord, that his testimony upon a second trial is admissible.

"In Horton *v.* State, 53 Ala., 488, such testimony, taken before a committing magistrate, was admitted on trial of the same cause in the circuit court, the witness being satisfactorily proved to be dead; following a like ruling in Davis *v.* State, 17 Ala. 354. The basis of the rule is the necessity of the case, to prevent the defeat of justice; the constitutional objection being obviated by the fact that the defendant has already had the opportunity to confront and cross-examine the witness in the prior procedure involving the same issue (Marler's case, 67 Ala. 55; Summons *v.* State, 5 Ohio St. 324).

"In Marler *v.* State, 67 Ala. 55, on a trial for felony, the testimony given on the former trial, by a witness who had since become insane, was allowed to be introduced in evidence; the necessity and reason of the case being regarded the same as if he were dead. The authorities are fully reviewed in that decision, and the true reason upon which they are based, stated to be the necessity of preventing the miscarriage of justice, applies with equal force to a witness who is shown to be absent from the State for an indefinite time, so that he cannot be reached by the process of the court at the time of the trial. We can see no difference between the two cases in principle, nor in the reason alleged, why the rule should not be applied as well to criminal as to civil cases. It is possible, it is true, that the absent witness may return at some day in the future, just as it is possible that an insane man may be restored to his reason, but the courts cannot be expected to delay the administration of justice waiting for the happening of so indefinite a contingency. Mr. Greenleaf says that such testimony may be received 'if the witness, though not dead, is out of the jurisdiction, or cannot be found after diligent search, or is insane or sick, and unable to testify, or has been summoned, but appears to have been kept away by the adverse party' (1 Greenl. Ev. (14th e l.) par. 163). It is observed in a note of this section that, 'if the witness has gone, no one knows whither, and his place of abode cannot be ascertained by diligent inquiry, the case can hardly be distinguished in principle from that of his death, and it would seem that his former testimony ought to be admitted.'

"The following language is used on this subject by Mr. Starkie: 'It is an incontrovertible rule that, when the witness may be produced, his deposition cannot be read, for it is not the best evidence. But the deposition of the witness may be read, not only when it appears that the witness is actually dead, but in all cases where he is dead for all purposes of evidence; as where diligent search has been made for

him, and he cannot be found, where he resides in a place beyond the jurisdiction of the court, or where he has become lunatic or attainted' (1 Starkie, Ev., star paging 409–410).

"In Long *v.* Davis, 18 Ala. 801, such testimony of a non-resident witness was allowed in a civil case, and in many of the American States its admissibility has been confined to cases of this character.

"In England the practice on this point, in criminal cases, does not seem to have been uniform; the general rule, however, being not to admit the deposition, or secondary evidence of any witness while any reasonable hope remained that the witness would be able to attend on some future occasion (1 Starkie, Ev. (Sharswood's ed.), star pag. 411, note *y*). The more recent decisions in this country, however, support the contrary view, and they seem to us to better comport with both reason and analogy, as well as to more efficiently promote the convenient administration of justice. It is the settled rule that, when the subscribing witness to an instrument is out of the State, his handwriting may be proved, whether in a civil or criminal proceeding. The party has already cross-examined the witness after being sworn, or has had the opportunity to do so; and it may be added that, under our statute, defendants can take the deposition of such absent witness, even in criminal cases, if they so elect, which obviates any supposed injustice arising from the administration of such testimony as to them (Crim. Code, (1886), par. 4465).

"The following authorities are directly in point on this question in criminal cases: Sullivan *v.* State, 6 Tex. App. 319; People *v.* Devine, 46 Cal. 45; Shackelford *v.* State, 33 Ark. 539; Hurley *v.* State, 29 Ark. 17; 1 Greenl. Ev. (14th ed.), par. 163, and notes 1 and (*a*) and cases cited. And the following in civil cases: Magill *v.* Kauffman, 4 Serg. & R. 317; Howard *v.* Patrick, 38 Mich. 795; Carpenter *v.* Groff, 5 Serg. & R. 162; Long *v.* Davis, 18 Ala. 801, *supra*.

"The reasoning and dicta in the following cases of absent, deceased, insane and sick witnesses support the same view: Drayton *v.* Wells, 1 Nott & McC. 409; Reynolds *v.* U. S., 98 U. S. 145; Slusser *v.* City of Burlington, 47 Iowa, 300; Summons *v.* State, 5 Ohio St. 325; Marler *v.* State, *supra*; Rex. *v.* Hogg, 6 Car. & P. 176; Emig *v.* Diehl, 76 Pa. St. 359; Miller *v.* Russell, 7 Mart. (N. S.) 266.

"The testimony of Munter, taken from the recorder, in our opinion, was properly admitted in evidence.

"The statement of Lake to the witness Taylor was made in an ordinary tone of voice, near enough to have been heard by the defendant, and it so far implicated the defendant in the offense charged as to have naturally called for a denial, had he heard it. It was, therefore, properly admitted in evidence. The weakness of such testimony is no argument against its admissibility, although the rule requires it to be received with all proper caution (Williams *v.* State, 81 Ala. 1, 1 South. Rep. 179).

"We discover no error in the record, and the judgment is affirmed."

PRUITT v. STATE.

Supreme Court of Alabama.

Decided June 11, 1891 (9 Sou. Rep., 406).

COLEMAN, *Justice:*

"Whatever rule may prevail in other States or countries, it is settled law in this State that where a witness has been examined in a criminal trial, and cross-examined, or the opportunity afforded for cross-examination, by the party charged, and the witness dies, or becomes insane, or has gone beyond the jurisdiction of the State permanently, or for such an indefinite time that his return is merely contingent or conjectural, the testimony of such witness may be proven on

a subsequent trial (1 Greenl. Ev. (14th ed.) par. 163, and note; Long *v.* Davis, 18 Ala. 801; Marler *v.* State, 67 Ala., 55; Lowe *v.* State, 86 Ala., 52, 5 South. Rep. 435; South *v.* State, 86 Ala., 617, 6 South. Rep. 52)."

LUCAS v. STATE.

Supreme Court of Alabama.

Decided May 26, 1892 (11 Sou. Rep., 216).

COLEMAN, *Justice:*

"The defendant was indicted for burglary of a railroad car and in the second count with larceny from a railroad car. He was convicted on the second count. At a preliminary examination of the defendant Dock Tilly was examined as a witness by the State, and his testimony was reduced to writing. On the trial, after the indictment, Dock Tilly was absent, and against the objections of the defendant, his testimony given on the preliminary examination was admitted against him. The rule in such cases is that if a witness dies, or becomes insane, or has gone beyond the jurisdiction of the State permanently, or for such an indefinite time that his return is merely contingent or conjectural, the testimony of such witness may be proven on a subsequent trial (Pruitt *v.* State (Ala.), 9 South. Rep. 406; 1 Greenl. Ev. (14th ed.) par. 163 and notes; Lowe *v.* State, 86 Ala., 52, 5 South Rep. 435; South *v.* State, 86 Ala., 617, 6 South. Rep. 52; Perry *v.* State, 87 Ala., 30, 6 South. Rep. 425)."

LETT v. STATE.

Supreme Court of Alabama.

Decided Jan. 11, 1900 (27 Sou. Rep., 257).

DOWDELL, *Justice:*

"It has now become a settled question by the decisions of this court that where a witness who has been examined in a criminal case before a competent tribunal cannot be subsequently found, after diligent search, within the jurisdiction of the court, or is shown to be dead, insane, or that his place of permanent residence is without the State, it is admissible to prove the substance of the evidence given by him upon the former trial (Burton *v.* State, 115 Ala., 10, 22 South. 585; Mitchell *v.* State, 114 Ala., 3, 4, 22 South. 71. Burton *v.* State, 107 Ala. 73, 18 South. 240; Lowery *v.* State, 98 Ala. 47, 13 South. 498; Pruitt *v.* State, 92 Ala. 42, 43, 9 South. 406; Perry *v.* State, 87 Ala. 30, 6 South. 425; Lowe *v.* State, 86 Ala. 47, 5 South. 435). The proof as to the non-residence of the witness Howard furnished a sufficient predicate for the introduction of secondary evidence as to the testimony given by him upon the former trial before the committing magistrate. It is a matter addressed to the court, in laying the predicate for the introduction of secondary evidence, and for the purpose of showing the identity of the case on trial with that of the charge on the former preliminary hearing, the production of the affidavit and warrant is not essential. That the former trial was for the same offense may be shown by parol evidence, not being a fact in issue, but simply collateral."

SOUTH v. STATE.

Supreme Court of Alabama.

Decided May 3, 1889 (6 Sou. Rep., 52).

McCLELLAN, *Justice:*

"The objection to the admission of the testimony of Daniel Kirkland was general, going to the whole of his evidence, a great part of which was clearly competent. Granting that some of this evidence was illegal, (which, however, we do not decide), the objection was too general and indefinite, and on this ground was properly overruled (3 Brick. Dig., p. 443, par. 567 *et seq.*).

"This evidence sufficiently showed that the witness Kit Kirkland was permanently absent from the State, and furnished a predicate for evidence of his testimony as given on a former trial of the case (Lowe *v.* State, 86 Ala.—5 South. Rep. 435)."

THOMPSON v. STATE.

Supreme Court of Alabama.

(17 Southern Reporter, 514.)

BRICKELL, *C. J.:*

"It is a very general rule, applicable alike to civil and criminal cases, that if a witness has given testimony, in the course of a judicial proceeding between parties litigant, before a competent tribunal, and subsequently dies; or, if not dead, becomes insane; or, after diligent search is not to be found within the jurisdiction of the court, or if that which is equivalent be shown, that he has left the State permanently, or for such an indefinite time that his return is contingent and uncertain—it is admissible to prove the substance of the testimony he gave formerly (1 Wharton Ev.,

pars. 177, 180; 1 Green. Ev., pars. 163, 166; 1st Brickell's Digest, page 878, pars. 1064, 1072; 3 Brickell's Digest, page 441, pars. 523, 533. Lowe *v.* State, 86 Ala., 47; South *v.* State, 86 Ala., 617; Perry *v.* State, 87 Ala., 30; Pruitt *v.* State, 92 Ala., 41; Lucas *v.* State, 96 Ala., 51). The rule is founded upon a principle of necessity rather than upon any ideas of mere convenience. Parties should not lose the benefit of evidence taken on a former trial, when the same issues were involved, and there was full opportunity of examination and cross-examination, because events or contingencies have arisen which render the personal attendance of the witness impossible, or, if possible, his examination impracticable, or because the witness is without the jurisdiction of the court, and his personal presence cannot be compelled. The rule is, however, exceptional, and it is essential to the admissibility of the evidence that some one of the contingencies which are deemed to create the necessity, be satisfactorily shown."

The rule announced is unquestionably sound in the administration of proof in civil proceedings, and, it is perfectly evident, is without application in the conduct of a criminal prosecution.

To support the doctrine announced, the chief justice primarily relied upon the text of Dr. Wharton in his work on Civil Evidence, 177 and 180. A careful study of the text and the authorities cited in its support, warrants no such deduction as made by the learned chief justice; and, placing the text with reference to the admission of proof in *civil* cases, side by side with the doctrine announced by Dr. Wharton in his work on *Criminal* Evidence (sec. 229), it is perfectly evident that the administration of proof in criminal proceedings must be in the presence of the accused, except where, by the act of God or of the accused himself, the right and privilege cannot be exercised or has been forfeited.

31971

SUPREME COURT OF THE UNITED STATES.

OCTOBER TERM, 1902.

No. 341.

SANFORD JACOBI, PLAINTIFF IN ERROR,

versus

THE STATE OF ALABAMA.

IN ERROR TO THE SUPREME COURT OF ALABAMA.

To their Honors, the Chief Justice and Associate Justices of the Supreme Court of the United States:

The petition of Sanford Jacobi, plaintiff in error in the cause depending in this Court, entitled "Sanford Jacobi, Plaintiff in Error, vs. The State of Alabama," Term No. 341 on the docket of this Court, with respect represents:

That by the opinion and decree pronounced in the cause on November 17th, 1902, dismissing his writ of error because of alleged absence of jurisdiction in this Court to review the action of the Supreme Court of Alabama, there is error to his prejudice in the decree pronounced by this Court; that he is aggrieved thereby, and petitions your Honors for a reconsideration of the opinion and decree pronounced herein, and that, upon a rehearing accorded your petitioner, the jurisdiction of this Court be maintained.

It is respectfully submitted that this Court erred in the following particulars:

FIRST.

In holding that the power, authority and jurisdiction of the Supreme Court of Alabama, in reviewing criminal convictions, is limited and restricted to the points reserved in the trial court; whereas, under the statute law of Alabama, construed and interpreted by the uniform jurisprudence of its highest court, it is the mandatory duty of the reviewing tribunal, independent of points reserved in the trial court, to review the entire record in the case of a criminal prosecution and conviction, and to render such judgment on the record as the law demands.

SECOND.

That this Court erroneously held, that as the Supreme Court of Alabama was not invested with authority and jurisdiction to review points not reserved in the trial court, this Court was without power to review the action, or non-action, of the Supreme Court of Alabama.

THIRD.

That this Court erred in limiting the effect of petitioner's objection to the introduction of secondary proof, that he had the constitutional right to be confronted with the witnesses against him, and in holding, in the absence of reference to either the Federal or the State Constitution, that he must have meant the subordinate and not the higher instrument under which the claim of protection was asserted.

FOURTH.

That this Court erred in holding that, under the jurisprudence of Alabama, all objections to the admission of

illegal testimony, other than those reserved, were waived, and that the objection, to be available, must be specific; whereas, the unbroken current of authority in the State of Alabama in criminal cases is to the effect that specific objection to evidence illegal or irrelevant on its face, is not necessary, and that it is the duty of the reviewing court, independent of assignment of error, upon the face of the record for error apparent in the admission of illegal proof on a material matter, to reverse the judgment of conviction.

FIFTH.

That the assignments of error lodged in the Supreme Court of Alabama before argument and before decree, and in which petitioner asserted and claimed protection against the deprivation of his liberty, in consequence of the administration of glaringly illegal proof, without which he could not have been convicted, and which proof was administered in violation of his constitutional rights asserted under the Fourteenth Amendment—called the attention of the reviewing tribunal to the claim asserted; and the affirmance of the judgment of the trial court, without reference to the asserted claim under the Federal Constitution, was a denial of petitioner's right to the protection so claimed, and under the Constitution and the statutes in pursuance thereof, this Court was invested with jurisdiction to review the decree of the Supreme Court of Alabama.

SIXTH.

That the rule established by the Supreme Court of Alabama in Lowe vs. State, and upon which the decision of the Supreme Court was based, is repugnant to the Fourteenth Amendment, which guarantees the citizen against the deprivation of life, liberty or property without due process

of law, and under which constitutional grant is the right of the accused in criminal prosecutions to be confronted with the witnesses against him; and that the Supreme Court of Alabama, in applying the ruling in the case referred to, denied your petitioner the protection claimed by him under the Amendment aforesaid.

Wherefore, your petitioner respectfully prays that this petition, supported by his printed argument in this behalf, be considered; that this Court will review its opinion and decree in the cause, and, after consideration, will accord your petitioner the opportunity of having his claims to protection, under the Fourteenth Amendment, here asserted, heard by the Court; that the error which he claims may, in due course of procedure, be recognized and allowed, and for such other relief as the justice of the case demands.

And your petitioner will ever pray.

SANFORD JACOBI,
By HENRY L. LAZARUS,
His Attorney.

LIONEL ADAMS,
J. N. LUCE,
H. MICHEL,
Of Counsel.

Henry L. Lazarus, appearing herein as counsel for plaintiff in error, certifies, as an officer of this Court, that in his opinion the application for a reconsideration of the case upon the points decided and set forth in the foregoing petition, is well founded in law.

HENRY L. LAZARUS.

NEW ORLEANS, Dec. 15, 1902.

FILE COPY.

SUPREME COURT OF THE UNITED STATES.

OCTOBER TERM, 1902.

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versus

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IN ERROR TO THE SUPREME COURT OF ALABAMA.

APPLICATION FOR REHEARING AND ARGUMENT IN SUPPORT THEREOF.

HENRY L. LAZARUS,
Counsel for Plaintiff in Error.

LIONEL ADAMS,
J. N. LUCE,
H. MICHEL,
Of Counsel.

H. C. THOMASON, PRINT, 528 NATCHEZ STREET, N. O.

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That this Court erred in limiting the effect of petitioner's objection to the introduction of secondary proof, that he had the constitutional right to be confronted with the witnesses against him, and in holding, in the absence of reference to either the Federal or the State Constitution, that he must have meant the subordinate and not the higher instrument under which the claim of protection was asserted.

FOURTH.

That this Court erred in holding that, under the jurisprudence of Alabama, all objections to the admission of

illegal testimony, other than those reserved, were waived, and that the objection, to be available, must be specific; whereas, the unbroken current of authority in the State of Alabama in criminal cases is to the effect that specific objection to evidence illegal or irrelevant on its face, is not necessary, and that it is the duty of the reviewing court, independent of assignment of error, upon the face of the record for error apparent in the admission of illegal proof on a material matter, to reverse the judgment of conviction.

FIFTH.

That the assignments of error lodged in the Supreme Court of Alabama before argument and before decree, and in which petitioner asserted and claimed protection against the deprivation of his liberty, in consequence of the administration of glaringly illegal proof, without which he could not have been convicted, and which proof was administered in violation of his constitutional rights asserted under the Fourteenth Amendment—called the attention of the reviewing tribunal to the claim asserted; and the affirmance of the judgment of the trial court, without reference to the asserted claim under the Federal Constitution, was a denial of petitioner's right to the protection so claimed, and under the Constitution and the statutes in pursuance thereof, this Court was invested with jurisdiction to review the decree of the Supreme Court of Alabama.

SIXTH.

That the rule established by the Supreme Court of Alabama in Lowe vs. State, and upon which the decision of the Supreme Court was based, is repugnant to the Fourteenth Amendment, which guarantees the citizen against the deprivation of life, liberty or property without due process

of law, and under which constitutional grant is the right of the accused in criminal prosecutions to be confronted with the witnesses against him; and that the Supreme Court of Alabama, in applying the ruling in the case referred to, denied your petitioner the protection claimed by him under the Amendment aforesaid.

Wherefore, your petitioner respectfully prays that this petition, supported by his printed argument in this behalf, be considered; that this Court will review its opinion and decree in the cause, and, after consideration, will accord your petitioner the opportunity of having his claims to protection, under the Fourteenth Amendment, here asserted, heard by the Court; that the error which he claims may, in due course of procedure, be recognized and allowed, and for such other relief as the justice of the case demands.

And your petitioner will ever pray.

SANFORD JACOBI,
By HENRY L. LAZARUS,
His Attorney.

LIONEL ADAMS,
J. N. LUCE,
H. MICHEL,
Of Counsel.

Henry L. Lazarus, appearing herein as counsel for plaintiff in error, certifies, as an officer of this Court, that in his opinion the application for a reconsideration of the case upon the points decided and set forth in the foregoing petition, is well founded in law.

HENRY L. LAZARUS.

NEW ORLEANS, Dec. 15, 1902.

ARGUMENT IN SUPPORT OF APPLICATION FOR A REHEARING.

The writ of error in this case was allowed by the Chief Justice of the Supreme Court of Alabama. There was no motion to dismiss for want of jurisdiction. On the argument of the merits, for the first time was the jurisdiction of this Court challenged. A careful review of the statute law and jurisprudence of the State of Alabama become indispensable in order to overcome the argument of the learned Attorney General resting upon the assumption, insisted upon both at the bar of this Court and in his brief, that "the rule stated as to the Supreme Court of Illinois is the law governing the Supreme Court of Alabama—its jurisdiction in such cases is appellate—*solely* to review errors in the ruling of the court below, and such questions *must be specially* reserved by proper exception in the trial court."

The present cause was argued on its merits on the 7th day of November, 1902. It was our purpose to submit a brief in reply to the contentions of the Attorney General, and in support of this Court's jurisdiction. The decision was handed down, unexpectedly, November 17th. In its opinion the views of the Attorney General as to the law controlling in Alabama in criminal trials and appeals were approved by this Court and made the basis of the judgment pronounced.

We respectfully but confidently submit that, tested by the statute law and settled jurisprudence of Alabama, the learned Attorney General *gravely erred* in his announcement of the controlling rule governing the Supreme Court of Alabama in the review of criminal cases, and that the opinion of this

Court is in conflict with the statutory law and the unbroken current of jurisprudence in the State of Alabama.

Whatever the rule may be, with reference to appeals in criminal trials which controls in Illinois, and upon the application of which this Court decided the Spiess case, the doctrine there announced is, unquestionably, under the statute law and jurisprudence of Alabama, without authority here. According to our appreciation of its effect, it was held in the reasons assigned to support the judgment rendered in the Spiess case that, where the point was not raised in the trial court, under the statute law of Illinois it was not reviewable in the appellate tribunal; and not being reviewable there, it could not be the subject of error in this Court.

The issue in the Spiess case, with reference to the seizure and production of the Herr Most letters, upon which error was assigned, was raised for the first time in this forum. There is nothing in the opinion of the Supreme Court of Illinois to indicate that the question had been presented by bill of exception properly reserved at the trial, or in other authentic form; and there is nothing to advise counsel, either in the opinion of the Supreme Court of Illinois or of this Court, whether assignments for error patent upon the record could be seasonably made in the appellate tribunal. Spiess vs. Illinois, 122 Ill. 232.

The controlling principle announced by this Court in the Spiess case, when placed in juxtaposition with the statute law of Alabama and the settled jurisprudence of that State, is without authority in the determination of the jurisdiction of this Court in the instant cause. If there be a State in this Union which affords to the accused every possible protection and safeguards his interests against the laches of

counsel and the errors of the trial Court, it is the State of Alabama. The power of the Supreme Court of Alabama as a reviewing tribunal in criminal cases *is not limited*, as has been erroneously assumed, "solely to review errors in the ruling of the court below, and such questions must be specially reserved by proper exception in the trial court." That court, by statute—and this, independent of any special reservation noted in the trial court—is directed to render judgment on the record as the law demands. Code of Alabama, 1876, par. 4990.

In that State the record in a criminal cause may be brought to the reviewing tribunal by appeal or by writ of error. On appeal, assignments of error disclosed by the record may be made, independent of the points reserved in the trial court. On writ of error, assignments of error patent on the face of the record may be pleaded and heard, independent of any proceeding had in the trial court; and this is the office of the writ of error.

But, beside the points reserved at the trial—independent of the writ of error in a criminal cause, and independent also of the assignments that may be made upon the record for errors apparent, or even for an enlargement of the errors stated or bill reserved—under the mandatory directions of the statute law, to see that justice has been done the accused, and that he has not suffered in consequence of an oversight of his counsel or of the errors of the trial Judge, the Supreme Court of the State will review the entire record which is brought up by the appeal.

In *Ex Parte* Knight, 61 Ala. 482, Chief Justice Brickell, in a well-considered opinion, construed the statutory law and jurisprudence of Alabama with reference to appeals

and writs of error in criminal cases, "with the hope of directing attention to their provisions that the practice may, in the future, be conformed to them." In the course of the opinion, this learned Judge said:

"When these statutes are read in the light of the common law, and of our former statutes, the legislative intent is manifest. The intent is to confer on defendants in criminal causes, a *plenary right* to a revision of the proceedings on a judgment of conviction.

* * * * * *

"But if a defendant has not reserved any question for the consideration of the court, the common law remedy of a writ of error remains to him, and the mode of obtaining it, and its operation is regulated by the statutes. * * * The statutes thus furnish a defendant in a criminal cause, two remedies for the revision of the judgment of conviction, each having a different field of operation. The first is when, in the court of original jurisdiction, he *reserves a question of law* for the consideration of this Court, the reservation appearing of record, calling into exercise the revisory jurisdiction of the Court—the second is, the writ of error lying when he has not reserved a question of law, but there is error of law apparent on the record. In either case, when the record reaches this Court, the statute imposes the duty on the Court of rendering 'such judgment on the record as the law demands.' *The Court is not confined to the questions reserved,* as under the former statutes when questions were referred, but the whole record is examinable, and if error has *intervened* to the prejudice of the defendant, the judgment must be reversed. Code of 1876, par. 4990."

In the case of Finley vs. State, 61 Ala. 201, the same eminent authority declared:

"The practice in criminal cases which has been observed in this State from an early day and sanctioned by repeated decisions of this Court is, that a defect in the indictment which would have been fatal on demurrer, *or error in the*

record of the proceedings, which would have been good ground in arrest of judgment, *if* that had been moved for in the primary court, will on appeal, or writ of error, compel a reversal of a judgment of conviction. The statute authorizes an amendment of an indictment, but only by consent of the defendant, entered of record. We have no statute applicable to criminal proceedings, which, after verdict and judgment, *cures errors not previously made the ground of objection*. On the contrary, the statute is imperative, that on an appeal, or writ of error, prosecuted from a judgment of conviction, 'no assignment of errors, nor joinder in error is necessary; but the Court must render such judgment on the record as the law demands.' Code of 1876, par. 4990.

"A motion in arrest of judgment is not confined to the indictment alone. That may be sufficient, averring with certainty the commission by the accused of the particular indictable offense imputed to him; and yet it may appear from other parts of the record, *that irregularity or illegality has intervened*, which vitiates the judgment."

In the course of his opinion, further on, the learned Judge holds:

"It would be ground of motion in arrest of judgment—and if no such motion is made *of assignment of error in an appellate tribunal, and if* NOT *assigned*, it is of that class of errors this Court must notice in obedience to the statute, and 'render such judgment on the record as the law demands.'"

In Frank vs. State, 40 Ala. page 11, Justice Byrd, announcing the views of the Court, said:

"The record purports to set out all the evidence introduced on the trial, and it shows that the prisoner offered none. Upon a demurrer to the evidence and a joinder therein, the Court should have given judgment for the prisoner, or if a charge had been asked that the prisoner could not be found guilty upon the evidence, the Court should have given it. In the first case, no exception was

necessary in order for this Court to revise the ruling of the Court, if the demurrer had been overruled; in the latter, it may have been necessary that an exception should have been taken, if the charge had been refused, in order for this Court to revise the refusal of the Court to give the charge.

"The prisoner, in his bill of exceptions, 'assigns as error' the refusal of the Court to give a charge asked, and also 'the conviction and sentence.' This must be taken as equivalent to an exception to the conviction and sentence. Sackett & Shelton vs. McCord, 23 Ala. R. 851. By Section 3663 of the Code it is provided that, *in criminal cases, this Court must render* such judgment as the law demands; and by this may be understood such judgment as the law demanded the court below to render. By Section 3650 it is provided that, 'if such question' (that is, a question of law) 'does not distinctly appear on the record, it must be reserved by an exception, taken and signed by the judge, as in civil cases;' which, impliedly at least, *gives the right* to the prisoner to have *any error which distinctly appears on the record revised by this Court.* The bill of exceptions is a part of the record; and, so much so, it has been held by this Court, that if it is inconsistent with the judgment-entry, it must control. 4 Ala. 516; 9 Ala. 430; The State vs. Jones, 5 Ala. 366; Vincent vs. Rogers, 30 Ala. 471."

The Supreme Court of Alabama in numerous cases has given effective application to the principle for which we contend, and, in the absence of *specific* objection taken in the trial court, and in the absence of assignments of error in the reviewing tribunal, has examined the record, and repeatedly held, that where evidence, manifestly incompetent, was administered, and was upon a material matter, under the mandate of the statute it was its duty to reverse.

In Robertson vs. State, 43 Ala. 325 (Ency. of Pleading and Practice, Vol. 2, p. 929 n.), the doctrine is thus stated:

"In criminal cases, the court *is not* confined to the errors assigned, nor is any assignment absolutely necessary; but in its absence the court will generally examine the record in the interests of justice."

The qualification to the general rule, that the objection to the admissibility of testimony should be specifically stated, is formulated upon the basis of the jurisprudence of Alabama, in the Encyclopedia of Pleading and Practice, vol. 8, pp. 228-9, subject, "Exceptions and Objections," in these words:

"The general rule that objections to evidence must be specific admits of this exception, that if they cannot in any manner be obviated, or if the evidence is clearly inadmissible for any purpose, a general objection will suffice. (Espalla vs. Richards, 94 Ala. 159). The reason for this is obvious. If the inadmissibility of the evidence be apparent on its face, no casting around by the court for a ground of exclusion is necessary. So it has been held that a general objection sustained by the trial court will be sufficient on appeal without the statement of the specific grounds of objection."

In the case of Davis vs. State, 17 Ala. 415, it was held, that where evidence is *prima facie* inadmissible, it is not necessary that the party objecting to it should *specify* the ground upon which his objection is founded, and that a *general* objection is sufficient.

In the case of Thompson vs. State (Supreme Court of Alabama), 17 Sou. Rep. 510, Mr. Justice Coleman said:

"The defendant was indicted and convicted of grand larceny. A great many exceptions were reserved to the ruling of the Court upon the admission and exclusion of evidence introduced or offered in the progress of the trial. In almost every instance the objection seems to have been of a *general* character, without *specifying any particular ground of objection.* A general objection *is sufficient* when the evidence offered is patently and palpably inadmissible for any purpose."

In the case of McClellan vs. The State, 117 Ala. 140, 141 (23 Sou. Rep. 655); the defendant was indicted for selling vinous, spirituous and malt liquors without a license.

In the statement of facts by the Reporter, there is contained the following summary:

"On the trial of the case, as shown by the bill of exceptions, the State introduced, as a witness, one Bray, who testified that in November, prior to the finding of the indictment, he was in the City of Alexandria, where the complainant kept a store, that he went to defendant's store and asked for whiskey, and defendant told him he did not have any; that thereupon he left the store and met one Henry Martin about 30 or 40 yards from defendant's store; that he, the witness, asked Martin if he knew where he could get some whiskey, and Martin said, 'I haven't got any whiskey, and I haven't got any money to pay for any whiskey with, but if you will furnish the money, I can get some whiskey;' the witness then said to Martin: 'Here is the money,' and gave him 20 cents, and then he and Martin went into a back room of the defendant's store."

The defendant objected to the conversation between Martin and witness, *but stated no grounds of objection*. He also moved to exclude the conversation with Martin, which witness detailed as above set out. The Court overruled the motion, and defendant duly excepted.

Brickell, C. J., said:

"A *specific* objection to evidence *illegal or irrelevant* on its face, has *never* been deemed necessary. 1 Brickell Dig. 887, Sec. 1189; Washington vs. State, 106 Ala. 58. Gunter vs. State, 111 Ala. 23. Within this category falls the conversation between the witness Bray and one Martin, before going to the defendant's house or place of business."

* * * * * *

In Gabriel vs. The State, 40 Ala. 357, the defendant was indicted for the larceny of a mule alleged to be the prop-

erty of S. J. Terrell. The Court admitted evidence of the confession of the prisoner that he had taken "Mass Lee's mule," without proof of identity of S. J. Terrell as "Mass Lee." There was a *general*, but no *specific* objection to the evidence.

In the opinion, after stating these facts, it is adjudged:

"All the evidence is set out in the record, and there is no evidence tending to show that 'Mass Lee' and S. J. Terrell are the same person. We cannot judicially know, nor could the jury legitimately presume from the evidence that they were the same person.

"The evidence objected to being illegal, should have been excluded by the Court. It is *evident* that the prisoner had in view the objection to its admissibility on the ground that the confession was *not voluntary*. But the objection is *general*, and we do not feel *authorized* to limit the extent of the same. *A general objection to the admission of evidence is sufficient, if the evidence is illegal upon its face*, when applied to the pleadings in the cause.

"Cunningham's Ex'r vs. Cochran & Estell, 18 Ala. 480.

"If the State had proposed to prove, or had proved, that 'Mass Lee' and S. J. Terrell were the same person, then the evidence would have been admissable. But, in the absence of such proof or proposal, the evidence was clearly inadmissible. We are satisfied that, upon the facts shown in the bill of exceptions, the declarations of the accused were voluntary, and on that ground should have been admitted, if there had been no valid objection, as above shown."

Where the bill of exceptions, as in Jacobi's case, purports to set out all of the evidence, it has been frequently held, in Alabama, that where this method was adopted, and it does not appear that proof of *venue* was made, the judgment will

be reversed, although *no objection* was urged in the lower court.

Saloman vs. State, 27 Ala. 27.

Brown vs. State, 27 Ala. 47.

Cawthorne vs. State, 63 Ala. 157.

Treating of the failure to make it appear that proof of venue was introduced, it is declared, in Childs vs. The State, 55 Ala. 31:

"The rules of the law are to be used so *as to effectuate justice, not to prevent it.* But it having several times been decided that a defendant may take advantage of the omission to introduce or set forth the evidence of such matter, under a general charge or exception, we do not feel at liberty to depart from the practice thus established, in a case like a capital felony, like the present. If the Court did err in certifying that the bill of exceptions in this cause contains all the evidence that was submitted to the jury, we must hold that it erred in not giving the charge in question. The evidence set forth did not entitle the State to a verdict of guilty against the defendants."

We deem these authorities eminently sufficient to make it manifest that, whatever the rule may be in other jurisdictions, in Alabama, at least, in matters of law the revisory tribunal is not restricted to a *specific* objection for a *specific* reason therein assigned in order to secure a reversal demanded by considerations of justice. And the confusion leading to the announcement by the learned Attorney General, results from his failure to bear in mind the distinction between an objection general in form and one resting upon specific reasons assigned. If the objection in general terms, timely taken, be good, although the reasons seeking to support it be unsound, the reviewing court must render judgment on the record, as the law demands, or, adopting

the language of the Supreme Court of Alabama, "such judgment as should have been rendered by the trial court."

But the assignment of one reason, or two, does not exclude in the revisory court the right to consider the assignment of other and additional reasons, wherever the objection to the admission of the evidence, though general in terms, is noted on the record. That is the office of the writ of error before the reviewing tribunal. It may be reviewed there upon an appeal or by writ, for error apparent upon the record. Encyclopedia of Pleading and Practice, Title, "Writ of Error," Vol. 7, p. 846; Wheeler vs. Winn, 53 Penn. 122; *ex parte* Knight, 61 Ala. 482; Tidd's Practice, 1134 *et seq.*

As was aptly said by this Court, speaking through Mr. Justice Harlan:

"The final judgment of a court of the United States in the case of the conviction of a capital or otherwise infamous crime is not reviewable here except upon writ of error. Our review of the judgment, when brought here in that form, is confined to questions of law properly presented by a bill of exceptions, or arising upon the record."

Bucklin vs. United States, 159 U. S. 681.

Cohens vs. Virginia, 6 Wheaton, 409.

The rule in civil actions which formerly prevailed in Alabama, and reference to which is made by the Court in its opinion in this case, and to which we shall in a moment advert, is controlled by statutory direction; and the reason for the distinction is noted by Chief Justice Brickell in *ex parte* Knight, 61 Ala. 486.

There are two cases cited in the opinion of this Court to support the doctrine, that "the Supreme Court will not

decide a question relating to the administration of evidence, not made and acted upon in the trial court: Freeman vs. Swan, 22 Ala. 106, and Robertson vs. Robinson, 65 Ala. 110." Both were civil cases.

In Freeman vs. Swan, theCourt said:

"We have not considered the question as to the admissibility of parol proof in this case which received the attention of the Chancellor, because we are not advised by the record that any objection was made to it by the defendants in the court below, and we will decide no question on error which was not there made by the parties and acted on by the court, and that action assigned and insisted upon in this Court as error, unless it be want of jurisdiction apparent upon the face of the proceedings in the court below."

If no objection was reserved, as is evident in the case cited, who could proclaim error as against the ruling? The proper foundation may have been laid for the admission of the proof, of which complaint was only made when the cause reached the reviewing tribunal.

The opinion in Robertson vs. Robinson was pronounced by Chief Justice Brickell, who was certainly familiar with the distinction between civil and criminal cases with reference to noting exceptions to the admissibility of evidence, since he himself had formally recognized it, and the observations that we have submitted to the Court, with reference to Freeman vs. Swan, find application here.

But even the restricted doctrine, which, according to the conclusions placed by your Honors upon the earlier adjudications, formerly obtained in Alabama, has been considerably modified in the more recent decisions.

In the late case of Espalla vs. Richards (Supreme Court of Alabama), 10 Sou. Rep., Mr. Justice Walker, speaking for the Court, of which the present Chief Justice of Ala-

bama was a member, in announcing the rule, even in *civil cases*, with reference to the admissibility of palpably incompetent evidence over a general objection, said:

"It is suggested for the appellees that there was a failure to specify any particular ground of objection to the admissibility of this evidence. *The illegality of the evidence was apparent upon its face*. To ascertain this it was not necessary for the Court to look to any fact that was not stated by the witness in detailing the conversation. No casting around to discover a ground of exclusion was required. It was obvious, without reference to any extrinsic fact, that Mr. Richard's statement to the salesman in his store was mere hearsay, so far as Mrs. Ryan was concerned. In such case the court should sustain the objection, without demanding a specification of what is already patent. The general rule requiring the ground of objection to be stated did not apply. Richards vs. Bestor, 90 Ala. 352; 8 South. Rep. 30; Pool vs. Devers, 30 Ala. 672; Cunningham vs. Cochran, 18 Ala. 479."

It appears, therefore, that notwithstanding the marked statutory distinction between exceptions in civil and criminal cases, the principle, expressly recognized in protecting the life and liberty ofthe citizen, has, in the interest of distributive justice, been extended to civil actions.

To the administration of the *hearsay* declarations of Micou and Bartlett, timely exception was interposed in the trial court, and before that forum, was asserted by the accused his objection to the admission of their testimony, because of his constitutional right to be confronted with the witnesses against him. Inferentially, the Court announces in its opinion that the objection in the trial court was limited in its effect to the Constitution of Alabama. If it be a constitutional right that was invaded, it is as legitimate an inference to

say that he referred to the higher Constitution, which guaranteed him against the deprivation of his liberty, without due process of law, under and within which is consecrated the fundamental and substantial right of confrontation. With this latter question we shall deal later.

Whatever the objection was in the trial court, whether specific or general, it was against the administration of the proof tendered, and when admitted, timely exceptions were reserved and sealed by the trial Judge. The evidence administered under the Court's ruling, clearly and unmistakably falls under the ban of the inflexible rule announced by Chief Justice Brickell, in McClelland vs. State, *supra*, being deemed illegal and invalid although no specific objection was made.

When the record was brought, under statutory direction, to the Supreme Court for review, it became its solemn duty, under the bill reserved, to reverse the verdict and judgment entered of record, unless the doctrine laid down in Lowe vs. State, and the rule announced in that and succeeding cases be adjudged as perfectly consistent with the prohibitory declarations of the Fourteenth Amendment of the Constitution of the United States. And it is no answer to our contention to suggest that, under the doctrine of Lowe vs. State, the rule is well settled in Alabama which authorizes the admission of that character of proof. Such a reply assumes as virtually undisputed the very question which is here in error for review, and which is honestly and earnestly pressed upon this Court's consideration.

It is a *petitio principii*, to say that the rule in Lowe vs. State, if sound, is controlling. We are prepared to recognize the principle that if Lowe vs. State is not amenable

to the charge preferred, then there is not a Federal question on the record, and the writ of error was properly dismissed. But before the Court reaches such a conclusion, it must announce the rule there propounded to be free from the objection urged. Assuredly, it cannot be said, under the statutory law and jurisprudence of Alabama with reference to appeals in criminal cases, that because the exception to the admissibility of manifestly illegal evidence was not specific, therefore the constitutional right of the accused to protection under the Fourteenth Amendment, upon which he relies, was abandoned and waived, since the revisory tribunal is expressly required to render judgment on record as the law demands.

We have argued to no purpose, and the authorities cited are without the slightest effect, if this Court should continue to adhere to the opinion already expressed that while there are objections which, if timely taken and preserved in the record, may be ground for reversal, yet when these are not specifically insisted upon and reserved, they will be considered as waved.

When the record of this case was lodged in the Supreme Court of Alabama under its settled practice (Rules of Practice, Code of 1886, par. 1, page 155, Section 4990, *ib.*), plaintiff in error, taking advantage of the objection interposed in the trial court, to the admission of the testimony of Micou and Bartlett, *before* the hearing of the cause and *before* judgment, filed his assignments of error patent on the face of the record, and claimed and urged the protection of the Fourteenth Amendment to the Constitution of the United States.

Under the settled jurisprudence of this Court, where the

reviewing power extends to all matters of error patent upon the face of the record, should the protection of the Constitution, laws or treaties of the United States be asserted before final judgment, and the disposition of the Federal question becomes necessary in the decision of the cause, this Court is, by the Constitution of the United States and the laws in pursuance thereof, charged with jurisdiction upon error.

Let us go to the record. Upon the tender of Micou, as a witness, counsel for defendant objected, first, that the defendant, Jacobi, had the constitutional right to be confronted by the witnesses against him; * * * fifth, that the proposed testimony of the witness, Micou, was purely hearsay. The Court overruled defendant's objections, and "each ground thereof," and permitted Micou to testify as to what had been the evidence of Lizzie Parker on the former trial. To the Court's action and ruling defendant, in proper form, and in due time, excepted. R. 11.

In the Supreme Court of Alabama, the following assignments of error were lodged before argument. We shall only quote so much of the assignments of error as are pertinent to the question under immediate discussion.

IN THE SUPREME COURT OF THE STATE OF ALABAMA.

STATE OF ALABAMA VS. SANFORD JACOBI.

ASSIGNMENTS OF ERROR.

Now here comes, in his own proper person, Sanford Jacobi, plaintiff in error in the above-mentioned and entitled cause, and which error complained of is now before this Honorable Court for review, consideration, and decision, said error having been properly sealed by the trial judge, who certifies it to this Honorable Court for its review and decision.

And here giving presently the Court to understand and be informed that in addition to the error embodied in the bill sealed as aforesaid, there is other error apparent upon the record and of which this appearer complains, the same having operated to his manifest injury and prejudice, inasmuch as it appears by said record that this appearer is a citizen of the United States, having been born therein, and is a citizen of the State of Alabama, within which State he resides, and was a citizen of the United States and of the State of Alabama at the time of and since the acts complained of, and which are charged against your appearer as being violative of the laws of said State; that, as is patent upon said record, your appearer, during the progress of the trial before the City Court of Montgomery, County of Montgomery, State of Alabama, and at all times since said trial, and now and presently here, has been and is denied the benefits, advantages and protection accorded him by Article I, §7, of the Constitution of the State of Alabama; and was, during the progress of said trial, at all times, and is now, by the action of the State of Alabama and its prosecuting officers in its behalf, denied the privileges and immunities accorded him by the Fourteenth Amendment to the Constitution of the United States, and which privileges and immunities, as a citizen of the State of Alabama and of the United States, he pleads as cause of error patent upon the record, demanding, in accordance with law, its maintenance by this court, and the reversal of the verdict and the judgment pronounced thereon against this appearer.

And for further and additional error patent upon the record, this appearer says that he was, during the progress of the trial now before this court for review, throughout said trial, after verdict and judgment pronounced upon verdict, at all times since and is now, by the State of Alabama and its prosecuting officer, been refused and denied the benefit and protection accorded him, as a citizen of the United States and of the State of Alabama, by the Fourteenth Amendment to the Constitution of the United States, inasmuch as this appearer was denied

and refused the protection of said article of the Constitution, and was tried and convicted without the benefits, advantages and protection guaranteed to him by the Fourteenth Amendment to the Constitution of the United States, that he should have at all stages, during the trial and in all proceedings subsequent to the trial, the benefit and protection of said article, and particularly so much thereof as guarantees to him due process of law, and that this refusal and denial to accord him, in the progress of the trial and at all times since, due process of law, is error patent upon the face of the record, which demands the reversal of the judgment pronounced upon the verdict herein complained of.

Appearer further assigns as error patent upon the face of the record, that during the progress of the trial hearsay evidence was admitted and allowed by the ruling of the Court, after timely objection, to go to the jury for their consideration, which evidence so administered was material in the cause, and without which testimony, as appears by the record, and particularly the testimony of W. H. Micou and Henry Bartlett, no conviction could have been secured; and the administration of said evidence was in violation of the Common Law, in violation of the rights of this appearer, in violation of the Constitution of Alabama and the Fourteenth Amendment to the Constitution of the United States, and is reversible error.

And this appearer, for further error patent on the face of the record, assigns as cause the withdrawal of the issues of fact from the jury as to whether the proper predicate had been established by the evidence administered, to admit of second-hand or substituted proof on a fact material to the State's cause; that under the Common Law, as administered, and under the protection accorded him of due process of law, by the Fourteenth Amendment to the Constitution of the United States, the withdrawal of the question of fact as to whether a proper predicate had been laid for the administration of subordinate and secondary proof, was a question determinable not by the Court, but by the jury, and before the administration of secondary proof, and

particularly the testimony of W. H. Micou and Henry Bartlett, and the action of the Court, as appears by the record, in determining a question of fact, which should have been, under the law and the Constitution of the United States, submitted to the jury, violates appearer's rights under the Constitution of the State of Alabama, the laws prevailing in said State, and the Fourteenth Amendment to the Constitution of the United States, it operating a denial of the benefits and protection accorded this appearer by said Fourteenth Amendment to the Constitution of the United States.

And for further assignment of error patent upon the face of the record, this appearer says that there was not sufficient predicate laid for the administration of any proof as to what any absent witness had said previously or upon any prior trial; and that your appearer was denied the benefits, advantages and protection guaranteed to him by the said Fourteenth Amendment, inasmuch as he had not the opportunity of confrontation with the witnesses against him, and the statements made by them were not admissible as evidence against this appearer, and should not have been submitted to the trial jury in the absence of a predicate fully established, and which would admit the administration of that character of proof; and that, in consequence of the action of the Court, during the trial, upon judgment, and at all times since, the State of Alabama, through the officers prosecuting in its behalf, has denied to this appearer the benefits, advantages, and protection accorded him by the Common Law, the Constitution of the State of Alabama, and the Fourteenth Amendment to the Constitution of the United States.

Wherefore, for these and divers other good and sufficient reasons appearing on the face of the record, this appearer asks that the errors herein complained of may be considered, and after consideration thereof, the said errors be recognized by this honorable court as cause good and sufficient justifying by the court's decree a reversal of the judgment pronounced in the cause and the verdict upon which said judg-

ment and sentence were entered; and that such further orders be made and entered as are necessary in the premises to accord to this appearer that measure of protection to which he is entitled under the Constitution of the State of Alabama, and the Fourteenth Amendment to the Constitution of the United States, in such cases made and provided.

HENRY L. LAZARUS,
JNO. M. CHILTON,
A. A. WYLY,
Of Counsel for Sanford Jacobi.

State of Alabama,
County of Montgomery.

Sanford Jacobi, duly sworn, deposes and says: That he has read the foregoing assignments of error in his behalf; that he is advised that same are sound in law; that he invokes the protection accorded him as a citizen of the United States and of the State of Alabama, by the Fourteenth Amendment to the Constitution of the United States and that said assignments are, upon the advice of counsel, interposed in good faith, and in the honest belief that the errors assigned demand the reversal of the judgment and the verdict in said cause.

SANFORD JACOBI.

Sworn to and subscribed before me, this 18th day of December 1901.

J. W. TERRY,
Notary Public.

[Notarial Seal]

Rec. p. 25.

Independent of the general objection, based upon the constitutional right of the accused, the attention of the Supreme Court of Alabama was pointedly directed, by the assignments of error, to the asserted rights of the accused under the Fourteenth Amendment of the Constitution, which he claimed was infracted by a denial of the right of confrontation. It is true, there was no direct attempt upon the part of the reviewing tribunal to meet the issue so distinctly presented. The Court was content to rest its decision upon its opinion in Lowe vs. State. The attack was

upon the rule announced in that case as being violative of the substantial and fundamental right of the citizen to confrontation, protected by the Fourteenth Amendment of the Constitution of the United States. The issue presented we deduce from the course pursued, could not be met and was therefore evaded. There was, apparently, no answer that even so learned a Judge as he who pronounced the opinion in the case could formulate that would have supported the doctrine in the case relied upon for the affirmance of the judgment complained of. As was appositely said by Chief Justice Woodward (53rd Pennsylvania State, 132, Wheeler vs. Winn): "But some Judges were found who would *dodge* the proposition of law, commonly called points, which counsel submitted."

It is observed regretfully that the desire is entirely too prevalent with Courts to evade the decision of issues specifically raised and which in their application are controlling and determinative of the case they have for adjudication. There could have been no disposition of the matters in dispute without a determination of the constitutional right claimed by the accused, save by evading or, in the language of Mr. Chief Justice Woodward,—"dodging the issue."

This Court, in the opinion pronounced herein said, in respect of the proceedings before the Court of last resort in Alabama: "If the court had passed upon the question, our jurisdiction might have been maintained." This statement we suggest, with submission, is entirely too broad and not warranted by its own settled jurisprudence. If such were the law, an evasion by the State Court of a Federal question would defeat every right of review in this Court. As we expect to establish, the controlling issue, and the one

determinative of jurisdiction here, is whether the Federal question was duly presented, and whether its decision was necessary to the judgment or decree in the case.

The limitation placed, in the opinion of this Court, upon the objection of the accused to the introduction of the hearsay statements of Micou and Bartlett, is, we respectfully submit, entirely too narrow when it is considered that he was asserting, in the protection of his liberty, a constitutional right.

His Honor, the Chief Justice, because of an absence, in his objection, of reference by the accused, to the Constitution of the United States, concludes: "It is plain that the constitutional right asserted was under the State Constitution." It is true that, in stating the objection, there was an absence of allusion, in terms, to the Federal Constitution; but it is equally true that there was no reference to the Constitution of the State of Alabama; the silence observed in respect of both instruments leaves the objection, ae was obviously the design, one general in scope, and, if presumptions are to be indulged in, or deductions drawn, from the want of specific averment, the presumption should be that the assertion of the right and the claim of protection is under the higher and not the subordinate guarantee. While the rule is generally recognized that when a claim is justified under the Constitution, laws or treaties of the United States, specific reference must be made thereto, we hold to the view that the limitation is to the general laws and the treaties of the United States and is not to be extended to the fundamental law itself where the party claims protection under its aegis. We do not understand that in setting up a right under the Constitution of the

United States, and claiming the protection which follows, there is imposed the obligation of indicating the number of the Article and the particular Section under which the right asserted is protected. See Oakey Co. vs. Butler County, 166 U. S. 651. The reason of the rule with reference to statutes and treaties is so apparent as to require neither comment nor elucidation. But it can have no application to the organic law of the Nation. For instance, in pleading the protection, should an objection be put of record that the State statute relied upon "impaired the obligations of a contract" protected by the "Constitution," would anyone undertake to say, in passing upon an adverse decision, that a Federal question was not raised, so as to invite review in this Court?

In Waterpower vs. The Street Railway Company, Mr. Justice Brown, speaking for the Court, said:

"This Court has repeatedly held that, if the Federal question *appears* in the record and was decided, or such decision was necessarily involved in the case, and the case could not have been determined without deciding such question, the fact that it was not specifically set up and claimed is not conclusive against a review of such question here. (Authorities.)" 172 U. S. p. 489.

If there be any force in the contentions of the plaintiff in error, submitted in the printed argument, then the right of the accused to confrontation in criminal prosecutions is protected by the Fourteenth Amendment, which prohibits the State or any of its agencies from depriving the citizen of his life, liberty or property, without due process of law.

At this stage of the discussion, we submit that we have established under the statute law and jurisprudence of

Alabama, and upon principle, the following propositions, viz:

First. That the Supreme Court of Alabama, sitting in review of convictions in criminal cases, is not limited and restricted to objections reserved in the trial court, but is directed by mandatory statutes to examine the entire record and to decide the case according to law.

Second. That a review of convictions in criminal cases may be had by "statutory appeal" or by "writ of error," and that a reversal is to be decreed "where there is error apparent on the face of the record."

Third. That, independent of objections noted in the trial court and reserved, under the practice of the Supreme Court of Alabama, assignments of error are permissible, although not necessary, in order to correct "error apparent on the face of the record."

Fourth. Where objection is reserved, but is so general in form as not to disclose or indicate the basis or ground of objection, and evidence "illegal or irrelevant" is administered upon a material matter, the reviewing court will direct a reversal.

Fifth. That where a constitutional right is asserted and protection claimed under it, without indicating under which instrument the right is claimed, and both instruments contain substantially the same provisions, it is a legitimate inference that the claim was asserted under the *superior* grant and not under the *inferior* one, and the recognition of this right should always be accorded to the claimant where life or liberty is involved.

Sixth. A series of rulings violative of the supreme law of the land, and in disregard of the fundamental and sub-

stantial right of the citizen, cannot be the basis for the support of judicial action, when attacked, because of its repugnancy to the Constitution; and the evasion of the question by the State tribunal cannot deprive this court from revising its decision.

If any one of the foregoing deductions is supported by authority and is sound in principle, it must be evident that this Court, in dealing with extent of the revisory power vested in the Supreme Court of Alabama, has been led into error; and we feel assured that once the error has been indicated, it will be prompt to recognize it and to conform its own views to the statute law and jurisprudence of the State from which the "Writ of Error" in this case is prosecuted.

Our contention is that, independent of "a specific reservation," in the trial court, of the right claimed and the protection afforded by the Fourteenth Amendment, the assignment of errors in the Supreme Court of the State, before judgment directing its attention to the claim there asserted under the provisions of the Fourteenth Amendment, was sufficient. And *this* Court, upon the allowance of the writ of error, independent of the reviewing Court, was seized of jurisdiction if the disposition of the Federal question was necessary to a decree in the cause.

As we understand the jurisprudence as settled by this Court.

(*a*) Error may be prosecuted from the highest Court of the State in which the question could be determined first whenever a right was asserted and claimed under the Constitution, laws or treaties of the United States in the

trial court, and was passed upon adversely in that Court and subject to review in the appellate tribunal.

(*b*) Whenever the reviewing Court of the State was invested with the right, power and authority to act upon assignments of error patent upon the record, and where the record justified the claim of a Federal question, the decision of which was necessary to the determination of the case, and the claim asserted was denied.

(*c*) Where the record itself, independent of a Federal claim asserted in the trial court or assigned in the reviewing court, presented a Federal question determinative of the controversy, whether that claim of Federal protection was passed upon or ignored by the reviewing tribunal.

(*d*) Where, after decision in the reviewing Court, an application for rehearing was presented, and for the first time a Federal question was raised, the disposition of which was necessary to the determination of the cause, and the reviewing Court passed upon the rehearing and in consequence reviewed the question and denied the rehearing; error will lie to review its decision.

We recognize that if the claim to Federal protection is not raised before *final* judgment in the reviewing tribunal, it cannot be raised on application for rehearing except as already indicated, nor can it be raised upon removal of the record by "Writ of Error" to this Court, accompanied by assignments of error under its rules. In other words, and briefly stated, the claim to Federal protection must be raised before final judgment, either in the trial Court or in the appellate tribunal. In the instant case, under the statute law of Alabama and its jurisprudence, it was timely raised, and it was fully argued. We have already observed

that nothing in the opinion of the learned Chief Justice of the Supreme Court of Alabama indicates that a Federal question dominant and controlling in the disposition of the case, was either suggested or argued before that august tribunal, and yet that learned Justice who himself pronounced the opinion in the State tribunal upon presentation of plaintiff's application for removal of the case upon error, allowed the writ.

We have abundant authority in the numerous decisions of this Court to support the propositions which we have announced, and which are determinative of the jurisdictional right of this Court over the issues raised and submitted on behalf of plaintiff in error.

In Zimmerman vs. Nebraska, 116 U. S. 54, the rule is formulated that this Court is without jurisdiction over a case brought here on error from the Supreme Court of the State *unless* it appears from the record that a Federal question was raised in that Court by assignment of error *before the final judgment* from which the "Writ of Error" has been taken.

In Arrowsmith vs. Harmoning, 118 U. S. 194, there was no suggestion of a Federal question in the trial Court or in the District Court, where the case was carried and affirmed on petition in error. The case was then taken on another petition in error to the Supreme Court, where, for the first time, *there was suggested by assignment the Federal question*, and dealing with it, this Court, through Mr. Chief Justice Waite, said:

"This is the first time, so far as the record discloses, that even the *semblance* of a Federal right was set up in the case, and even here it is not easy to see on what ground it

could be claimed that Arrowsmith had been deprived of his property in violation of the Constitution of the United States. It was for this reason, perhaps, that the Supreme Court, while affirming the judgment of the District Court, *took no notice* of the assignment of error in its opinion. The decision, however, *necessarily involved* a denial of the right which was claimed in this way, and thus we probably have technical jurisdiction. For this reason the motion to dismiss must be denied."

In the case of Fowler vs. Lampson, 164 U. S. 255, this Court, speaking through Mr. Justice Peckham, said:

"Where a case is brought to this Court on error or appeal from a judgment of a State court, unless it appear in the record that a Federal question was raised in the State court *before the entry of final judgment in the case*, this Court is without jurisdiction. Zimmerman vs. Nebraska, 116 U. S. p. 54. It has also been frequently decided that, to give this Court jurisdiction on 'Writ of Error' to a State Court, it must appear affirmatively, not only that a Federal question was presented for decision by the State Court, but that its decision was *necessary to the determination of the cause*, and that it was decided adversely to the party claiming a right under the Federal laws or Constitution, or *that the judgment rendered could not have been given without deciding it*. (Authorities)."

In Rothschild vs. Knight, 184 U. S. 337, the Federal question was raised for the *first time* in the Supreme Court of Massachusetts upon an assignment of errors. Mr. Justice McKenna, speaking for this Court, said:

"A motion is made to dismiss the 'Writ of Error' upon the ground that no Federal questions were raised in the Superior Court. Federal questions were raised, however, on 'Writ of Error' to the Supreme Court, and that, we think, was a sufficient claim. (Authorities)."

See Susquehanna Broom Co. vs. West Branch Broom Co., 110 U. S. See also Morrison vs. Watson, 154 U. S. 115.

Although the "Writ of Error" prosecuted from the Supreme Court of the State of Missouri was dismissed in the case of the Oakley Stave Co. vs. Butler County, the reasoning of Mr. Justice Harlan in that case, and the authorities cited to support the conclusions announced, apply with striking force to the maintenance of the jurisdiction of the Court in this case. After reviewing the earlier decisions of this Court where the right was recognized on the part of the plaintiff in error to raise in the Supreme Court of the State the Federal question, if it was involved in the case as presented to that Court, that learned Justice said:

"If there has been any modification of the views expressed in the two cases just cited, it has been only in the particular that it is not always necessary to refer to the precise words or to the *particular section* of the Constitution under which some right, title, privilege or immunity is claimed, and that it is sufficient if it appears affirmatively from the record that a right, title, privilege or immunity is specially set up or claimed under that instrument or under the authority of the United States." 166 U. S. 657.

That the record in this case presented to the Supreme Court of the State of Alabama the Federal question, must be conceded. That it had the right, and it was its duty, under the statutory mandate, to review the record, cannot be questioned. That the State Court's evasion of its plain duty cannot deprive this tribunal of its jurisdiction and power to review its action, we apprehend, will not be denied. This Court, in the opinion rendered herein, said: "If the Court, however, had passed upon the question, our jurisdiction might have been maintained," and to support the announcement of that proposition, quoted Mallett vs. North Carolina, 181 U. S. 589. In Mallett vs. North Carolina, the Federal

question, upon which the Court's jurisdiction was maintained, was not raised until the judgment of the Supreme Court had been rendered, and before it was certified down to the trial court. Defendants filed a petition for re-argument, and therein presented, for the first time, the Federal question upon which they relied. The Court entertained the petition, and proceeded to discuss and decide the question raised. The contention before this Court was, that the Federal question had been raised *after the decree*. Mr. Justice Shiras said:

"In support of the motion to dismiss, numerous decisions of this Court are cited to the effect that it is too late to raise a Federal question by petition for a rehearing in the Supreme Court of a State after that Court has pronounced its final decision. (Authorities). But those were cases in which the Supreme Court of the State *refused* the petition for a rehearing, and dismissed the petition *without passing upon the Federal questions*. In the present case, as already stated, the Supreme Court of North Carolina did not refuse to consider the Federal questions raised in the petition, but disposed of them in an opinion found in this record. State vs. Mallard, 125 N. C. 718.

"Had that Court declined to pass upon the Federal questions, and dismissed the *petition* without considering them, we certainly would not undertake to revise their action." See Turner vs. Richardson, 180 U. S. 87.

Your Honors have for consideration in this case one of the most important questions ever submitted to this Court for decision. It affects in its scope the lives and liberty of every American citizen, and the only protection that the citizen has against the arbitrary acts of his State, and at times of its agencies, is that accorded him by the Fourteenth Amendment to the Constitution. The right of confrontation in a criminal prosecution, in the absence of constitutional direc-

tion permitting the introduction of secondary proof, is all that is now left of the Fourteenth Amendment, so far as it relates to the lives and liberties of the citizen. The right, by constitutional direction, to dispense with an indictment for a capital offense is confided to the States under the doctrine of Hurtado vs. California. The right of trial by jury is a matter under the control of the States under the announcement of this Court in Maxwell vs. Dow. In the State of Alabama, there is neither constitutional provision nor statutory direction which qualifies the substantial and fundamental right of confrontation. At all events, until some action is taken by the State, in derogation of the common law the principles of the magna charta as preserved in the Constitution of Alabama and of the United States must continue to control and repress any attempt to deny the right of confrontation as opposed to the spirit of our institutions and violative of the Fourteenth Amendment of the Constitution of the United States, which, in its prohibitive terms, operates upon the State and all of its agencies.

Turning to the recent decisions of this Court, no stronger case could be suggested, and certainly none found in the reported decisions for the maintenance of the Court's jurisdiction in this case upon principle, than that of Chicago, Burlington & Quincy R. R. vs. Chicago, 166 U. S. 231, which was a proceeding for the condemnation of land under the Eminent Domain Act of the State of Illinois, a proceeding purely statutory, minute in all its details, specifically setting forth every step to be taken in the progress of the case, from its inception to its final determination, but not containing an allusion to the answer by the

defendants. This Court, speaking through Mr. Justice Harlan, said:

"It is not, therefore, important that the defendant neither filed, nor offered to file, an answer specially setting up or claiming a right under the Constitution of the United States. It is sufficient if it appears from the record that such right was specially set up or claimed in the State Court in such manner as to bring it to the attention of that Court."

"Now the right in question was distinctly asserted by the defendant in its written motion to set aside the verdict and grant a new trial. Among the grounds for a new trial were the following: That the several rulings of the Court in excluding proper evidence for the defendant, the statute under which the proceedings for condemnation were instituted, and the verdict of the jury and the judgment based upon it, were all contrary to the Fourteenth Amendment, declaring that no State shall deprive any person of life, liberty or property without due process of law, nor deny to any person within its limits the equal protection of the laws.

"When the trial Court overruled the motion for a new trial and entered judgment, it necessarily held adversely to these claims of Federal right.

"But this is not all. In the *assignment of errors* filed by the defendant in the Supreme Court of Illinois, these claims of rights under the Constitution of the United States were distinctly reasserted.

"*It is true that the Supreme Court of Illinois did not in its opinion expressly refer to the Constitution of the United States.* But that circumstance is not conclusive against the jurisdiction of this Court to re-examine the final judgment of the State Court. The judgment of affirmance *necessarily* denied the Federal rights thus specially set up by the defendant; for that judgment could not have been rendered without deciding adversely to such claims of right. Those claims went to the very foundation of the whole proceeding so far as it related to the railroad company, and the legal

effect of the judgment of the Supreme Court of the State was to deny them. 'The true and rational rule,' this Court said in Bridge Proprietors vs. Hoboken Co., 1 Wall. 116-143, 'is that the Court must be able to see clearly, from the whole record, that a certain provision of the Constitution or act of Congress was relied on by the party who brings the writ of error, and that the right thus claimed by him was denied.' In Roby vs. Colehour, 146 U. S. 123-159, it was said, that 'our jurisdiction being invoked upon the ground that a right or immunity, specially set up and claimed under the Constitution or authority of the United States, has been denied by the judgment sought to be reviewed, it must appear from the record of the case, either that the right, so set up and claimed, was expressly denied, or that such was the necessary effect in law of the judgment.' (Authorities). There is, we conceive, no room to doubt that the *legal effect* of the judgment below was to declare that the rights asserted by the defendant under the national Constitution were not infringed by the proceedings in this case. Consequently, the motion to dismiss for want of jurisdiction must be overruled, and we proceed to examine the case upon its merits."

The controlling principle announced by this Court in the Burlington case, applies with particular cogency here, and supports the jurisdiction of this Court in the instant case. The decision maintaining this Court's jurisdiction did not rest upon the fact that the Federal question had been specifically urged in a motion for a new trial, but because it was formally presented in the assignment of errors filed in the Supreme Court of Illinois, wherein the rights of plaintiff in error were distinctly asserted under the Constitution of the United States.

That this plaintiff claimed the protection of the Four-

teenth Amendment; that he did it "at the proper time and in the proper way;" and that the decision of the Supreme Court of Alabama was adverse to the claim asserted, is manifest upon the review of the record and the decision in the cause, and without holding adversely to his asserted right the judgment of the trial court would necessarily have been reversed.

See Murray vs. Louisiana, 163 U. S. 101.

Respectfully submitted,

HENRY L. LAZARUS,

Counsel for Plaintiff in Error.

LIONEL ADAMS,
J. N. LUCE,
H. MICHEL,

Of Counsel.

NEW ORLEANS, Dec. 15, 1902.

APPENDIX.

SUPREME COURT OF THE UNITED STATES.

No. 341.—October Term, 1902.

Sanford Jacobi, Plaintiff in Error,
vs.
The State of Alabama.

In error to the Supreme Court of the State of Alabama.

[November 17, 1902.]

Mr. Chief Justice FULLER delivered the opinion of the Court:

Jacobi was convicted in the City Court of Montgomery, Montgomery County, Alabama, on an indictment for criminal assault, and the judgment against him was affirmed by the Supreme Court of that State. 32 So. Rep. 838. To revise that judgment this writ of error was brought.

The conviction was the result of a second trial of the case, and the alleged victim of the assault, who had testified at the first trial, was not present at the second. But evidence of her previous testimony was admitted against defendant's objection, and it is contended that thereby defendant was deprived of rights secured by the Federal Constitution, and denied due process of law. The question for us to decide at the outset is whether such a claim was specially set up at the proper time and in the proper way.

The rule is firmly established by the decisions of the highest Court of Alabama, that when a witness is beyond the jurisdiction of the court, whether he has removed from the State permanently, or for an indefinite time, his testimony on a former trial for the same offense may be given in evidence against defendant on a subsequent trial. *Lowe* v. *State*, 86 Ala. 47; *Perry* v. *State*, 87 Ala. 30; *Pruitt* v. *State*, 92 Ala. 41; *Matthews* v. *State*, 96 Ala. 62; *Burton* v. *State*, 115 Ala. 1.

In this case evidence was introduced before the trial judge that the witness was not in the State at the time of the trial, and that her absence was of a permanent or in-

definite nature. There was no pretense of absence by procurement and there was evidence of diligence in attempting to serve process upon her. It was held that sufficient foundation for the admission of evidence of her former testimony had been laid and the Supreme Court concurred in that conclusion. Defendant objected to this preliminary proof and moved to exclude it on several grounds, one of which was "that the defendant has the constitutional right to be confronted by" the witness. These objections having been overruled, evidence was introduced of the testimony given by the absent witness on direct and cross-examination on the former trial, to which defendant objected on the ground, among others, "that the defendant, Jacobi, has the constitutional right to be confronted by the witnesses against him." The trial judge overruled defendant's objections, and each ground thereof, and admitted the evidence, and defendant duly excepted. No reference to the Constitution of the United States was made in the objections. The constitution of Alabama provided that: "In all criminal prosecutions the accused has a right . . . to be confronted by witnesses against him;" and it is plain that the constitutional right asserted was under the State constitution. *Miller* v. *Cornwall Railroad Company*, 168 U. S. 131; *Kansas Endowment Association* v. *Kansas*, 120 U. S. 103.

After the case reached the State Supreme Court, error was assigned to the admission of the evidence as being in violation of the Fourteenth Amendment. The Supreme Court did not refer to that contention, presumably because of the settled rule in Alabama in criminal cases, that when specific grounds of objection to the admission of evidence are assigned, all others are waived; *McDaniel* v. *The State*, 97 Ala. 14; and that the Supreme Court will not decide a question relating to the admission of evidence not made and acted on in the trial court. *Freeman* v. *Swan*, 22 Ala. 106; *Robertson* v. *Robinson*, 65 Ala. 610. The Supreme Court was therefore not called upon to revise the judgment of the City Court for error not committed, and we cannot interfere with its action in adhering to the usual course of its

judgments. If the court, however, had passed upon the question our jurisdiction might have been maintained. *Mallett* v. *North Carolina*, 181 U. S. 589; *Dreyer* v. *People*, *ante*, *p.* ——

In *Spies* v. *Illinois*, 123 U. S. 131, where objection to the admission of a certain letter, because obtained in violation of the Constitution of the United States, was made in the Supreme Court of the State for the first time, and that court declined to consider the constitutional question supposed to be involved on the ground that it was not raised in the trial court, Mr. Chief Justice Waite said: "To give us jurisdiction under section 709 of the Revised Statutes, because of the denial by a State Court of any title, right, privilege or immunity claimed under the Constitution, or any treaty or statute of the United States, it must appear on the record that such title, right, privilege or immunity, was 'specially set up or claimed' at the proper time, in the proper way. To be reviewable here the decision must be against the right so *set up or claimed*. As the Supreme Court of the State was reviewing the decision of the trial court, it must appear that the claim was made in that court, because the Supreme Court was only authorized to review the judgment for errors committed there, and we can do no more. This is not, as seems to be supposed by one of the counsel for petitioners, the question of a waiver of a right under the Constitution, laws or treaties of the United States, but a question of claim. If the right was not set up or claimed in the proper court below, the judgment of the highest Court of the State in the action is conclusive, so far as the right of review here is concerned." And see *Brooks* v. *Missouri*, 124 U. S. 394; *Baldwin* v. *Kansas*, 129 U. S. 52.

The result is that the writ of error must be dismissed, and it is so ordered.

No. 341.

Br. of Brown for Def.

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Filed Nov. 7, 1902.

SUPREME COURT OF THE UNITED STATES.

OCTOBER TERM, 1902.

No. 341.

SANFORD JACOBI, *Plaintiff in Error,*

vs.

THE STATE OF ALABAMA, *Defendant in Error.*

Brief and Argument of Charles G. Brown, Attorney-General of Alabama, for Defendant in Error.

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SUPREME COURT OF THE UNITED STATES.

OCTOBER TERM, 1902.

No. 341.

SANFORD JACOBI, *Plaintiff in Error,*

vs.

THE STATE OF ALABAMA, *Defendant in Error.*

Brief and Argument of Charles G. Brown, Attorney-General of Alabama, for Defendant in Error.

It is deemed proper to state that not until Monday, the 26th inst., was notice received that this case was set for hearing on the 3d of November next; and that the written brief and argument for plaintiff in error in the Supreme Court of the United States has not been furnished counsel for State of Alabama. The counsel for State has been compelled to consider only the brief and argument of counsel for plaintiff in error before the Supreme Court of Alabama, a copy of which was furnished him last Monday. He had not an opportunity to read the brief and argument before the submission of the case to the Supreme Court of Alabama, and the same was not found in the record. These facts and the limited time for preparation rendered it impossible to examine, analyze and discuss the authorities as thoroughly and systematically as the questions involved demand, and the obligations of Attorney to the Court in the presentation of a cause require.

Sanford Jacobi was indicted, tried and convicted of an assault with intent to ravish—a felony punishable by imprisonment in the State penitentiary. On the trial after a sufficient predicate had been laid in accordance with the State decisions, the testimony of the young lady, charged in the indictment to be the victim of such assault, on a former trial of the cause in the same court was according to the settled law of the State admitted in evidence against the objection of the plaintiff in error. The settled doctrine of the courts of Alabama is, that when it is shown to the court that a witness who had testified on a former trial in which the accused had been confronted with such witness, and had cross-examined the witness, or had the opportunity of cross-examination (in this case the fact is undisputed that such cross-examination was made and had on the former trial), and it was shown to the court that there had been diligent search for the witness within the jurisdiction of the court and that she was not present at the trial, and that the witness has gone beyond the jurisdiction of the court permanently, or for such an indefinite period that a return was merely conjectural, the evidence on former trial is admissible.

The contention of the plaintiff in error is that this ruling of the court on the admission of the testimony of this witness on the former trial was violative of the Fourteenth Amendment of the Constitution of the United States, and specially that provision of said amendment, as follows: "Nor shall any State deprive any person of life, liberty or property without due process of law,"—and this is the sole issue in this case which is claimed to present a Federal question entitling plaintiff in error to invoke the jurisdiction of the Supreme Court of the United States to review the decision of the Court of last resort of the State of Alabama.

First.

The State of Alabama insists that on the facts and record in this case that the point is not so presented as this Court will take jurisdiction and review the ruling and decision of the Alabama courts on this question, and that the writ of error should be dismissed.

The record shows that plaintiff in error did not specially set up, or claim in the trial court the right, title, privilege, or protection guaranteed by the Fourteenth Amendment of the Constitution; that the Supreme Court of Alabama did not pass upon, or notice, this question; and that this right, immunity or protection under said amendment was first

presented in an assignment of error in the appellate court of the State. In *Spiers v. Illinois*, 123 U. S., 181, the Court said: "To give us jurisdiction under Section 709 of the Revised Statutes because of the denial of any right, title, privilege, or immunity claimed under the Constitution, or any treaty, or statute of the United States, it must appear on the record that such right, title, privilege or immunity was "*specially set up or claimed.*" (Italics are the Court.s') As the Supreme Court of the State was reviewing the decision of the trial court, it must appear that the claim was made in that court, because the Supreme Court was only authorized to review the judgment for errors committed there, and we can do no more. if the right was not thus set up and claimed in the court below, the judgment of the highest court of the State is conclusive upon us."

The rule stated as to the Supreme Court of Illinois is the law governing the Supreme Court of Alabama—its jurisdiction in such cases is appellate,—solely to review errors in the rulings of the court below, and such questions must be specially reserved by proper exception in the trial court. It must be also borne in mind that the Constitution of the State of Alabama in force at the time of the trial and all preceding Constitutions of the State and the Revised Constitution lately adopted contain in nearly identical language the ~~provision of the~~ Sixth Amendment of the Federal Constitution as to confrontation of accused with witnesses against him, and of the Fourteenth Amendment as to "due process of law." The protection of the Fourteenth Amendment was not claimed in the trial court, and the objection made, clearly had reference to the Constitution of the State solely. Certainly the record fails to show that the right, title, or protection under the Fourteenth Amendment was "specially set up and claimed." The decision in Spiers' case *supra* is quoted and approved in *Baldwin v. Kansas*, 129 U. S. 56-57.

In *Davis v. Texas*, 139 U. S. 657, the Court said: "A writ of error to review the judgment of the highest tribunal of a State stands on a far different ground (from a direct appeal from the Supreme Court of a Territory), and cannot be maintained in the absence of a Federal question giving us jurisdiction. The State court rendered no decision in favor of the validity of a statute of or authority exercised under the State, drawn in question on ground of repugnance to the Constitution, or laws, of the United States, nor was any title, right or immunity under such Constitution of the United States, or statute, specially set up, or claimed in the case. It is not within our province to pass upon any of the questions sought to be presented as

they fall entirely within the scope of the powers of the State."

The trial court was passing upon a law, or Constitutional provision of the State, and not the Fourteenth Amendment, and the Supreme Court went no further. The question properly before the Alabama courts was the admissibility of the testimony under a valid, unquestioned Constitutional provision of the State Constitution,—and the validity of the Constitutional provision was not denied, but upheld, and that the testimony was held admissible under such provisions—no statute or provision of the Constitution of the United States was specially drawn in question, nor any law of the State held to be valid which was in conflict with the Constitution of the United States.

Central Land Co. v. Laidley, 159 U. S. 109.

Second.

It has been decided since the adoption of the Fourteenth Amendment that the first eight amendments of the Constitution of the United States do not apply to the States, and that the clause of the Fourteenth Amendment as to "due process of law" does not in and of itself make these amendments apply to the States, and that such amendments are self-executing as to the States. The objection that proceeding by information does not amount to due process of law, and that the Fourteenth Amendment made this provision of the Fifth Amendment binding on the States has been overruled in *Hurtado v. California*, 110 U. S. 516; and this has been approved and re-affirmed in numerous subsequent decisions.

Hallinger v. Davis, 146 U. S. 314-322;
McNully v. California, 149 U. S. 645;
Hodgson v. Vermont, 168 U. S. 262, 272;
Holden v. Hardy, 169 U. S. 366-384;
Brown v. New Jersey, 175 U. S. 172-176;
Bolln v. Nebraska, 176 U. S. 83.

The same doctrine is announced in *Hallinger v. Davis*, 146 U. S. 314, as to provisions of the Sixth Amendment for trial by an impartial jury—a common law jury of twelve men. In this Sixth Amendment is the clause as to confrontation with witnesses against the accused. This case and other cases bearing on this question are reviewed in *Holden v. Hardy*, 169 U. S. 385, and in *Maxwell v. Dow*, 176 U. S. 584, and the doctrine announced that the rules of the common law have been modified and made to conform to the

public welfare, the dictates of common sense, the necessities of the case, and the practical administration and execution of the law. The Seventh Amendment giving the right in suits at common law where the value in controversy shall exceed twenty dollars to a trial by jury, it was held in *Walker v. Sauvinit*, 92 U. S. 90, that under the Fourteenth Amendment this provision was not applicable to or binding on the States.

In *Maxwell v. Dow*, *supra*, in an exhaustive and learned discussion of this question it was distinctly decided that under the "due process of law" clause of the Fourteenth Amendment, the Fifth and Sixth Amendments were not applicable to or binding on the States, and that under the privilege and immunities clause thereof the same doctrine was announced—and on pages 595 and 596 the court said: "This case shows that the Fourteenth Amendment in forbidding a State to abridge the privileges or immunities of citizens of the United States, does not include among them the right of trial by jury in a civil case, in a State court, although the right to such a trial in Federal courts is specially secured i nthe cases mentioned in the Seventh Amendment.

Is any one of the rights secured to the individual by the Fifth or by the Sixth Amendment any more a privilege or immunity of a citizen of the United States than are those secured by the Seventh? In none are these privileges or immunities, granted and belonging to the individual as a citizen of the United States, but they are secured to all persons as against the Federal government, entirely irrespective of such citizenship. As the individual does not enjoy them as a privilege of citizenship of the United States, therefore, when the Fourteenth Amendment prohibits the abridgment by the States of these privileges or immunities which he enjoys as such citizen, it is not correct or reasonable to say that it covers or extends to certain rights which he does not enjoy by reason of his citizenship, but simply because those rights exist in favor of all individuals as against Federal governmental powers. The nature or character of the right of trial by jury is the same in a criminal prosecution as in a civil action, and in neither case does it spring from nor is it founded upon the citizenship of the individual as a citizen of the United States, and if not, then it cannot be said that in either case it is a privilege or immunity which alone belongs to him as such citizen."

Third.

What is meant by and what is the definition of due pro-

cess of law within the purview of the Fourteenth Amendment and its extent and limitation as affecting the power of the States in its legislative, executive and judicial action and as affecting and limiting the jurisdiction and power of the Supreme Court to review the decision of the State courts? The defendant in error will content itself by citations of the following cases—confidently insisting that these citations will demonstrate that there is no Federal question involved in the case at bar and that there has not been in letter or spirit a violation of this amendment to the Constitution of the United States, and that the "requirements of the Fourteenth Amendment have been fully satisfied" by the rulings and decisions of the Alabama courts in this case.

1. Law in its regular course of administration is due process of law and when secured by the law of the State the Constitutional requirement is satisfied.

Iowa Central R. R. Co. v. Iowa, 160 U. S. 390;
Leeper v. Texas, 139 U. S. 462-468.

2. "That by the Fourteenth Amendment the power of States in dealing with crime within their borders are not limited, except that no State can deprive particular persons, or class of persons, of equal and impartial justice, under the law, that law in its regular course of administration through courts of justice is due process, and when secured by the law of the State the Constitutional requirement is satisfied; and that due process is so *secured by laws operating on all alike*, and not subjecting the individual to the arbitrary exercise of the powers of government unrestrained by the established principles of private rights and distributive justice."

Leeper v. Texas, 129 U. S. 467-468.

3. Equal protection of a law is not denied by a law, or course of procedure, which would have been applied to any other person in the State under similar circumstances and conditions.

Tinsley v. Anderson, 171 U. S. 101.

4. Wherever the law operates alike on all persons and property similarly situated, equal protection cannot be said to be denied.

Walston v. Nevin, 128 U. S. 578.

5. Due process of law within the meaning of the Fourteenth Amendment is secured, if the law operates on all alike and does not subject the individual to an arbitrary exercise of the powers of government.

Giozza v. Turnan, 148 U. S. 657.

6. In *Minder v. Georgia,* 183 U. S. 562, the court by Chief Justice FULLER delivering the opinion, reaffirms the doctrine announced in *Giozza v. Turnan,* 148 U. S. 657, and applies the same to a criminal case in which capital punishment was inflicted, in the following language: "The requirements of the Fourteenth Amendment are satisfied if the trial *is had according to the settled course of judicial procedure* obtaining in the *particular* State, and the laws operate on all persons alike and do not subject the individual to the arbitrary exercise of the powers of government."

7. *In re Converse,* 137 U. S. 624, the Court say: "But the State cannot be deemed guilty of violating the Constitutional provision against depriving an individual of his life or liberty without due process of law, because of a decision, even if erroneous, of its highest court, while acting within its jurisdiction. And, conceding that an unconstitutional conviction and punishment under a valid law would be as violative of a person's constitutional rights as a conviction and punishment under an unconstitutional law, we fail to perceive that this conviction and judgment are repugnant to the constitutional provision," (namely, the Fourteenth Amendment of the constitution of the United States) and the court gives as the reason: "Appellant has been subjected, as all persons within the State of Michigan are, to the law in its regular course of administration through courts of justice, and it is impossible to hold that a judgment so arrived at is such an unrestrained and arbitrary exercise of power as to be utterly void.

We repeat, as has been often said before, that the Fourteenth Amendment undoubtedly forbids an arbitrary deprivatio n of life, liberty or property, and in the administration of criminal justice requires that no different or higher punishment shall be imposed on one than is imposed on all for like offenses, but it was not designed to interfere with the power of the State to protect the lives, liberty and property of its citizens; nor with the exercise of that power in the adjudications of the courts of a State in administering the process provided by the law of the State. The Supreme Court of Michigan (and in the case at bar the Supreme Court of Alabama) did not exceed its jurisdiction or de-

liver a judgment abridging appellant's privileges, or immunities, or depriving him of the law of the land of his domicil."

In re Converse, supra, 631-632.

And to the same effect is the decision in the case of *Central Land Co. v. Laidley*, 159 U. S. 112, as follows: "When the parties have been fully heard in the regular course of judicial proceedings an erroneous decision of a State court does not deprive the unsuccessful party of his property, (his life or liberty) without due process of law within the Fourteenth Amendment of the Constitution." See also:

Walker v. Sauvinit, supra, and cases cited under second head of this brief.

It is submitted that at the time of the adoption of the Fourteenth Amendment, and assuredly at the date of the decision in this case, that the weight of the authority in the United States sustains the doctrine announced by the Supreme Court of Alabama in the case of *Lowe v. State*, 86 Ala. 47, and reaffirmed time and again in numerous subsequent cases and in the case at bar.

In Greenleaf on Evidence, (16th ed.) § 163 (g) p. 284, the text is as follows: "The death of the witness has always, and as of course, been considered as sufficient to allow the use of his former testimony. The absence of the witness from the jurisdiction, out of reach, of the court's process ought also to be sufficient, and is so held by a majority of the courts; mere absence, however, may not be sufficient, and it is usually said that a residence, or an absence, for a prolonged, or uncertain time, is necessary. A few courts do not recognize at all this cause for non-production. A few others deny it for criminal cases"; and the doctrine announced is sustained by numerous cases cited in note 2 to this section.

On the question as to right of defendant in a criminal case "to be confronted with the witnesses against him," this best, most accurate and profound and philosophical text book on evidence announces this doctrine—and sustains it by the clearest and soundest reasoning and the purest "logic of the law." "The chief purpose of the confrontation is to secure the opportunity for cross-examination; this has been repeatedly pointed out in judicial opinions; so that if the opportunity of cross-examination has been secured, the function and test of confrontation is also accomplished—confrontation being merely the dramatic preliminary to cross-examination. The second and minor purpose is that

the tribunal may have before it the deportment and appearance of witness while testifying But the latter purpose is so much a subordinate and incidental one that no vital importance is attached to it, consequently, if it cannot be had, it is dispensed with, provided the chief purpose, cross-examination, has been attained."

1 Greenleaf on Evidence, § 163 (f).

"But it is true under the Constitutional sanction for confrontation in criminal cases, that confrontation if it can not now be had on the final trial may be dispensed with. The Federal Constitution and those of the most of the States provide that the accused is entitled "to be confronted with the witnesses against him." The argument has often been made that this provision excludes all testimony not delivered *viva voce* at the *time* of the trial in question. This argument, though wholly unsound, has in a few instances been sanctioned by the courts with the effect of excluding depositions and testimony at former trial; but it is usually and properly repudiated, not only for depositions and testimony on former trials, but also for other testimonitl assertions receivable by way of exceptions to the Hearsay rule, such as dying declarations, reputations, official certificates, and the like. But it is desirable to appreciate the true reason for repudiating the argument. It has sometimes been said, in doing so, that the witness who reports the former testimony, etc., is, in fact, brought face to face with the accused and hence the rule is satisfied. But this is fallacious; the deceased deponent or former witness or dying declarant is equally a witness, though speaking extra-judicially, and as to him the accused is not now confronted. The real answer is a different one. First, the main object of confrontation—to secure the opportunity of cross-examination, as above explained, *has, in fact*, been accomplished; at the taking of the deposition or at the former trial, the accused had the power of cross-examination; and that is what the Constitution entitles him to; in short, he has had the promised confrontation. Secondly, the Constitutional clause purported merely to adopt the general principle of the Hearsay rule, that there must be confrontation, *i. e.*, the power of confrontation, for infra-judicial witnesses; but it did not purport to enumerate all the exceptions and limitations to that principle. There were then a number of well established exceptions and there might be others in the future; the Constitution endorsed the general principle subject to these exceptions; merely naming and describing it sufficiently to indicate the principle intended,—just as the brief constitutional sanction for trial by jury did not attempt to enumerate the class of

cases to which that form of trial was appropriate, nor the precise procedure involved in it, and has always been construed as not absolute and universal in effect, but as subject to the limitations and unessential variations understood to accompany that institution. Thirdly (perhaps only as another aspect of the preceding reason), the constitutional requirement is limited to the mode of taking testimony at the trial; it does not prescribe what kinds of testimony shall be given infra-judicially, but only what mode of procedure —*i. e.*, not a secret or *ex parte* examination—shall be followed for such testimony as by the ordinary and existing law of evidence is required to be given infra-judicially. Such is the better reasoning accepted by most courts as here applicable. It follows that the Constitutional requirement of confrontation is not violated by dispensing with the actual presence of the witness at the trial, if he has already been subject to cross-examination; or if his assertions are received under some recognized exception to the Hearsay rule.

The general principle, therefore, should be that in all cases where the party has without his own fault or concurrence irrecoverably lost the power of producing the witness again, he should dispense from doing so, if there is at hand his testimony already subjected to cross-examination; and this general notion underlies all the cases of dispensation. But it is not rationally and consistently applied. As a matter of precedent, it is therefore necessary to examine the specific ways in which a witness' presence may become impossible; and, furthermore, the precedents often differ (though they should not) according as the cross-examined testimony is offered in the shape of testimony at a former trial (including inquests and preliminary examinations) or of a deposition."

1 Greenleaf on Evidence, 282-284.

And then follows the quotation given above in the discussion of the law as to admissibility of testimony on former trial of witness beyond the jurisdiction of the court.

The nature and duration of witness' absence from the State,—"his being without the jurisdiction of the court,"—the conservative limitations thereof, and the reasons and authorities for the doctrine are ably and fully and, in our judgment, convincingly shown in the following decisions of the Supreme Court of Alabama:

Marler v. State, 67 Ala. 55;
Lowe v. State, 86 Ala. 47;
Perry v. State, 87 Ala. 30;
Pruitt v. State, 92 Ala. 42;

Harris v. State, 73 Ala. 495;
Lowery v. State, 98 Ala. 45;
Burton v. State, 107 Ala. 68;
Burton v. State, 115 Ala. 10;
Mitchell v. State, 114 Ala. 34;
Lett v. State, 121 Ala. 65.

And it is confidently submitted that these decisions, the reasoning of the court, and the authorities cited, both English and American, overwhelmingly sustain the contention of the State of Alabama in the case at bar, and upon reason, authority and precedent the doctrine does not violate the principles of Magna Charta, the common law or the right of the accused "to be confronted with the witnesses against him."

The narrowness and insufficiency of the argument of the learned and painstaking counsel for plaintiff in error is clearly shown by his labored effort to confine the determination of this question to certain English decisions as being the sole authentic expositors of the common law; and that the Fourteenth Amendment was adopted solely with reference to these English common law decisions, and that these said decisions alone on this question were incorporated in and become a part of this amendment. It is earnestly insisted that the decisions of the courts of the several States of this Union—of this foremost Republic of the world—where the great principles of Magna Charta and of the common law applicable to the spirit of our government and institutions, are most thoroughly understood, practiced and enforced,—are at least of as high character and of as binding force as those of the English courts considered as expositions of these great principles. An examination of the decisions of the several States of this Union,as stated in Greenleaf on Evidence, *supra*, will demonstrate that in a majority of the decisions in the States of this Union the doctrine, at the time of the adoption of this amendment, was contrary to these English common law decisions and in harmony with the decisions of the courts of the State of Alabama. It is more probable, logical and correct to affirm that this amendmenet was enacted by Congress and adopted by the law-making powers of the several States with reference to law as adjudicated in the different courts of the States of this Union, rather than to the old decisions of the Mother country. The argument is most fallacious that in the year 1868,—the time of the adoption of this amendment,—the Congress of the United States, the respective Legislatures of the several States, the Federal and State courts, looked solely to these decisions of the courts of Eng-

land, and disregarded the decisions of the courts of the States of this great Union, as the only correct expositions of the principles of Magna Charta and of the common law in the solution of the questions of the constitutionality of the law of the State of Alabama, and of a majority of the States of this Union, as enunciated by their highest courts as being in conformity to, or violative of, this amendment of the Federal Constitution.

The Supreme Court of the United States has most wisely said in *Holden v. Hardy*, 169 U. S. 386, "that an examination of both these classes under the Fourteenth Amendment will demonstrate that in passing upon the validity of State legislation this court has not failed to recognize the fact that the law is a progressive science." And it is respectfully and confidently submitted by the State of Alabama that the Supreme Court of the United States has not, and will not fail to recognize that the great principles of Magna Charta and of the common law are progressive and expansive and their interpretation and application constitute a progressive science and to the future belongs their full development and growth and maturity.

The counsel for plaintiff in error in his brief and argument before the Supreme Court of Alabama, wherein he collated and reviewed and discussed the decisions of the Supreme Court of the United States as bearing on the question, insists and deduces from these authorities that this court has ruled adversely to the doctrine announced by the Supreme Court of Alabama in this case and in numerous preceding cases. It is most respectively submitted and insisted that the cases in his brief show that the question has not been directly decided by the Supreme Court of the United States, and that the fair and legitimate deduction from the cases rather sustain than repudiate the doctrine of the Alabama court. Most assuredly these decisions hold that the vital constitutional principle and right involved in this case, namely, "the right to be confronted with the witnesses against him,"—is not violated or denied, for the reason that he had been confronted with these witnesses on the former trial and had the all important and paramount right of cross-examination as expressed in 1 Greenleaf on Evidence, *supra*. See *Mattox v. United States*, 156 United States, 241-242; and the court, both in the majority and dissenting opinions, recognizes the doctrine, that even the general rules of the common law have exceptions and must give way to the requirements of public policy and the necessities of the case. And we confidently assert that the doctrine of the Alabama court is a most wise and conservative one, namely: that when it was shown by diligent search of the officers of

the law and other testimony that the witness was without the jurisdiction of the court permanently, or for such an indefinite period of time that her return was conjectural only, and that the paramount right of the defendant as to confrontation and cross-examination has been allowed to the accused, on the former trial, her evidence given in such former trial was properly admissible; and the other minor problematical advantages to the accused, such as her appearance and demeanor on the stand, must yield to exigencies of public policy, the necessities of the case and the due administration of law. The case of *Kirby v. United States* does not bear on the question; nor does the case of *Motes v. United States*. The only question really decided in this latter case was "that when it did not appear that witness was absent by the connivance or procurement; but *did appear that he was absent through negligence of the prosecution*, the testimony of such absent witness on former trial was not admissible." And the court distinctly announced that "we need not decide more in the case."

But however this may be the doctrine of the Alabama court has been the law of that State for decades before the trial of the plaintiff in error and before the adoption of the Fourteenth Amendment. It was the law of the land of the State of Alabama, one of the co-equal States of this government, enunciated by her courts and accepted by her citizens, and every department of her government, Executive, Legislative, and Judicial. There can be no question but that the trial was had according to the long settled law and course of judicial procedure, and that the law operated on all persons alike and did not subject the defendant to the arbitrary exercise of the powers of government. It can not, in our humble opinion, be entertained by this court that he was deprived of his liberty on the trial of this cause without "due process of law." The Fourteenth Amendment was never intended to reach such a case; nor does it arm the Supreme Court of the United States with the jurisdiction and power to arraign the State of Alabama before its tribunal and challenge, review, or annul, the decisions of her courts on this question.

It is, therefore, most respectfully, yet earnestly, insisted that the decision of the Supreme Court of Alabama on this question is in harmony with the weight of authority and sound reasoning, and not in conflict with any decision of the Supreme Court of the United States, and is not violative of the Fourteenth Amendment of the Constitution of the United States, and that this case presents no question which can be reviewed by the Supreme Court of the United States

under the most liberal interpretation of the Fourteenth Amendment as to the jurisdiction and powers of this Supreme Federal Court.

Most respectfully submitted,

CHARLES G. BROWN,

Attorney-General of Alabama, and Counsel for Defendant in Error.

32004

Supreme Court of the United States.

No. 341.—October Term, 1902.

Sanford Jacobi, Plaintiff in Error, vs. The State of Alabama. } In error to the Supreme Court of the State of Alabama.

[November 17, 1902.]

Mr. Chief Justice Fuller delivered the opinion of the Court:

Jacobi was convicted in the City Court of Montgomery, Montgomery County, Alabama, on an indictment for criminal assault, and the judgment against him was affirmed by the Supreme Court of that State. 32 So. Rep. 838. To revise that judgment this writ of error was brought.

The conviction was the result of a second trial of the case, and the alleged victim of the assault, who had testified at the first trial, was not present at the second. But evidence of her previous testimony was admitted against defendant's objection, and it is contended that thereby defendant was deprived of rights secured by the Federal Constitution, and denied due process of law. The question for us to decide at the outset is whether such a claim was specially set up at the proper time and in the proper way.

The rule is firmly established by the decisions of the highest court of Alabama that when a witness is beyond the jurisdiction of the court, whether he has removed from the State permanently, or for an indefinite time, his testimony on a former trial for the same offense may be given in evidence against defendant on a subsequent trial. *Lowe* v. *State*, 86 Ala. 47; *Perry* v. *State*, 87 Ala. 30; *Pruitt* v. *State*, 92 Ala. 41; *Matthews* v. *State*, 96 Ala. 62; *Burton* v. *State*, 115 Ala. 1.

In this case evidence was introduced before the trial judge that the witness was not in the State at the time of the trial, and that her absence was of a permanent or indefinite nature. There was no pretense of absence by procurement and there was evidence of diligence in attempting to serve process upon her. It was held that sufficient foundation for the admission of evidence of her former testimony had been laid and the Supreme Court concurred in that conclusion. Defendant objected to this preliminary proof and moved to exclude it on several grounds, one of which was "that the defendant has the constitutional right to be con-

fronted by" the witness. These objections having been overruled, evidence was introduced of the testimony given by the absent witness on direct and cross-examination on the former trial, to which defendant objected on the ground, among others, "that the defendant, Jacobi, has the constitutional right to be confronted by the witnesses against him." The trial judge overruled defendant's objections, and each ground thereof, and admitted the evidence, and defendant duly excepted. No reference to the Constitution of the United States was made in the objections. The constitution of Alabama provided that: "In all criminal prosecutions the accused has a right . . . to be confronted by witnesses against him;" and it is plain that the constitutional right asserted was under the State constitution. *Miller* v. *Cornwall Railroad Company*, 168 U. S. 131; *Kansas Endowment Association* v. *Kansas*, 120 U. S. 103.

After the case reached the State Supreme Court, error was assigned to the admission of the evidence as being in violation of the Fourteenth Amendment. The Supreme Court did not refer to that contention, presumably because of the settled rule in Alabama in criminal cases that when specific grounds of objection to the admission of evidence are assigned, all others are waived, *McDaniel* v. *The State*, 97 Ala. 14; and that the Supreme Court will not decide a question relating to the admission of evidence not made and acted on in the trial court, *Freeman* v. *Swan*, 22 Ala. 106; *Robertson* v. *Robinson*, 65 Ala. 610. The Supreme Court was therefore not called upon to revise the judgment of the City Court for error not committed, and we cannot interfere with its action in adhering to the usual course of its judgments. If the court, however, had passed upon the question our jurisdiction might have been maintained. *Mallett* v. *North Carolina*, 181 U. S. 589; *Dreyer* v. *People*, *ante*, p. ——.

In *Spies* v. *Illinois*, 123 U. S. 131, where objection to the admission of a certain letter, because obtained in violation of the Constitution of the United States, was made in the Supreme Court of the State for the first time, and that court declined to consider the constitutional question supposed to be involved on the ground that it was not raised in the trial court, Mr. Chief Justice Waite said: "To give us jurisdiction under section 709 of the Revised Statutes because of the denial by a State court of any title, right, privilege or immunity claimed under the Constitution, or any treaty or statute of the United States, it must appear on the record that such title, right, privilege or immunity was 'specially set up or claimed' at the proper time in the proper way. To be reviewable here the decision must be against the right so *set up or claimed*. As the Supreme Court of the State was reviewing the decision of the trial court, it must appear that the claim was made in that court, because the Supreme Court was only authorized to review the judgment for errors committed there, and we can do no more. This is not, as seems to be supposed by one of the counsel for

petitioners, the question of a waiver of a right under the Constitution, laws or treaties of the United States, but a question of claim. If the right was not set up or claimed in the proper court below, the judgment of the highest court of the State in the action is conclusive, so far as the right of review here is concerned." And see *Brooks* v. *Missouri*, 124 U. S. 394; *Baldwin* v. *Kansas*, 129 U. S. 52.

The result is that the writ of error must be dismissed, and it is

So ordered.

True copy.

Test:

Clerk Supreme Court, U. S.